

A Five-Level Common-Ground Buck-Boost Inverter With Capacitor Voltage Balance

Vinh-Thanh Tran , Anh-Tuan Nguyen-Phan , Duc-Tri Do , *Member, IEEE*, and Khai M. Nguyen 

Abstract—A new five-level common-ground buck-boost inverter (5L-CG-BBI) topology is presented in this article. The 5L-CG-BBI can eliminate common-mode voltage by the dc source and ac load sharing the same ground. To regulate the introduced 5L-CG-BBI, a space-vector modulation (SVM) approach has been presented. In this scheme, neutral-point voltage is balanced by using the proper medium voltage vectors in the switching sequences. The proposed inverter can buck and boost ac output voltage from a single dc input source in two-stage power conversion, where the modulation index of the inverter side and the duty ratio of the dc–dc stage are controlled independently. Consequently, the component voltage ratings of the introduced inverter are improved compared to existing 5L-CG inverters. The proposed inverter and SVM scheme are validated by a 900-W experimental prototype.

Index Terms—Buck-boost inverter, common-ground (CG), common-mode voltage (CMV), leakage current (LC), neutral-point voltage (NPV) balance.

I. INTRODUCTION

CONVENTIONAL H-bridge inverter is widely adopted in transformer-less photovoltaic (PV) applications. However, it only generates a three-level output voltage. In order to enhance numbers of output voltage levels, some five-level inverters (5LIs) have been presented [1], [2]. Because the switching devices of the inverters operate under high switching frequency, high dv/dt common-mode voltage (CMV) between negative point of the input source and the ground is generated. High CMV causes high leakage current (LC) going through stray capacitors between the input source and the ground [3], [4], [5]. Moreover, the LC causes electromagnetic interference noise and safety concern [3]. So, in transformer-less inverters, LC and CMV are considered to be mitigated.

H5, H6, and HERIC topologies have been presented to mitigate CMV and LC [6], [7]. In H5 topology, one extra switch has been installed in series with dc-link rail, which is between the input source and H-bridge circuit. In active modes, this switch is gated on, however, in freewheeling mode, it is gated

off to create galvanic isolation between the dc source and the ac grid. Consequently, the CMV and LC in H5 topology are mitigated. The same way is also applied to H6 topology to mitigate LC. The H6 topology uses two more active switches and two more diodes than conventional full-bridge inverter [8], [9]. The HERIC configuration installed a bi-directional switch in parallel with output load to implement freewheeling mode [7], [10]. It helps to reduce conduction loss compared to the H5 and H6 topologies. However, LC in these topologies is not completely eliminated.

Half-bridge inverters are considered as inverters with a constant CMV and a very small LC [11], [12]. In these topologies, the CMV remains fixed at half of the dc-link voltage. However, half-bridge inverters only have a maximum voltage gain of 0.5. To enhance the voltage gain of these inverters to 1, switched-capacitor (SC) circuit has been adopted before the inverter side to increase the dc-link voltage to twice that of input voltage [11]. However, with this voltage gain value, the inverters just operate in buck mode, where the dc input source's voltage is greater than the ac output voltage. To increase the voltage gain, a dc–dc boost converter is placed at the front end of the inverter to enhance the dc-link voltage [13]. Neutral-point voltage (NPV) unbalance is an important issue of these inverters, which must be addressed to ensure high quality of output voltage/current.

SC-based inverters with common-ground (CG) feature have attracted much attention from researchers because they can obtain zero CMV/LC by connecting negative points of input voltage and output load [14], [15], [16], [17], [18]. The basic concept of these SC-based CG inverters is that the virtual dc-link capacitors are charged in medium positive voltage levels or zero output voltage level. Then, these virtual dc-link capacitors are employed to feed the output and generate negative voltage levels. In some configurations, flying capacitors are adopted to create more output voltage levels [17], [18]. Moreover, the SC structures help the inverters to boost the dc-link voltage. However, the boost factor is not flexible to be controlled, which is fixed to 2 or 3. Moreover, when connecting two capacitors in parallel, it generates high charging currents going through capacitors and switches. This leads to significant switching and conduction losses in the inverter.

The work in [13] presented a single-stage 5L-CG boost-type active neutral-point-clamped (5L-CGBT-ANPC) inverter, which can buck-boost output voltage from a single dc input source in single stage power conversion. The work in [13] can produce a five-level output voltage with only seven switches. However, the duty ratio of switches of dc–dc stage is greater than $2M - 1$,

Received 10 July 2025; revised 18 September 2025; accepted 18 October 2025. Date of publication 23 October 2025; date of current version 19 January 2026. This work was supported by Ho Chi Minh City University of Technology and Education, Vietnam under Grant T2025-215. Recommended for publication by Associate Editor Z. Li. (*Corresponding author: Khai M. Nguyen.*)

The authors are with the Faculty of Electrical and Electronics Engineering, Ho Chi Minh City University of Technology and Education, Ho Chi Minh City 700000, Vietnam (e-mail: thanhtv@hcmute.edu.vn; 2340604@student.hcmute.edu.vn; tridd@hcmute.edu.vn; khainm@hcmute.edu.vn).

Color versions of one or more figures in this article are available at <https://doi.org/10.1109/TPEL.2025.3624948>.

Digital Object Identifier 10.1109/TPEL.2025.3624948

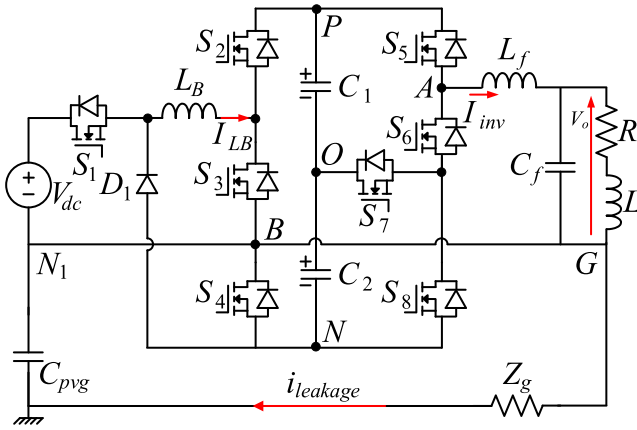


Fig. 1. Topology of the proposed 5L-CG-BBI.

where M is modulation index of inverter stage. So, this inverter produces very high component voltage ratings. The works in [19], [20] have the same drawback such as [13], where duty ratio D is not smaller than modulation index M . The literature in [21] presented a switched-boost dual T-type 5L inverter with CG feature, which have uncoupled D and M . Hence, modulation index M can theoretically be maximized to 1. Consequently, the component voltage ratings are reduced, significantly.

The work in [22] presented a combination of a quadratic dc–dc cell and dc–ac inverter cell with CG feature. Inheriting high-gain feature of quadratic cell, Oppong et al. [22] have a high boost factor of about $1/(1-D)^2$. However, the inductors of [22] operate in discontinuous conduction mode, which generates high current ratings of devices. A step-up/down 5L CG inverter was presented in [23]. This topology can limit the charging currents of capacitors by adopting a small inductor attached in series with input dc source. Similar to SC based inverters, this inverter only boosts the ac output voltage to twice dc input source. The literature in [24] presented a new topology which integrates one extra active switch to the mid-point of the conventional two-stage T-Type inverter. This extra switch helps to increase boost factor to twice that in conventional inverter. A combination of quasi-Z-source (qZS) network and a virtual dc bus cell in [25] brings benefits of voltage boosting and CG feature. DC–DC boosting operating is ensured by qZS circuit. However, coupled D and M is existing limitation of [24], [25]. Oppong et al. [26] integrated a coupled inductor to enhance boost factor for PV applications. However, the energy of leakage inductance must be managed to reduce voltage spikes. Agrawal et al. [27] introduced a topology of five-level CG inverter with fault-tolerance characteristic. In [27], some extra redundant switches were integrated with the main inverter to recovery the operating of the inverter under open-circuit fault. It increases the reliability of the inverter. However, it also increases the cost and component voltage ratings.

The topology of a 5L-CG buck-boost inverter (5L-CG-BBI) is presented in this article, which eliminates CMV by adopting CG feature. The 5L-CG-BBI is capable of producing a five-level output voltage. The introduced inverter can buck/boost ac output voltage from a single dc source in two stage power conversion. As a result, there is no coupling between the modulation index M and the duty ratios of the dc–dc boost circuit. Therefore,

component voltage ratings of the proposed inverter are improved compared to existing 5L-CG inverters. The proposed inverter is controlled using a space vector modulation (SVM) technique. In this approach, medium voltage vectors are correspondingly selected to balance NPV. An experimental prototype is adopted to test the proposed inverter and SVM scheme. The rest of this article consists of seven sections. Section II introduces topology of the proposed inverter and its operating principle. Section III presents the proposed SVM method to balance NPV. Design guidelines and comparison analysis are presented in Sections IV and V. In Section VI, the power loss analysis is provided. Experimental results are provided in Section VII. Section VIII concludes this article.

II. PROPOSED 5L-CG-BBI TOPOLOGY

In Fig. 1, the 5L-CG-BBI topology is depicted. It is composed of an inverter side circuit and a dc–dc circuit to convert dc-source to ac output load. Three switches S_2 , S_3 , and S_4 are used for dc–dc boost circuit and dc–ac side circuit. Beside these three switches, the dc–dc circuit includes one inductor L_B , two capacitors C_1 , C_2 , one switch S_1 and one diode D_1 . The inverter side circuit employs seven switches ($S_2 - S_8$) to convert the dc-link voltage V_{PN} into an ac output voltage. With these seven switches, the inverter can generate a five-level voltage at output voltage V_{AB} . Both dc–ac and dc–dc operating modes are independently controlled by the proposed inverter’s operating principle. The operating modes of the introduced inverter have been detailed as follows.

The CMV in single-phase inverter is defined as voltage between negative points G of output load and N_1 of dc input source. As shown in Fig. 1, these terminals are directly connected. It results in constant zero values of CMV and LC. This is the reason why the proposed inverter and any CG inverters can eliminate CMV.

A. Operating Principle of Inverter Side Circuit

Fig. 2 shows the introduced inverter’s operating modes. The proposed inverter is capable of generating five distinct output voltage levels $\pm V_{PN}$, $\pm V_{PN}/2$, and 0 V as illustrated in Fig. 2, where $V_{PN}/2 = V_{C1} = V_{C2}$, and $V_{PN} = V_{C1} + V_{C2}$. When the output voltage V_{AB} equals $+V_{PN}$, as presented in Fig. 2(a), the switches S_4 , S_5 , and S_7 are turned ON, while S_6 and S_8 are turned OFF. In this condition, the full dc-link voltage V_{PN} is applied across the output voltage. In Fig. 2(b), the switches S_4 , S_6 , and S_7 are turned ON, while S_5 and S_8 are turned OFF. In this state, the capacitor C_2 supplies energy to the load and the output voltage V_{AB} equals to $V_{C2} = V_{PN}/2$. The V_{AB} equals 0-V when the switches S_4 , S_6 , and S_8 or S_2 , S_3 , S_5 , and S_7 are turned ON, as presented in Fig. 2(c), (d). In this condition, terminals A and B of output voltage are directly connected. In order to produce value $-V_{PN}/2$ at the output voltage V_{AB} , the switches S_2 , S_3 , S_6 , and S_7 are turned ON, while S_4 , S_5 , and S_8 are turned OFF. In this state, node A is connected to the negative terminal of capacitor C_1 , whereas node B is connected to positive terminal of C_1 which results in $V_{AB} = -V_{C1} = -V_{PN}/2$. When the output voltage V_{AB} equals $-V_{PN}$, the S_2 , S_3 , S_6 , S_8 are turned ON, while S_4 , S_5 , and S_7 are turned OFF, as shown in Fig. 2(f).

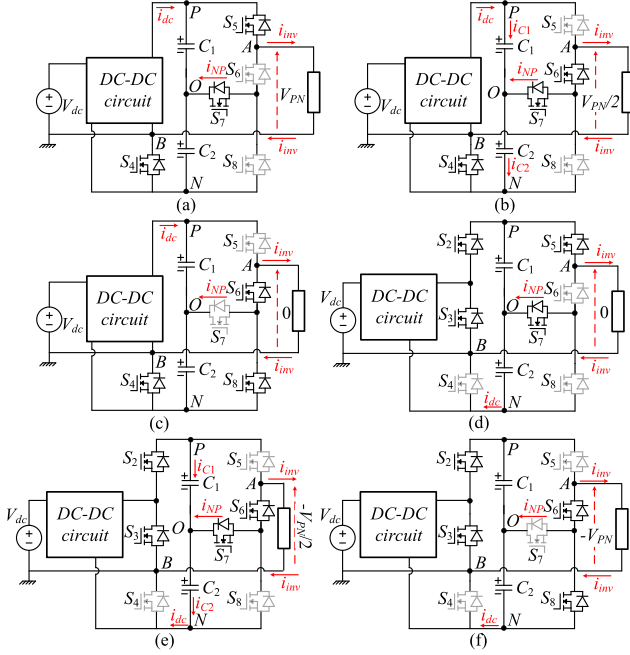


Fig. 2. Operating modes of the inverter side. (a) Vector [PN]. (b) Vector [ON]. (c) Vector [NN]. (d) Vector [PP]. (e) Vector [OP]. (f) Vector [NP].

It can be seen that branch A of the inverter side can generate a three-level output voltage at output pole voltage V_{AO} , which are states P, O, and N corresponding to values $+V_{PN}/2$, 0 V, and $-V_{PN}/2$. Because branch B has only three switches, at output pole voltage V_{BO} , it is unable to produce a three-level voltage. Instead, it generates two states P and N at V_{BO} . It should be defined that a vector is a combination of one state of V_{AO} and one state of V_{BO} . For example, when the inverter operates under vector [PN], the output pole voltage V_{AO} is $+V_{PN}/2$ and V_{BO} is $-V_{PN}/2$. Consequently, the output voltage V_{AB} is $+V_{PN}$, as depicted in Fig. 2(a). When the inverter produces vectors [ON], [NN], [PP], [OP], [NP], the V_{AB} is $+V_{PN}/2$, 0 V, 0 V, $-V_{PN}/2$, and $-V_{PN}$, respectively, as shown in Fig. 2(b)–(f).

B. Operating Principle of DC–DC Circuit

Switches S_1 – S_4 together with diode D_1 are employed to control the dc–dc stage of the inverter. When the output voltage V_{AB} is positive, the inverter side operates under vectors [PN], [ON], and [NN]. Modes 1 and 2 of the dc–dc circuit are utilized to step up the dc-link voltage from a dc input voltage. In these modes, switches S_1 and S_4 are always gated ON. It results in reverse biasing diode D_1 . When switch S_3 is turned ON and switch S_2 is turned off, mode 1 presented in Fig. 3(a) is activated. Now, the boost inductor L_B is charged by input voltage and capacitors C_1 and C_2 are discharged by inverter side current. The following equations are obtained

$$\begin{cases} v_{LB} = L_B \cdot di_{LB}/dt = V_{dc} \\ i_{C1} = i_{C2} = C_1 dv_{C1}/dt = C_2 dv_{C2}/dt = -I_{PN} \end{cases} \quad (1)$$

where I_{PN} is equivalent current of inverter side.

In mode 2 shown in Fig. 3(b), switch S_2 is gated ON, whereas switch S_1 is triggered OFF. As a result, inductor L_B and input

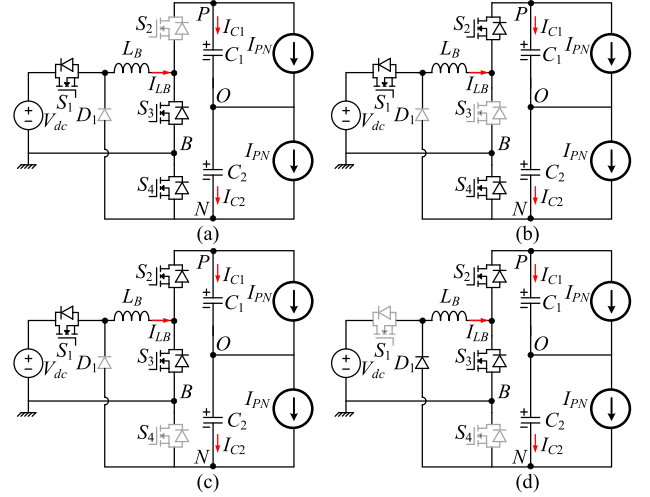


Fig. 3. Operating modes of dc–dc circuit. (a) and (b) Modes 1, 2 under vectors [PN], [ON], [NN]. (c) and (d) Modes 3, 4 under vectors [PP], [OP], [NP].

voltage charge for two capacitors. The expressions for the inductor voltage and capacitor currents are derived as follows

$$\begin{cases} v_{LB} = L_B \cdot di_{LB}/dt = V_{dc} - V_{C1} - V_{C2} = V_{dc} - V_{PN} \\ i_{C1} = i_{C2} = C_1 dv_{C1}/dt = C_2 dv_{C2}/dt = I_{LB} - I_{PN} \end{cases} \quad (2)$$

When V_{AB} operates in negative half cycle, the inverter side adopts vectors [PP], [OP], [NP]. Now, the dc–dc circuit utilizes modes 3 and 4 to boost dc-link voltage. In these modes, switches S_2 and S_3 are always triggered ON, as illustrated in Fig. 3(c) and (d). In mode 3, switch S_1 is gated ON to store energy to inductor L_B . Two capacitors are discharged by inverter side current. Equation (1) describes the voltage of inductor L_B and capacitor currents in this mode. In mode 4, switch S_1 is turned OFF, which forward-biases diode D_1 , as presented in Fig. 3(d). The inductor L_B charges for two capacitors, as expressed in the following equation:

$$\begin{cases} v_{LB} = L_B \cdot di_{LB}/dt = -V_{C1} - V_{C2} = -V_{PN} \\ i_{C1} = i_{C2} = C_1 dv_{C1}/dt = C_2 dv_{C2}/dt = I_{LB} - I_{PN} \end{cases} \quad (3)$$

III. PROPOSED SVM METHOD TO BALANCE NPV

In Fig. 4, the space vector diagram (SVD) of the proposed SVM approach is presented. This SVD has two zero vectors [PP] and [NN], two medium vectors [ON] and [OP], two large vectors [PN] and [NP]. For large vectors [PN] in Fig. 2(a) and [NP] in Fig. 2(f), the neutral current i_{NP} is 0 A, the capacitor currents I_{C1} and I_{C2} are equal and $I_{C1} = I_{C2} = i_{dc} - i_{inv}$, where i_{dc} and i_{inv} are output currents of dc–dc circuit and inverter circuit, respectively. For zero vectors [PP] and [NN] in Fig. 2(c) and (d), the capacitor currents are $I_{C1} = I_{C2} = i_{dc}$. The capacitor currents in the large vectors and zero vectors are found to be equal, so these vectors do not affect the difference between these capacitor voltages. However, there is a difference between two capacitor currents in medium vectors. As presented in Fig. 2(b) and (e), when the inverter operates under vectors [OP] and [ON], terminal A is directly connected to neutral point O. Now, the neutral current

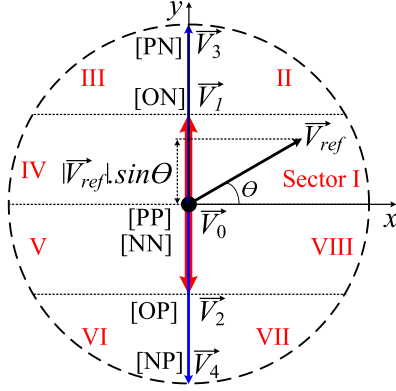


Fig. 4. SVD of the proposed SVM method.

i_{NP} is $-i_{inv}$. Two capacitor currents are expressed as follows:

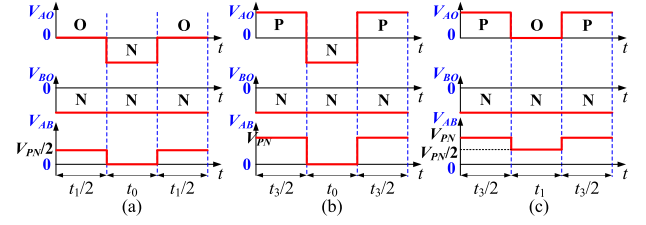
$$\begin{cases} i_{C1} = C_1 \frac{dv_{C1}}{dt} = i_{dc} \\ i_{C2} = C_2 \frac{dv_{C2}}{dt} = i_{dc} + i_{NP} = i_{dc} - i_{inv} \end{cases} \text{ for [ON]} \\ \text{and } \begin{cases} i_{C1} = C_1 \frac{dv_{C1}}{dt} = i_{dc} - i_{NP} = i_{dc} + i_{inv} \\ i_{C2} = C_2 \frac{dv_{C2}}{dt} = i_{dc} \end{cases} \text{ for [OP].} \quad (4)$$

Because of the difference between I_{C1} and I_{C2} in medium vectors, these vectors affect the capacitor voltage difference. For example, when $i_{inv} < 0$ and $C_1 = C_2$, current of capacitor C_2 is larger than that of capacitor C_1 . Consequently, capacitor C_2 voltage is increased faster than capacitor C_1 voltage. So, in case of $V_{C1} > V_{C2}$, these medium vectors can balance capacitor voltages. In contrast, in case of $V_{C1} < V_{C2}$, these medium vectors increase the capacitor voltage difference which makes the capacitor voltage unbalance issue worse. Similarly, when $i_{inv} > 0$, current of capacitor C_2 is smaller than that of capacitor C_1 . As a result, capacitor C_1 voltage is increased faster than capacitor C_2 voltage. So, in case of $V_{C2} > V_{C1}$, these medium vectors can balance capacitor voltages. However, when $V_{C2} < V_{C1}$, these medium vectors make the capacitor voltage unbalance issue worse. It can be concluded that, the NPV can be balanced by choosing appropriate medium vectors.

A. Proposed SVM Method

The SVD is separated into eight sectors (I–VIII), as shown in Fig. 4. The analysis of the proposed method is carried out by considering sectors I and II as examples. In conventional SVM methods, two nearest vectors will be selected to synthesize the reference vector \vec{V}_{ref} . For example, in sector I, two vectors [ON] and [NN] are adopted in switching sequence. However, in the proposed method, candidate voltage vectors will be selected based on capacitor voltages to balance NPV.

If the reference vector is located in Sector I, based on actual capacitor voltages, candidate voltage vectors will be selected to balance NPV. In case 1, when $V_{C1} < V_{C2}$, if $i_{inv} > 0$, vector [ON] helps to balance NPV. So, two vectors [ON] and [NN] are adopted to synthesize output voltage. The following equations


 Fig. 5. Switching sequences for proposed method in (a) and (b) sector I, (c) sector II. (a) $V_{C1} < V_{C2}$, $i_{inv} > 0$ or $V_{C1} > V_{C2}$, $i_{inv} < 0$, (b) $V_{C1} > V_{C2}$, $i_{inv} > 0$ or $V_{C1} < V_{C2}$, $i_{inv} < 0$.

are obtained

$$|\vec{V}_{ref}| \sin \theta \cdot T = |\vec{V}_0| \cdot t_0 + |\vec{V}_1| \sin(\pi/2) \cdot t_1 \text{ and } T = t_0 + t_1 \quad (5)$$

where T is switching period, t_0 , t_1 are dwell times of vectors \vec{V}_0 and \vec{V}_1 , respectively.

The $|\vec{V}_{ref}|$, $|\vec{V}_0|$, $|\vec{V}_1|$ are expressed as follows:

$$|\vec{V}_{ref}| = MBV_{dc}; |\vec{V}_0| = 0; |\vec{V}_1| = BV_{dc}/2 \quad (6)$$

where M is modulation index ($M \leq 1$), B is boost factor.

Substituting (6) into (5), the calculation of dwell times for the candidate voltage vectors is given by

$$t_1 = 2M \sin(\theta)T; \text{ and } t_0 = (1 - 2M \sin \theta)T. \quad (7)$$

In case 2, when $V_{C1} > V_{C2}$, if $i_{inv} > 0$, two medium vectors cannot be adopted in switching sequence because they increase the difference between two capacitor voltages. So, zero vector [NN] and large vector [PN] are selected to synthesize output voltage. These vectors can maintain the difference between V_{C1} and V_{C2} . The following equations are obtained

$$|\vec{V}_{ref}| \sin \theta \cdot T = |\vec{V}_0| \cdot t_0 + |\vec{V}_3| \sin(\pi/2) \cdot t_3 \text{ and } T = t_0 + t_3 \quad (8)$$

where t_3 is dwell time of vector \vec{V}_3 . $|\vec{V}_3|$ is expressed as follows:

$$|\vec{V}_3| = BV_{dc}. \quad (9)$$

The on-times of candidate vectors are expressed as follows:

$$t_3 = M \sin \theta T \text{ and } t_0 = (1 - M \sin \theta)T. \quad (10)$$

The switching sequences for case 1 and case 2 are [ON]-[NN]-[ON] and [PN]-[NN]-[PN], as presented in Fig. 5(a) and (b). In cases 3, when $V_{C1} < V_{C2}$ and $i_{inv} < 0$, the switching pattern in Fig. 5(b) is selected, whereas the switching sequence in Fig. 5(a) is adopted to balance NPV when $V_{C1} > V_{C2}$ and $i_{inv} < 0$ (case 4).

In case the reference vector falls within Sector II, two vectors [ON] and [PN] are adopted. The relationship between these vectors and vector \vec{V}_{ref} are expressed as follows:

$$\begin{cases} |\vec{V}_{ref}| \sin \theta \cdot T = |\vec{V}_1| \sin(\pi/2) \cdot t_1 + |\vec{V}_3| \sin(\pi/2) \cdot t_3 \\ T = t_1 + t_3 \end{cases} \quad (11)$$

The on-times of the candidate vectors can be obtained as

$$t_3 = (2M \sin \theta - 1)T; \text{ and } t_1 = (2 - 2M \sin \theta)T. \quad (12)$$

In Fig. 5(c), the switching sequence for sector II is [PN]-[ON]-[PN]. Table I summarizes the dwell-time calculations

TABLE I
DWELL-TIME CALCULATIONS AND SWITCHING SEQUENCE SELECTIONS

| Sector | | I_{inv} | Dwell-times | Switching sequences |
|---------|-------------------|-----------|---|---------------------|
| I, IV | $V_{C1} < V_{C2}$ | > 0 | $t_1 = 2M \sin \theta T; t_0 = (1 - 2M \sin \theta)T$ | [ON]-[NN]-[ON] |
| | $V_{C1} > V_{C2}$ | < 0 | $t_3 = M \sin \theta T; t_0 = (1 - M \sin \theta)T$ | [PN]-[NN]-[PN] |
| II, III | - | - | $t_3 = (2M \sin \theta - 1)T; t_1 = (2 - 2M \sin \theta)T$ | [PN]-[ON]-[PN] |
| V, VIII | $V_{C1} < V_{C2}$ | > 0 | $t_2 = -2M \sin \theta T; t_0 = (1 + 2M \sin \theta)T$ | [PP]-[OP]-[PP] |
| | $V_{C1} > V_{C2}$ | < 0 | $t_4 = -M \sin \theta T; t_0 = (1 + M \sin \theta)T$ | [PP]-[NP]-[PP] |
| VI, VII | - | - | $t_4 = (-2M \sin \theta - 1)T; t_2 = (2 + 2M \sin \theta)T$ | [OP]-[NP]-[OP] |

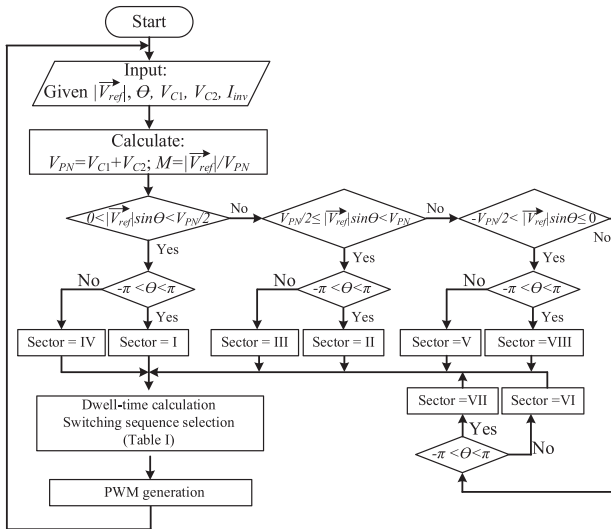


Fig. 6. Flowchart of the proposed SVM method in any switching period T .

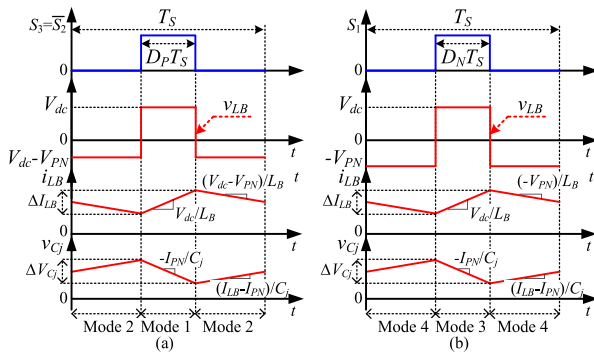


Fig. 7. Key waveforms of the introduced 5L-CG-BBI under (a) positive half cycle of V_{AB} and (b) negative half cycle of V_{AB} .

and switching sequence selections for the other sectors. The flowchart of the proposed SVM method is depicted in Fig. 6.

B. Steady-State Analysis

Fig. 7 illustrates the key waveforms of the proposed inverter during the positive and negative half cycles of the output voltage. In positive half cycle, duty ratio of mode 1 is D_P , whereas duty ratio of mode 2 is $(1 - D_P)$, as shown in Fig. 7(a). Applying the volt-second balance principle to inductor L_B , the capacitor

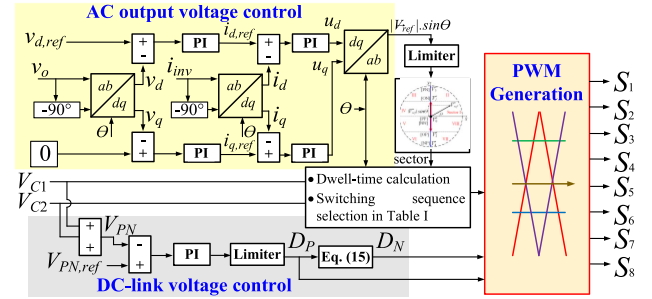


Fig. 8. Control block diagram of the proposed method.

voltages V_{C1} and V_{C2} are expressed as follows:

$$V_{C1} = V_{C2} = V_{PN}/2 = V_{dc}/[2(1 - D_P)]. \quad (13)$$

Similarly, in negative half cycle, the duty ratio of mode 3 is D_N , while duty ratio of mode 4 is $(1 - D_N)$. Based on (1), (3), and voltage-second balanced principle of inductor L_B , the capacitor voltages are calculated as follows:

$$V_{C1} = V_{C2} = V_{PN}/2 = V_{dc}D_N/[2(1 - D_N)]. \quad (14)$$

Now, the following equation presents boost factor B :

$$B = V_{PN}/V_{dc} = 1/(1 - D_P) = D_N/(1 - D_N). \quad (15)$$

The amplitude of output voltage \hat{V}_O is the magnitude of reference vector, which is calculated as follows:

$$\hat{V}_O = MBV_{dc} = MV_{dc}/(1 - D_P) = MV_{dc}D_N/(1 - D_N). \quad (16)$$

The voltage gain G of the inverter is given as follows:

$$G = \hat{V}_O/V_{dc} = MB = M/(1 - D_P) = MD_N/(1 - D_N). \quad (17)$$

C. DC-Link Voltage and AC Output Voltage Regulations

Fig. 8 presents the control block diagram designed to maintain a constant dc-link voltage and generate an ac output voltage at the load. It should be noted that the dc-link voltage regulation and the ac output voltage control are carried out independently. First, two capacitor voltages, V_{C1} and V_{C2} , are fed back to the controller to regulate the dc-link voltage. The V_{C1} and V_{C2} are added up to determine the dc-link voltage. The actual value of V_{PN} is compared with desire dc-link voltage to generate error signal. As illustrated in Fig. 8, a proportional integral (PI) controller is

adopted to minimize this error signal. Duty ratio D_P is the PI controller's output. Equation (15) is used to compute duty ratio D_N . The values D_P and D_N are employed to control the dc–dc stage of the introduced inverter.

The ac output voltage regulation is carried out by providing feedback signals of V_O and I_{inv} to the controller. Then PI controllers are adopted to calculate value $|\vec{V}_{ref}| \sin \theta$, as presented in Fig. 8. The limiter of ac output voltage control loop limits the $|\vec{V}_{ref}| \sin \theta$ to no greater than dc-link voltage V_{PN} . This value is used to determine sector of reference vector, shown in Fig. 6. Then, the dwell-time calculation and switching sequence selection are done by using capacitor voltages, phase angle θ and the help of Table I. It should be noted that two capacitor voltages are used to determine switching sequence to balance NPV. The switching sequence is then used to generate control signal to switches.

IV. COMPONENT SELECTIONS

A. Inductor and Capacitor Selections

Based on (15), the coefficient D_P is calculated by the duty ratio D_N as: $D_P = (2D_N - 1)D_N$, with $0.5 \leq D_N \leq 1$. It can be seen that duty ratio D_P is always less than duty ratio D_N . As a result, inductor current ripple ΔI_{LB} calculated by D_N is larger than the current ripple calculated by D_P . Therefore, the inductor current ripple based on D_N is used to select inductor L_B . The ΔI_{LB} is expressed as follows:

$$\Delta I_{LB} = V_{dc} D_N T_S / L_B. \quad (18)$$

When the output voltage V_{AB} is positive, the L_B is directly attached to the input voltage. While, in negative cycle of V_{AB} , it is connected to input source in $D_N T_S$ in any switching period. So, the average current of inductor L_B is expressed as $I_{LB} = 2P_O / [V_{dc}(1 + D_N)]$, where P_O is output power.

The inductor is selected in term of $\Delta I_{LB} \leq k_L \% I_{LB}$, where $k_L \%$ is inductor current factor

$$L_B \geq V_{dc}^2 D_N (1 + D_N) T_S / (2k_L \% P_O). \quad (19)$$

The capacitor voltage ripple ΔV_{C_j} ($j = 1, 2$) are expressed by duty ratio D_N as follows:

$$\Delta V_{C_j} = I_{PN} D_N T_S / C_j. \quad (20)$$

The capacitor C_j is selected in term of $\Delta V_{C_j} \leq k_C \% B V_{dc} / 2$, where $k_C \%$ is capacitor voltage factor

$$C_j \geq 2I_{PN} D_N T_S / (k_C \% B V_{dc}). \quad (21)$$

If the proposed inverter is extended to apply for grid connected PV application, one input capacitor C_P has been added in parallel to the PV input voltage V_{PV} to prevent 2ω power from flowing into the PV panel [28]. The capacitance of C_P is expressed as follows [28]:

$$C_P \geq P_m / (2\omega k_V \% V_{PV}^2) \quad (22)$$

where P_m is designed power of the inverter, $k_V \%$ is acceptable percentage of PV input voltage ripple which is usually 5% – 10%, ω is line frequency of ac grid.

B. Semiconductor Device Selections

The voltage ratings of switches S_2 – S_5 are dc-link voltage V_{PN} , while the switch S_6 – S_8 voltage rating are half of dc-link voltage $V_{PN}/2$. Switch S_1 and diode D_1 block the sum of the input voltage and the dc-link voltage, $V_{dc} + V_{PN}$. Three switch S_1 – S_3 , and diode D_1 transfer inductor current I_{LB} , while other switches are designed to carry inverter side current I_{PN} .

V. COMPARISON STUDY

The proposed inverter can bring some benefits such as:

- 1) eliminating LC by adopting CG characteristic;
- 2) reducing component voltage ratings with uncoupled M and D feature; and
- 3) reducing cost of semiconductor devices.

Moreover, because the proposed inverter does not use SC structure, it does not generate high inrush currents through capacitors and semiconductor devices. In order to highlight these advantages, some single-phase 5LIs have been compared with the introduced 5L-CG-BBI, as listed in Table II.

First, the numbers of component counts have been compared between the proposed inverter and others. In general, the numbers of switches used in these topologies are approximately the same. The topologies in [16] and [17] employ one fewer active switch than the proposed inverter; however, they require one additional diode compared to the proposed topology. The proposed 5L-CG-BBI can save one active switch compared to [18], [20] and two switches compared to [21] and conventional two stage 5L-ANPC inverter mentioned in [13]. The topology in [19] requires one fewer diode than the proposed inverter. The work in [13] uses smallest numbers of switching devices, which only uses seven switches and zero diode.

The [16] and [18] use SC structure to boost the dc-link voltage. When capacitors of these works are connected in parallel, they generate high inrush charging currents through capacitors and switches because of small parasitic resistances of devices in charging paths. However, the proposed inverter acts like a conventional 2-stage buck-boost inverter, so it can limit the charging currents of capacitors to no more than inductor current. Consequently, the introduced topology does not generate high inrush charging currents through capacitors and switches.

Topology in [16] does not have a constant CMV, so it generates high LC through parasitic capacitors between source and load. The works in [19] and [20] directly connect the positive point of dc input source to the negative point of load, while the conventional two-stage 5L-ANPC inverter establishes a direct connection between the load negative point and the dc-link neutral point. So, they generate constant CMVs and near zero LCs. The proposed inverter, the works reported in [13], [17], [18], and [21] adopt a CG connection for both the input source and the output load. Hence, they create zero CMVs and zero LCs.

The efficiency of the proposed inverter and others has been listed in Table II. It can be seen that the efficiency reported in Table II varies from 95% to 97%. The proposed inverter can obtain efficiency of 95.4% in buck mode and 95% in boost mode. It is close to existing inverters.

The component voltage ratings of the proposed inverter have been compared with the others in terms of total capacitor voltage

TABLE II
OVERALL COMPARISON BETWEEN PROPOSED TOPOLOGY AND EXISTING BUCK-BOOST INVERTERS

| | Sw. | Di. | Ind. | Cap. | (D) | I_{cc} | (B) | (G) | TCV | TSV | TDV | CMV/ LC | Cost of Semi | η @ Power |
|-----------------|-----|-----|------|------|---------------|----------|-----------|---------|--------|----------|-------|--------------|--------------|---|
| [16] | 7 | 2 | 0 | 2 | NA | High | 2 | $2M$ | 2 | 11 | 2 | High/high | \$128.65 | 95.2% @ 1kW |
| [17] | 7 | 2 | 2 | 2 | 0.5 | Low | 2 | $2M$ | 3 | 12 | 4 | Zero/zero | \$140.51 | NA |
| [18] | 9 | 0 | 0 | 2 | NA | High | 2 | $2M$ | 2 | 13 | NA | Zero/zero | \$129.07 | 97% @ 1kW (buck) 96.2% @ 1kW (boost) |
| [21] | 10 | 0 | 1 | 2 | Uncoupled | No | $2/(1-D)$ | MB | B | $7.5B$ | NA | Zero/zero | \$148.6 | NA |
| [13] | 7 | 0 | 1 | 2 | $D \geq 2M-1$ | No | $1/(1-D)$ | MB | $1.5B$ | $6B$ | NA | Zero/zero | \$118.03 | NA |
| [19] | 8 | 0 | 1 | 2 | $D \geq M$ | No | $1/(1-D)$ | MB | $1.5B$ | $7B$ | NA | $V_{dc}/0$ | \$137.56 | 95.9% @ 170W |
| [20] | 9 | 0 | 1 | 2 | $D \geq M$ | High | $2/(1-D)$ | MB | B | $6.5B$ | NA | $-V_{dc}/0$ | \$175.77 | NA |
| 2-Stage 5L-ANPC | 10 | 0 | 1 | 3 | Uncoupled | No | $1/(1-D)$ | $MB/2$ | $1.5B$ | $6B$ | NA | $V_{pn}/2/0$ | \$120.58 | NA |
| Proposed | 8 | 1 | 1 | 2 | Uncoupled | No | $D/(1-D)$ | MB | B | $6.5B+1$ | $B+1$ | Zero/zero | \$106.77 | 95.4% @ 900W (buck) 95% @ 900W (boost) |

I_{cc} : Capacitor charging current.

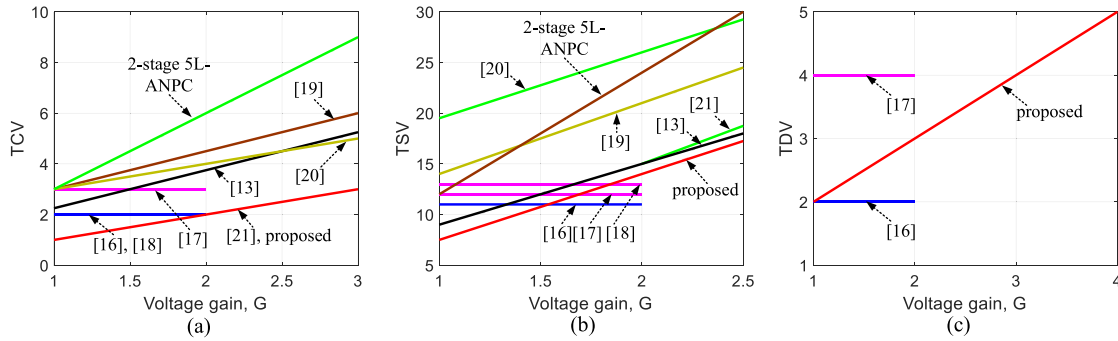


Fig. 9. Comparison between the proposed inverter and others. (a) TCV stress versus voltage gain. (b) TSV stress versus voltage gain. (c) TDV stress versus voltage gain.

(TCV) stress, total switch voltage (TSV) stress, total diode voltage (TDV) stress. The parameters are defined as follows:

$$\begin{cases} \text{TCV} = \frac{1}{V_{dc}} \sum_{i=1}^C V_{C,i}; & \text{TSV} = \frac{1}{V_{dc}} \sum_{j=1}^S V_{SW,j}; \\ \text{TDV} = \frac{1}{V_{dc}} \sum_{k=1}^D V_{diode,k} \end{cases} \quad (23)$$

Fig. 9 presents comparison results about TCV, TSV, TDV between the proposed inverter and others. It should be noted that modulation index M of the works [21], two-stage 5L-ANPC, and the proposed inverter is maximized to be 1 in these comparisons because M and duty ratios of boost circuit are uncoupled. It can be seen that the inverters in [16], [17], and [18] have larger TCV than the proposed inverter and [21] in the range $G < 2$ because they have constant boost factor as 2 whereas the boost factor of the proposed inverter and [21] are $B = G/M = G$. Assume that the inverters in [13], [19], [20], and [21], two-stage 5L-ANPC, and the proposed inverter operate with the same value of G , the works in [13], [19], and [20] have boost factor of $B = G/M$ whereas the boost factor of the two-stage 5L-ANPC is $B = 2G/M = 2G$. As shown in Table II, the modulation index M of [13], [19], [20] is smaller than 1 because $1 > D \geq 2M-1$ and $1 > D \geq M$. As a result, [13], [19], [20], and the two-stage 5L-ANPC have larger boost

factor and TCV than the proposed inverter and [21]. Similarly, the proposed inverter also has better TSV than [13], [19], [20], [21], two-stage 5L-ANPC, as shown in Fig. 9(b). In the range $G < 1.5$ and $G > 2$, the proposed inverter also has smallest TSV compared to others, as shown in Fig. 9(b). When $1.5 \leq G \leq 2$, the introduced inverter presents moderate value of TSV, which is larger than the works in [16], [17], and [18] and smaller than others. The proposed inverter also introduces moderate value of TDV when comparing with [16] and [17], as shown in Fig. 9(c).

The proposed inverter brings benefit of component voltage rating reduction which leads to reduce the cost of semiconductor devices. In order to highlight this benefit, the cost of the proposed inverter has been compared with the others as follows. Table III shows parameters of MOSFETs and diodes of Onsemi used to design these inverters. The proposed inverter and others are designed to operate with the input voltage varying from 200 to 400 V. The dc-link voltage and output load voltage of the proposed inverter are controlled at 400 V and 220 V_{RMS}, respectively. At 400-V input voltage, the voltage stresses of switch S_1 and diode D_1 of the proposed inverter reach value 800 V, while the voltage ratings of switches S_2 – S_5 are 400 V and the voltage ratings of switches S_6 – S_8 are 200 V. As a result, switch S_1 and diode D_1 are selected as 1200 V MOSFET and

TABLE III
UNIT PRICES OF SEMICONDUCTOR DEVICES

| Devices | Unit Price (\$) @ ww.mouser.com |
|--|---------------------------------|
| MOSFET (1200 V) Onsemi: UJ3C120080K3S | \$19.53 |
| MOSFET (650 V) Onsemi:NTH4L060N065SC1 | \$10.19 |
| Diode (1200 V) Onsemi: FFSH30120A-F155 | \$15.91 |
| Diode (650 V) Onsemi: FFSH3065B-F085 | \$9.98 |

TABLE IV
EXPERIMENTAL PARAMETERS

| Parameters/ Components | Values |
|------------------------|--|
| DC source | V_{dc} 200–400 V |
| AC output voltage | $V_{O,RMS}$ 220V _{RMS} |
| Output frequency | f_O 50 Hz |
| Switching frequency | f_s 10 kHz |
| Boost inductor | r_{LB}, L_B 0.4 Ω , 3 mH/20 A |
| DC-link capacitors | r_{ESR}, C_1, C_2 0.05 Ω , 1 mF/400 V |
| LC filter | L_f and C_f 3 mH and 10 μ F |
| RL load | $R-L$ 76 Ω - 50 mH |
| S_1-S_8 | C3M0075120D (1200-V, 75-m Ω) |
| D_1 | UJ3D1250K2 (1200-V, 50-A) |

1200 V diode. While, switches S_2-S_8 are designed as 650 V MOSFETs. Because the proposed inverter has smaller component voltage ratings than others, the proposed inverter can be designed with fewer 1200 V IGBTs/MOSFETs than others. For example, at 400-V input voltage, the work in [19] has the minimum value of dc-link voltage of 711 V in theory by applying $D = M = 0.4374$. The topology in [19] has six switches blocking dc-link voltage and two switches blocking half of dc-link voltage. As a result, the topology in [19] are designed with six 1200 V switches and two 650 V switches. The same way can be applied to design other topologies. The result is that the proposed inverter uses only one 1200 V MOSFET, while the works in [16], [17], and [18] use four 1200 V switches, the works in [13], [21] use five 1200 V switches, the study in [19] and [20] use six and nine 1200 V switches, respectively. Consequently, the proposed inverter has smallest total cost of semiconductors than others, as shown in Table II.

VI. POWER LOSS DISTRIBUTION

The system parameters used for power loss estimation are presented in Table IV. The power loss calculations are performed in four cases. In case 1, the input voltage and dc-link voltage are set to 200 and 400 V, the modulation index M and duty ratio D_N are selected as 0.78 and 0.67, respectively. In case 2, the buck mode operation is tested with 400 V input voltage and 400 V dc-link voltage. The values of M and D_N are respectively 0.78 and 0.5. In case 3, dc-link voltage is boosted to 500 from 200 V input voltage, the M and D_N are 0.62 and 0.71. In case 4, 400-V input voltage and 500-V dc-link voltage are adopted with $M = 0.62$ and $D_N = 0.56$. The output load voltage is controlled at 220 V_{RMS}, and rated output power of 900 W. The power loss distribution of the 5L-CG-BBI topology at different M and D_N values are illustrated in Fig. 10. The results indicate that the minimum total loss of 22.71 W occurs at case 4, while

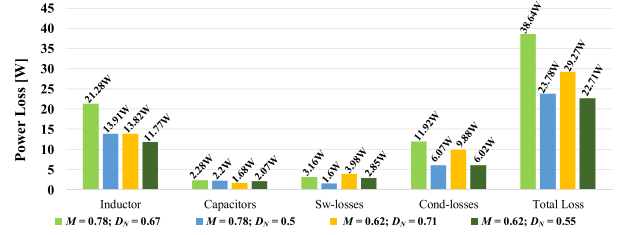


Fig. 10. Power loss distribution under 900-W output power.

the maximum total loss of 38.64 W is observed at case 1. The efficiency of the inverter corresponding four cases are 95.7%, 97.4%, 96.7%, and 97.5%, respectively. It can be observed that the proposed topology achieves relatively high efficiency, ranging from 95.7% to 97.5%. When D_N is set at higher values (0.67–0.71), it leads to a large boost factor B (2–2.5), causing higher current through the inductor. Consequently, inductor loss, and conduction losses of semiconductor devices increase, which reduces the efficiency of the inverter to about 95.7%–96.7%. In contrast, at lower D_N values with a moderate boost factor (1–1.25), the total losses are reduced which allows the higher efficiency of 97.4% and 97.5%. The power losses are mainly concentrated in the inductor, which accounts for approximately 51.8%–55% of the total loss. The conduction losses are around 26.5%–30.8%. Meanwhile, switching losses and the capacitors losses account for the smallest percentages in the total loss.

VII. EXPERIMENTAL RESULTS AND DISCUSSIONS

The experimental results are conducted in order to validate:

- 1) the operating of the proposed inverter under buck and boost modes,
- 2) reactive power capability,
- 3) capacitor voltage balance capability, and
- 4) responds of the proposed inverter under varying loads and input voltages with the proposed controllers.

In order to verify buck-boost operation of the proposed inverter, the input voltage is selected in the range from 200 to 400 V. This is the commonly range of input voltage for PV-grid connected applications [3], [4], [18], [21]. Resistive-inductive (RL) loads are adopted to verify the operating of the inverter with reactive power capability. It should be noted that the RL loads are those available in the laboratory. The 30 Ω -50 mH and 76 Ω -50 mH are adopted in experiment. With these values of RL loads, the power factor of the load is 0.886 for 30 Ω -50 mH RL load and 0.98 for 76 Ω -50 mH RL load. Fig. 11 provides an illustration of the experimental setup. Control signals of all switches are created by microcontroller DSP TMS320 F28335. Voltage sensors HV25 and current sensors ACS712 are adopted to measure capacitor voltages, output voltage and current. Parameters of the experiment are listed in Table IV. The low-pass filter (3 mH-10 μ F) designed with a cut-off frequency of 900 Hz is utilized to mitigate high-frequency harmonics of the output voltage/current.

First, the proposed inverter is tested under boost mode with 200 V input voltage. Fig. 12(a)–(f) shows the experimental

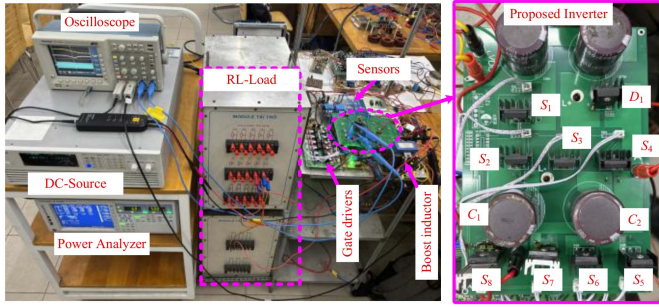


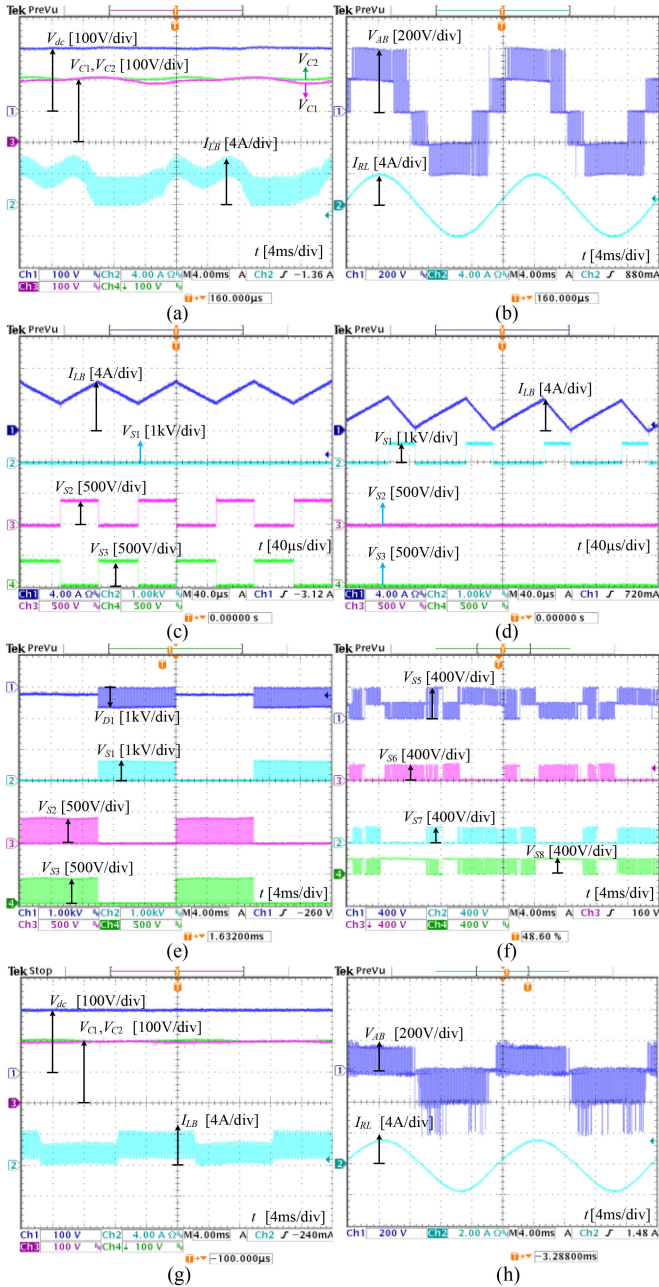
Fig. 11. Experimental setup.

TABLE V
SUMMARY OF EXPERIMENTAL RESULTS

| | V_{C1} | V_{C2} | $V_{O,RMS}$ | $I_{RL,RMS}$ | THD $_{I_{RL}}$ |
|-------------------------|----------|----------|-------------|--------------|-----------------|
| $V_{dc} = 200\text{ V}$ | 198 V | 203 V | 217 V | 2.8 A | 2.45% |
| $V_{dc} = 400\text{ V}$ | 203 V | 205 V | 218 V | 2.82 A | 2.22% |

results for boost mode operation. The $76\ \Omega$ -50 mH RL load is adopted in this experiment. From 200-V input voltage, capacitor C_1 and C_2 voltages are boosted to 198 and 203 V, respectively, as shown in Fig. 12(a). The dc-link voltage is approximately 400 V. The two capacitor voltages exhibit a difference of 5 V, which represents 1.25% of the dc-link voltage. The average input inductor current is 3.18 A, the inductor waveform is illustrated in Fig. 12(a). The output voltage V_{AB} has five voltage levels: $\pm 400\text{ V}$, $\pm 200\text{ V}$ and 0 V , as depicted in Fig. 12(b). The waveform of output load current I_{RL} is sinusoidal. Its rms current value is 2.8 A. As a result, the output load voltage obtains 217 V_{RMS} . The THD of output current is 2.45%. The zoom-in waveforms of inductor current, drain-source voltages of S_1 , S_2 , and S_3 in positive and negative half cycles output voltage V_{AB} are shown in Fig. 12(c) and (d), respectively. In positive half cycle of V_{AB} , Fig. 12(c), the inductor L_B stores energy when switch S_3 is gated ON. It should be noted that S_1 is always gated on during positive half cycle of V_{AB} . In negative half cycle of V_{AB} , Fig. 12(d), two switches S_2 and S_3 are both gated ON. The inductor L_B stores energy when switch S_1 is triggered ON. The drain-source voltages of switches S_1 – S_8 and anode-cathode voltage of diode D_1 are shown in Fig. 12(e) and (f). It shows that the voltage stresses of D_1 and S_1 are the sum of input voltage and dc-link voltage, which are approximately 600 V. The voltage stresses of S_2 , S_3 , and S_5 are dc-link voltage, while they are half of dc-link voltage for switches S_6 , S_7 , and S_8 . The proposed inverter is also tested under small modulation index, $M = 0.39$, as shown in Fig. 12(g) and (h). In this case, capacitor voltages are also boosted to 199 and 202 V, respectively. During almost the output voltage cycle, the output voltage V_{AB} has three voltage levels: ± 200 and 0 V , as depicted in Fig. 12(h). It is because the inverter operates in sectors I, IV, V, VIII due to a small modulation index ($M < 0.5$). In these sectors, the inverter operates mostly at the medium and zero vectors. The waveform of output load current I_{RL} is sinusoidal. Its rms current value is 1.37 A. As a result, the output load voltage obtains 107 V_{RMS} . The THD of output current is 2.78%. The proposed inverter is also tested under buck mode with 400 V input voltage. The experimental results for buck mode are listed in Table V.

Second, the proposed NPV balance scheme has been tested. In order to generate unbalanced capacitor voltage conditions, an additional resistor have been connected to C_1 or C_2 . In case 1, one additional 2-k Ω resistor has been connected in parallel to capacitor C_2 to make V_{C1} greater than V_{C2} , as shown in Fig. 13(a). When the proposed NPV balanced method is enabled, two capacitor voltages are balanced even though additional resistor is still connected in parallel to C_2 . In case 2, an additional 2-k Ω resistor is connected in parallel to C_1 to generate $V_{C2} > V_{C1}$, as depicted in Fig. 13(b). The voltage difference between two capacitors is also decreased to zero by applying the

Fig. 12. Experimental results under 200-V input voltage. (a)–(f) $M = 0.78$, $B = 2$, (g), and (h) $M = 0.39$, $B = 2$.

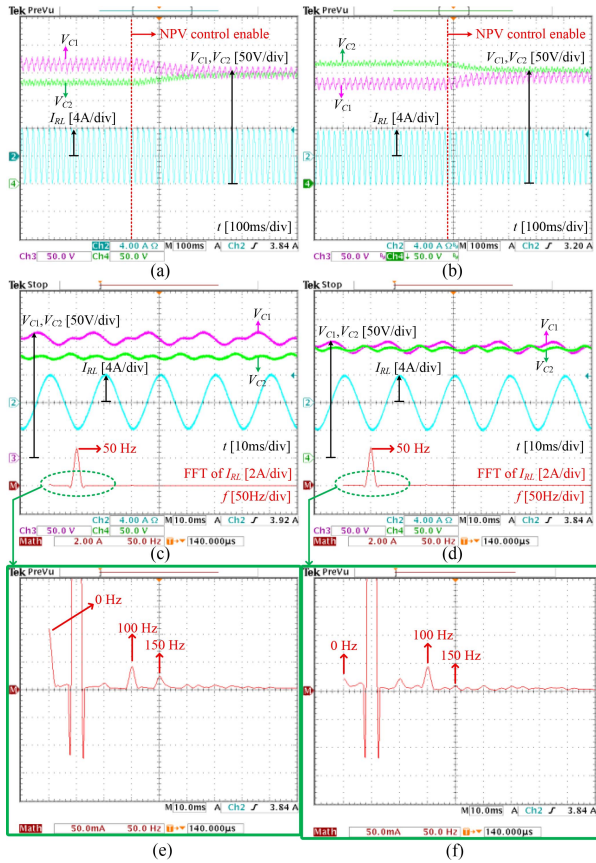


Fig. 13. Experimental results for validating the proposed NPV balance scheme. (a) $V_{C1} > V_{C2}$. (b) $V_{C1} < V_{C2}$. (c), (d) FFT spectra of I_{RL} under unbalanced and balanced NPV, (e), (f) zoom-in waveforms of FFT spectra of I_{RL} .

proposed method, as illustrated in Fig. 13(b). Fig. 13(c) and (d) shows the waveforms of capacitor voltages, output current and its FFT spectra under unbalanced and balanced NPV. Fig. 13(c) shows that unbalanced NPV condition generates high amplitude harmonics at 0, 100, and 150 Hz of output current. However, the proposed method helps to balance capacitor voltages and reduce amplitude of harmonics of output current, as shown in Fig. 13(d). These results demonstrate the effectiveness of the proposed NPV balance scheme.

Fig. 14 illustrates the experimental results for both dc-link voltage regulation and ac output voltage control. First, the proposed closed-loop controllers are tested under variation of input source. The V_{dc} changes from 200 to 400 V in Fig. 14(a) and from 400 to 200 V in Fig. 14(b). In both cases, two capacitor voltages are controlled at 200 V with small undershoot, which ensures 400 V of dc-link voltage. The ac output voltage is controlled at 220 V_{RMS}, approximately, which generates 2.8 A_{RMS} of output current, as shown in Fig. 14(a) and (b). Next, the proposed controllers are also tested under change of load. In Fig. 14(c), the output load is changed from 76 Ω-50 mH to 30 Ω-50 mH, the output load current is increased. However, the capacitor voltages and output load voltage are still maintained as 200 V and 220 V_{RMS}. Similarly, the change of load from 30 Ω-50 mH to 76 Ω-50 mH does not affect the dc-link voltage and ac output load voltage with the help of the proposed controllers.

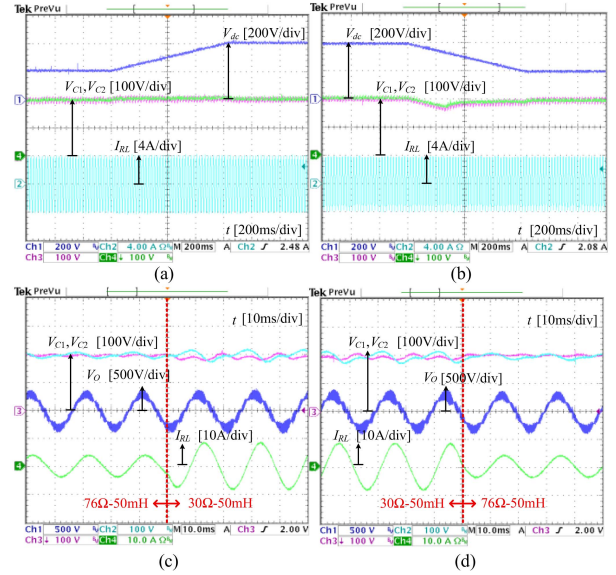


Fig. 14. Experimental results under (a) and (b) variation of input voltage, and (c) and (d) variation of output load.

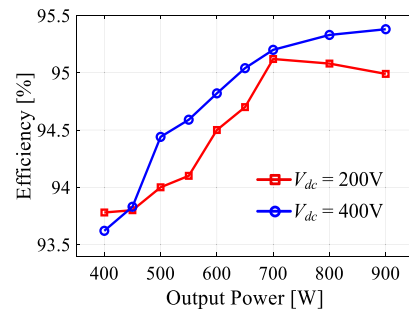


Fig. 15. Efficiency of the proposed inverter.

The efficiency of the proposed inverter has been measured with the help of power analyzer Yokogawa WT3000E. The results of efficiency are drawn in Fig. 15. The inverter's efficiency is measured under 200 and 400 V of input voltage. An increase in input voltage leads to higher efficiency, which results from the decrease in input current. It results in reducing conduction losses of the inverter. At 900 W of output power, the proposed inverter obtains 95.4% at 400 V of V_{dc} and 95% at 200 V of V_{dc} , as shown in Fig. 15.

VIII. CONCLUSION

This article has presented a new topology of 5L-CG-BBI, which can obtain some advantages as:

- 1) completely eliminates CMV by adopting CG feature,
- 2) balances NPV, and
- 3) two-stage power conversion enabling both step-up and step-down control of the ac output voltage.

The SVM method has been presented to control the proposed inverter. The medium vectors and large vectors have been appropriately selected in the switching sequence to balance NPV. In this topology, the dc-dc stage and dc-ac stage have been controlled separately. As a result, the modulation index of the dc-ac stage can be maximized to 1 in theory, which

helps to reduce component voltage ratings compared to existing CG inverters. The comparative analysis and experimental setup have been presented to demonstrate the benefits and verify the operating of the proposed inverter. To summarize, the introduced inverter is suitable for grid-connected PV systems.

REFERENCES

- [1] G. E. Valderrama, G. V. Guzman, E. I. Pool-Mazún, P. R. Martínez-Rodríguez, M. J. López-Sánchez, and J. M. S. Zúñiga, "A single-phase asymmetrical T-type five-level transformerless PV inverter," *IEEE J. Emerg. Sel. Topics Power Electron.*, vol. 6, no. 1, pp. 140–150, Mar. 2018.
- [2] L. Zhang, K. Sun, M. Gu, D. Xu, and Y. Gu, "A capacitor voltage balancing control method for five-level full-bridge grid-tied inverters without split-capacitor voltage sampling," *IEEE J. Emerg. Sel. Topics Power Electron.*, vol. 6, no. 4, pp. 2042–2052, Dec. 2018.
- [3] M. N. H. Khan, M. Forouzesh, Y. P. Siwakoti, L. Li, T. Kerekes, and F. Blaabjerg, "Transformerless inverter topologies for single-phase photovoltaic systems: A comparative review," *IEEE J. Emerg. Sel. Topics Power Electron.*, vol. 8, no. 1, pp. 805–835, Mar. 2020.
- [4] R. Barzegarkhoo, S. S. Lee, S. A. Khan, Y. P. Siwakoti, and D. D.-C. Lu, "A novel generalized common-ground switched-capacitor multilevel inverter suitable for transformerless grid-connected applications," *IEEE Trans. Power Electron.*, vol. 36, no. 9, pp. 10293–10306, Sep. 2021.
- [5] N. Noroozi and M. R. Zolghadri, "Three-phase quasi-Z-source inverter with constant common-mode voltage for photovoltaic application," *IEEE Trans. Ind. Electron.*, vol. 65, no. 6, pp. 4790–4798, Jun. 2018.
- [6] H. Li, Y. Zeng, B. Zhang, T. Q. Zheng, R. Hao, and Z. Yang, "An improved H5 topology with low common-mode current for transformerless PV grid-connected inverter," *IEEE Trans. Power Electron.*, vol. 34, no. 2, pp. 1254–1265, Feb. 2019.
- [7] K. S. Kumar, A. Kirubakaran, and N. Subrahmanyam, "Bidirectional clamping-based H5, HERIC, and H6 transformerless inverter topologies with reactive power capability," *IEEE Trans. Ind. Appl.*, vol. 56, no. 5, pp. 5119–5128, Sep./Oct. 2020.
- [8] B. Liu, M. Su, J. Yang, D. Song, D. He, and S. Song, "Combined reactive power injection modulation and grid current distortion improvement approach for H6 transformer-less photovoltaic inverter," *IEEE Trans. Energy Convers.*, vol. 32, no. 4, pp. 1456–1467, Dec. 2017.
- [9] J. Mao, W. Xiao, B. Zhang, F. Xie, Y. Chen, and D. Qiu, "Synthetic velocity vectors control law based on projection-value-based strategy for H6 inverter," *IEEE Access*, vol. 9, pp. 22023–22034, 2021.
- [10] C. K. Das, A. Kirubakaran, V. T. Somasekhar, and K. S. Kumar, "A dual quasi Z-source based T-type five-level inverter with improved HERIC structure for photovoltaic applications," *IEEE Trans. Ind. Appl.*, vol. 59, no. 4, pp. 4539–4549, Jul./Aug. 2023.
- [11] S. Dhara, A. Hota, S. Jain, and V. Agarwal, "A transformerless 1- φ , 5-level half-bridge PV inverter configuration based on switched-capacitor technique," *IEEE Trans. Ind. Appl.*, vol. 57, no. 2, pp. 1619–1628, Mar./Apr. 2021.
- [12] S. Kouro, J. I. Leon, D. Vinnikov, and L. G. Franquelo, "Grid-connected photovoltaic systems: An overview of recent research and emerging PV converter technology," *IEEE Ind. Electron. Mag.*, vol. 9, no. 1, pp. 47–61, Mar. 2015.
- [13] S. S. Lee, Y. Yang, and Y. P. Siwakoti, "A novel single-stage five-level common-ground-boost-type active neutral-point-clamped (5L-CGBT-ANPC) inverter," *IEEE Trans. Power Electron.*, vol. 36, no. 6, pp. 6192–6196, Jun. 2021.
- [14] A. Jakhari and N. Sandeep, "Five-level common-ground-type boosting inverter with lesser capacitor-stored energy," *IEEE Trans. Power Electron.*, vol. 38, no. 12, pp. 15121–15125, Dec. 2023.
- [15] D. Singh and N. Sandeep, "A 13-level switched-capacitor-based common-ground boosting inverter," *IEEE Trans. Circuits Syst. II, Exp. Briefs*, vol. 71, no. 8, pp. 3990–3994, Aug. 2024.
- [16] M. J. Sathik, N. Sandeep, D. J. Almkhles, and U. R. Yarangatti, "A five-level boosting inverter for PV application," *IEEE J. Emerg. Sel. Topics Power Electron.*, vol. 9, no. 4, pp. 5016–5025, Aug. 2021.
- [17] R. Barzegarkhoo, S. A. Khan, Y. P. Siwakoti, R. P. Aguilera, S. S. Lee, and M. N. H. Khan, "Implementation and analysis of a novel switched-boost common-ground five-level inverter modulated with model predictive control strategy," *IEEE J. Emerg. Sel. Topics Power Electron.*, vol. 10, no. 1, pp. 731–744, Feb. 2022.
- [18] M. N. H. Khan et al., "A common grounded type dual-mode five-level transformerless inverter for photovoltaic applications," *IEEE Trans. Ind. Electron.*, vol. 68, no. 10, pp. 9742–9754, Oct. 2021.
- [19] S. S. Lee, A.-V. Ho, R. Barzegarkhoo, F. B. Grigoletto, Y. P. Siwakoti, and S. Cao, "Single-phase boost inverters designed using half-bridges," *IEEE Trans. Ind. Electron.*, vol. 71, no. 9, pp. 11690–11695, Sep. 2024.
- [20] S. S. Lee, R. J. S. Lim, R. Barzegarkhoo, C. S. Lim, F. B. Grigoletto, and Y. P. Siwakoti, "A family of single-phase single-stage boost inverters," *IEEE Trans. Ind. Electron.*, vol. 70, no. 8, pp. 7955–7964, Aug. 2023.
- [21] R. Barzegarkhoo, S. S. Lee, Y. P. Siwakoti, S. A. Khan, and F. Blaabjerg, "Design, control, and analysis of a novel grid-interfaced switched-boost dual T-type five-level inverter with common-ground concept," *IEEE Trans. Ind. Electron.*, vol. 68, no. 9, pp. 8193–8206, Sep. 2021.
- [22] F. N. Oppong, H. F. Ahmed, A. A. Khan, and J. E. Quaicoe, "Single-phase quadratic-based common-ground boost inverter," *IEEE Trans. Ind. Appl.*, to be published, doi: [10.1109/TIA.2025.3603749](https://doi.org/10.1109/TIA.2025.3603749).
- [23] S. S. Neti and V. Singh, "Dual mode 1- ϕ transformerless inverter with common ground configuration for PV application," *IEEE Trans. Power Electron.*, vol. 40, no. 9, pp. 13603–13614, Sep. 2025.
- [24] S. S. Lee, "Switched-midpoint boost inverter (SMBI)," *IEEE Trans. Ind. Appl.*, vol. 61, no. 1, pp. 463–471, Jan./Feb. 2025.
- [25] F. Peng, G. Zhou, N. Xu, and S. Gao, "Zero LC single-phase quasi-single-stage transformerless PV inverter with unipolar SPWM," *IEEE Trans. Power Electron.*, vol. 37, no. 11, pp. 13755–13766, Nov. 2022.
- [26] F. N. Oppong, H. F. Ahmed, A. A. Khan, and J. E. Quaicoe, "High-gain single-stage single-phase common-ground buck-boost inverter," *IEEE Trans. Power Electron.*, vol. 40, no. 1, pp. 1074–1084, Jan. 2025.
- [27] S. Agrawal, S. K. Kuncham, and M. Sahoo, "A fault-tolerant common-ground based five-level inverter for photovoltaic applications," *IEEE J. Emerg. Sel. Topics Ind. Electron.*, vol. 6, no. 2, pp. 711–718, Apr. 2025.
- [28] Y. Liu, B. Ge, H. Abu-Rub, and H. Sun, "Hybrid pulsewidth modulated single-phase quasi-Z-source grid-tie photovoltaic power system," *IEEE Trans. Ind. Informat.*, vol. 12, no. 2, pp. 621–632, Apr. 2016.



Vinh-Thanh Tran was born in Vietnam, in 1995. He received the B.S., M.S., and Ph.D. degrees in electronic engineering from Ho Chi Minh City University of Technology and Education, Ho Chi Minh, Vietnam, in 2018, 2020, and 2024, respectively.

He is currently a Lecturer with the Faculty of Electrical and Electronics Engineering, Ho Chi Minh City University of Technology and Education. His current research interests include impedance source inverter and control of multilevel inverter.



Anh-Tuan Nguyen-Phan was born in Vietnam, in 2000. He received the B.S. in electrical and electronic engineering, in 2023, from Ho Chi Minh City University of Technology and Education, Ho Chi Minh, Vietnam, where he is currently working toward the M.S. degree in electrical engineering.

His current research interests include the control of multilevel inverters and renewable energy.



Duc-Tri Do (Member, IEEE) was born in Vietnam, in 1973. He received the B.S., M.S., and Ph.D. degrees in electronic engineering from the Ho Chi Minh City University of Technology and Education, Ho Chi Minh City, Vietnam, in 1999, 2012, and 2021, respectively.

He is currently a Lecturer with the Faculty of Electrical and Electronics Engineering, Ho Chi Minh City University of Technology and Education. His current research interest focuses on power converters for renewable energy systems.

Khai M. Nguyen photograph and biography not available at the time of publication.