

# An Adaptive Integral Backstepping SMC and Robust Functional Expanded Multikernel BLS Based MPPT Control in PV-Battery DC Microgrid System

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**Abstract**—In this article, a robust functional expanded multikernel broad learning system (RFEMBLS) is proposed to compute the complex nonlinear solar photovoltaic (PV) reference voltage more accurately by importing the irradiance and temperature in different uncertainty conditions. The novel droop control mechanism is introduced to obtain reference current to reduce dependence on different connected renewable energy resources. An adaptive integral backstepping sliding mode controller (AIBSMC) is designed to control the dc bus voltage under different abnormal scenarios for the proposed dc microgrid. An asymptotical stability analysis is developed using Lyapunov theory for the PV-battery dc microgrid. A new adoption rule is proposed for the estimation of both references of PV voltage and battery current. Furthermore, the system steady-state error and tracking convergence of the error are improved by adding an integral action. The backstepping method based on the dc bus voltage feedback results in a faster response and negligible chattering. A sliding mode controller is proposed to improve the control precision and robustness. Finally, the proposed RFEMBLS-AIBSMC method is tested using dSPACE platform in the scale-down lab environment to verify the robustness, practicability, feasibility, and efficacy of the proposed system in the real-time scenario.

**Index Terms**—Adaptive integral backstepping sliding mode control, DSPACE hardware in loop, functional expanded broad learning system, kernel learning, Lyapunov criteria, maximum power point tracking, photovoltaic.

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## I. INTRODUCTION

ENERGY consumption is an ever-growing demand worldwide because of the rising population, urbanization, and industrialization. Depletion of fossil fuels and penetration of renewable energy globally cause solar energy to be the optimum source of clean energy owing to its availability in abundance, sustainability, carbon emission free nature of operation, pollution free, noise-free, and eco-friendly. Recent developments in power electronics and photovoltaic (PV) cell technologies improved stability and efficiency under changing weather conditions. Among the power conversion topologies, the boost converter-based PV system has applications in dc microgrids based on PV, charging stations for electric vehicles, battery charging, rural electrification (PV powered lighting systems), and agriculture sector (PV water pumping applications) [1], [2], [3], [4], [5], [6]. It is required to develop an efficient control algorithm for extraction of the maximum power of a PV cell from very highly nonlinear  $I - V$  and  $P - V$  characteristics with irradiance and temperature variations by regulating the operating point.

Various maximum power point tracking (MPPT) control algorithms have been proposed [7], [8] to obtain the MPP of a PV system. The commonly used algorithm is perturb and observe (P&O), incremental conductance, short-circuit current, and open-circuit voltage algorithms [9], [10]. The primary drawbacks of these systems are their inconsistent response under variable load power and load current, which affect the system efficiency and power quality. Recently optimization methods have been proposed like genetic algorithm (GA), particle swarm optimization (PSO), gray wolf optimization (GWO), and ant colony optimization (ACO) [11], [12], [13] in addition to the above MPPT algorithms for ensuring a high probability of reaching the MPP, but still suffers from convergence problems [14]. In [4], an artificial neural network (ANN) is used to detect the region containing the global MPP, and the P&O algorithm is introduced to find the optimum point of the maximum power extraction. Fuzzy logic-based control [15] methods are characterized by their fast response time to reach the MPP. These methods reduce the system efficiency due to the convergence and are characterized by their implementation complexity [14]. To overcome the disadvantages of the aforementioned MPPT

algorithms, a robust functional expanded multikernel broad learning system (RFEMBLs) is proposed in the PV battery dc microgrid system.

A number of linear control schemes have been proposed to control the dc bus voltage. These schemes ignore the nonlinear nature of the dc–dc converters. Droop control, adaptive droop control, and proportional integral (PI) control are the most commonly used algorithms for regulating the dc bus voltage [11], [12], [13]. Due to the intermittent behavior of renewable energy sources (RES), fuzzy-based H-infinity control method and fuzzy-based exponential stability control method are not explored in [13]. When loads fluctuate quickly, generation ceases abruptly, etc., these control methods fail for the dc microgrid. Due to its fast dynamics and simplicity, conventional control methods like sliding mode control (SMC) are effective and resilient nonlinear controllers [16], [17], [18], [19]. When there is no energy storage technology that can provide quick response for increasing load requirements, SMC is employed in [16] to sustain the dc bus voltage fluctuations. This strategy is not appropriate because it is sensitive to outside disturbances and has chattering problems. Back stepping control is used for a wind system [20] and the chattering problem arises during control while sharing the power with multiple RES. Adaptive backstepping is investigated for an independent dc microgrid with one PV unit, but not discussed only for PV MPPT in [21]. In [22], the authors explored integral backstepping control for dc microgrids with multiple RES. This controller is more robust, but has chattering and steady-state inaccuracies. SMC with an integral backstepping controller for a PV considered in [23]. Another integral action term is added to the sliding surface as double integral [24] to reduce the steady-state error. The controller has achieved greater steady-state error minimization and at the same time, the amount of overshoot increased significantly. An adaptive integral derivative sliding mode controller is proposed [25] to eliminate the overshoot and minimize the steady-state fluctuation and verified by using the hardware in loop.

In [26], Chua's uncertain switching system is discussed using an adaptive composite nonlinear feedback integral sliding mode tracker architecture. This approach guarantees resistance to the effects of uncertainty and input saturation while also reducing chattering. Roy et al. [27] depicted a grid tied solar PV unit with a robust backstepping integral terminal sliding mode controller (TSMC). The design of a sliding mode MPPT controller [28] uses neural networks for standalone PV systems, and this control technique eliminates reaching phase, resulting in a continuous sliding mode. There are no harmonic distortions or chatter in the system response. Fuzzy recurrent neural control of a nonlinear system is introduced [29] by a terminal sliding mode controller, and the addition of the outer layer feedback improves the capability of dynamic approximation. In [30], for finite time reference signal tracking, a terminal SMC of the integral type is designed. Meanwhile, the proposed TSMC uses a saturation function to address the chattering problem under consideration. A combination of a SMC and a linear extended state observer is presented [31]. This method reduces sliding surface chattering and enhances performance in the face of abrupt static and

dynamic load fluctuations as well as voltage changes at the input of the power supply.

To overcome the drawbacks of the control schemes stated above, a new decentralized control is proposed using an integral backstepping and SMC along with adaptiveness. The adaptive feature can minimize the system parameter variations. The SMC increases control accuracy and robustness of the system. The integral term enhances the tracking error convergence and reduces the steady-state error. The backstepping approach is utilized for fast response based on the feedback taken from the dc bus. The proposed work's main contributions are listed as follows.

- 1) A robust functional expanded multikernel broad learning system is proposed to compute the complex nonlinear maximum power point regression output more accurately for the PV distributed generation (DG) system by importing the functional expansion of the PV cell irradiance and temperature with less runtime.
- 2) An adaptive integral backstepping sliding mode controller is designed in this work to control the dc bus voltage of the proposed dc microgrid under abnormal scenarios. It ensures the entire dc microgrid remains stable despite load fluctuations, the unpredictable nature of RES, and external disturbances.
- 3) A PV system, a battery storage unit, and loads are integrated to build the dc microgrid. The main control task is to maintain a constant voltage on the dc bus by controlling the controllers of the connected components except for loads. A decentralized approach is adopted by using local information without any communication under different critical situations.
- 4) An asymptotical stability analysis of the proposed AIBSMC algorithm is derived using Lyapunov theory in the developed PV battery dc microgrid.
- 5) A new adoption rule of the proposed AIBSMC control algorithm for estimation of both PV voltage reference and battery current reference. Integral action is added to improve both the steady-state error and tracking error convergence. The backstepping method based on the dc bus voltage feedback results in faster response and reduced chattering. To improve the control precision and robustness, a sliding mode controller is proposed. The advantages of the proposed controller are compared with state-of-the-art methods recently developed and showcased in Table I. The droop control mechanism is introduced to obtain reference current with respect to battery current in order to reduce the dependence of the different RESs.
- 6) Finally, the proposed control algorithm is verified with dSPACE hardware in a loop platform to prove the feasibility, practicability, robustness, and efficacy of the developed system in the real-time scenario.

The rest of this article is organized as follows. The RFEMBLs method is explained in Section II to compute the PV reference voltage by importing the functional expansion of the irradiance and temperature. The adaptive integral backstepping SMC algorithm stability verifications in PV and battery systems are discussed in Section III. The detailed simulation results of different cases are presented in Section IV and the Ecosense

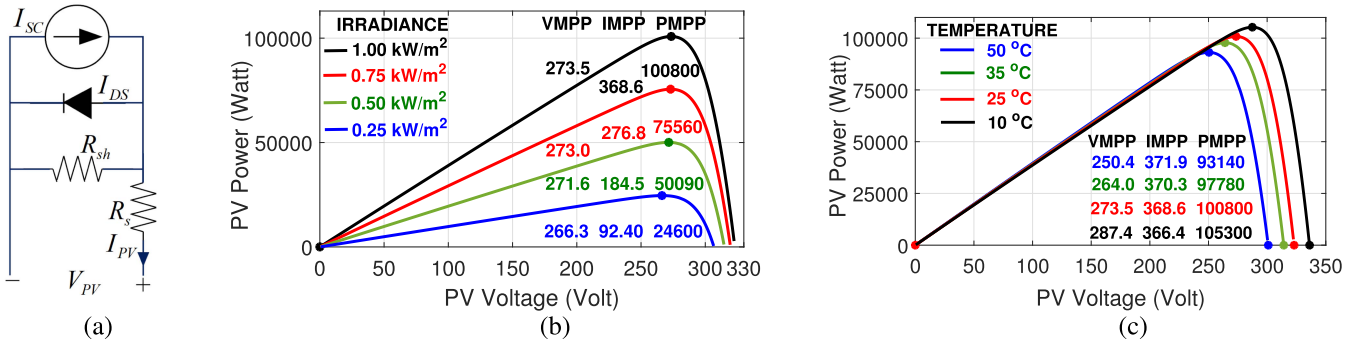


Fig. 1.  $P - V$  module. (a) Internal structure using single diode. (b) Characteristics for different irradiance levels with fixed temperature at 25 °C Centigrade. (c) Characteristics for different temperature levels with fixed irradiance at 1000 W/m<sup>2</sup>.

TABLE I  
COMPARISON OF THE PROPOSED CONTROLLER WITH RECENTLY DEVELOPED  
PREVALENT METHODS

Ref	Control Method	Advantages	Disadvantages
[11-12]	Conventional	Highly reliable	Poor voltage regulation
[13]	H-infinity control	Robust control with minimum tracking error	Slow system response and dynamics
[16]	Sliding mode control	Robustness against large signal disturbances	Complexity in design and prone to chattering
[20]	Backstepping control	Global asymptotic stability and faster response	Low robustness against model uncertainties
[25]	Adaptive controller	Simple implementation and faster transient response	Slower adoption process
[26]	Adaptive composite nonlinear feedback integral SMC	Ensures resistance to the effects of uncertainty and input saturation while also reducing chattering	Low accuracy of reference tracking
[30]	Terminal SMC of the integral type	Uses a saturation function to address the chattering problem under consideration	Challenging computational burden
[31]	SMC with a linear extended state observer	Reduces sliding surface chattering and enhances performance under abrupt static and dynamic load fluctuations	No robustness against mismatched disturbances and chattering problem
This work	Adaptive integral backstepping SMC	The adaptive feature minimizes parameter variations, SMC improves control accuracy and robustness, the integral term enhances tracking error convergence and reduces steady state error, and the backstepping approach enables fast response using DC bus feedback	Complexity in the system

PV emulator with dSPACE1104 hardware test platform is used to validate the robustness of the proposed method in real time followed by the conclusion in Section V.

## II. MPPT MODELING BASED ON ROBUST FUNCTIONAL EXPANDED MULTIKERNEL BROAD LEARNING SYSTEM

The PV cell is a p-n junction as presented in Fig. 1(a) and shows similar characteristics to a diode. PV voltage ( $V_{PV}$ ) and current ( $I_{PV}$ ) outputs are computed [32], [33] using the series resistance ( $R_s$ ), shunt resistance ( $R_{sh}$ ), light induced current ( $I_{sc}$ ), p-n junction ideality factor ( $n$ ), diode saturation current ( $I_{ds}$ ), Boltzmann constant ( $K$ ), electronic charge ( $q$ ), junction temperature ( $T$ ), temperature coefficient ( $h_t$ ), and short-circuit

current for reference irradiance ( $G_{ref}$ ) with temperature ( $T_{ref}$ ) as follows:

$$I_{sc} = I_{ref} [1 + h_t (T - T_{ref})] \frac{G}{G_{ref}} \quad (1)$$

$$I_{PV} = I_{sc} - I_{ds} [\exp \{q(V_{PV} + I_{PV}R_s)/nKT\} - 1] - [(V_{PV} + I_{sc}R_s)/R_{sh}] \quad (2)$$

$$V_{PV} = \frac{nKT}{q} \ln \left( \frac{I_{sc}}{I_{PV}} + 1 \right). \quad (3)$$

The Sunpower SPR-315E-WHT-D PV module is used to generate 100 kW power at 25°C temperature and 1000 W/m<sup>2</sup> irradiance by connecting 64 parallel strings with five different series arrays. The obtained maximum power ( $P_{MPP}$ ) at maximum PV voltage ( $V_{MPP}$ ) and current ( $I_{MPP}$ ) for different temperatures as well as irradiances are presented in Fig. 1(b) and (c). It is necessary to develop a less computationally complex system to avoid issues such as inaccurate MPP values, output voltage fluctuations, steady-state oscillations, and large energy losses to compute  $V_{oc}$  or  $I_{sc}$ . This optimization is crucial for efficient PV system utilization under various operating conditions, including inconsistent irradiance, partial shading, load changes, and fault scenarios, often encountered in P&O, hill climbing, incremental conductance, constant voltage, and current tracking methods.

A simple, less computationally complex, easy implementable, and efficient versatile multikernel broad learning system is proposed. This system is based on very fast discriminative learning, better universal approximation, and fast remodeling without a retraining process to establish the complex non-linear relationship more accurately. This is achieved by importing the functional expansion of the solar irradiance ( $G$ ) and temperature ( $T$ ) for obtaining the better regression performance of PV reference voltage ( $V_{PVref}$ ) with less runtime. The exponential trigonometric expansion form of ( $G$ ) and ( $T$ ) of the PV module are  $1, T, T^2, e^{-a|T|} \sin(\pi T), e^{-a|T|} \cos(\pi T)$ , and  $1, G, G^2, e^{-a|G|} \sin(\pi G), e^{-a|G|} \cos(\pi G)$ , respectively. The functional expanded input data samples are first expanded into certain optimal nonadaptive random projection feature nodes and further transformed by multikernel function to obtain the

enhancement nodes. Both the feature and enhancement nodes update synchronously based on incremental learning and connect to the output layer for updating the flatted network structure dynamically. The kernel trick, as a new class of learning, is employed to compute the output weight matrix. This approach avoids the manual selection of enhancement nodes, random assignment of hidden node hyperparameters, and exhaustive parameter tuning, which are known to cause issues such as large training time, unstable model generalization, suboptimal network models, significant reconstruction errors, and increased computational overhead. The input dataset  $X \in \mathfrak{R}^{n \times m}$  consists of  $n$  samples and each is equipped with  $m$  dimensions. The  $k$  number of feature mapping outputs ( $F$ ) are computed by random assignment of weight ( $w_f$ ) and bias ( $b_f$ ) with activation function ( $\Phi$ ) as

$$(F_i)_{\text{RFEMBLS}} = \Phi \sum_{j=1}^n (w_{f_i} \cdot X_j + b_{f_i}); \quad i = 1, 2, \dots, k. \quad (4)$$

The obtained feature nodes  $F^k \equiv [F_1, F_2, \dots, F_k]$  are further expanded as  $l$  enhancement nodes ( $E$ ) by using random weight ( $w_e$ ), bias ( $b_e$ ), and activation function ( $\Psi$ ) as follows:

$$(E_i)_{\text{RFEMBLS}} = \Psi \sum_{j=1}^k (w_{e_i} \cdot F_j + b_{e_i}); \quad i = 1, 2, \dots, l. \quad (5)$$

The enhancement nodes  $E^l \equiv [E_1, E_2, \dots, E_l]$  with the feature nodes  $F^k$  are mapped to the output node ( $Y$ ) to compute the output connecting weight matrix ( $\beta$ ) as follows:

$$(\beta)_{\text{RFEMBLS}} = [F^k \mid E^l]^\dagger \cdot Y. \quad (6)$$

The input data samples are mapped directly to the output nodes to improve further the regression accuracy of the system. The output weight is computed as  $(\beta)_{\text{RFEMBLS}} = [X^m \mid F^k \mid E^l]^\dagger \cdot Y$

$$= \begin{bmatrix} X^T \\ F^T \\ E^T \end{bmatrix} \left( [X \mid F \mid E] \begin{bmatrix} X^T \\ F^T \\ E^T \end{bmatrix} + \frac{I}{\lambda} \right)^{-1} \cdot Y. \quad (7)$$

The multikernel function  $\Omega_M = 0.3 \times \Omega_T + 0.7 \times \Omega_S$  as well as linear kernel ( $\Omega_L$ ) are introduced to develop RFEMBLS using tangent hyperbolic kernel ( $\Omega_T$ ) and sigmoid kernel ( $\Omega_S$ ). The output of RMKBLS ( $f(\cdot)$ ) is computed by importing the input  $[x^m \mid f^k \mid e^l]$  at testing stage as follows:

$$\begin{aligned} & f_{(x^m | f^k | e^l)_{\text{RFEMBLS}}} \\ &= [x \mid f \mid e] \begin{bmatrix} X^T \\ F^T \\ E^T \end{bmatrix} \left( [X \mid F \mid E] \begin{bmatrix} X^T \\ F^T \\ E^T \end{bmatrix} + \frac{I}{\lambda} \right)^{-1} \cdot Y \\ &= (xX^T + fF^T + eE^T) \left( XX^T + FF^T + EE^T + \frac{I}{\lambda} \right)^{-1} \\ &\quad \times Y = ([\Omega_M(x, X)] + [\Omega_M(f, F)] + [\Omega_M(e, E)]) \end{aligned}$$

$$\times \left( [\Omega_L(X, X)] + [\Omega_L(F, F)] + [\Omega_L(E, E)] + \frac{I}{\lambda} \right)^{-1} \cdot Y. \quad (8)$$

### III. PROPOSED AIBSMC METHOD

Conventional PI control algorithms [6], [7], [19] have been proposed to generate the reference signal. The integral and proportional gains in the PI control mechanism are denoted by the letters  $k_I$  and  $k_P$  [19]. The addition of integral action to a back stepping controller creates a nonlinear controller and is used in the dc microgrid system to reduce steady-state error and reach MPPT with lesser ripples as well as greater precision [1], [2], [3], [4] and [19], [20], [21]. Tracking the reference MPPT value of a PV network and lowering steady-state error are accomplished using a straightforward conventional sliding mode controller [4], [8]. In this work, an adaptive integral backstepping sliding mode control is proposed using the information based on both the integral backstepping and adaptive SMC algorithms. The developed controller consists of an inner nonlinear IBSMC loop to generate a control pulse for the PV boost converter and an outer MPPT control loop that provides a reference voltage to the earlier loop.

#### A. Boost Converter Control of PV System

The PV boost converter equations are defined as

$$\frac{dE_1}{dt} = \frac{(1 - S_{1p})E_2}{C_{dc1}} - \frac{E_1}{R_P C_{dc1}} \quad (9)$$

$$\frac{dE_2}{dt} = \frac{-(1 - S_{1p})E_1}{L_{pv}} - \frac{V_{pv}}{L_{pv}} \quad (10)$$

where  $E_1$  is output voltage across  $C_{dc1}$ ,  $E_2$  is the PV converter current through  $L_{pv}$ , and  $S_{1p}$  is the control pulse for PV converter.

SMC, integral backstepping, and adaptive theory are integrated in the proposed control method for the PV converter system. Control pulse for the PV boost converter ( $S_{1p}$ ) combines the SMC pulse ( $S_{1s}$ ) and integral back stepping control pulse ( $S_{1i}$ ), i.e.,  $S_{1p} = S_{1s} + S_{1i}$ .

1) *Integral Back Stepping Control for PV System:* The error ( $E_{R1}$ ) based on the PV MPPT control reference voltage signal ( $E_{1\text{ref}}$ ) of a PV converter [1], [4] is expressed as  $(E_1 - E_{1\text{ref}})$ . The derivative and integral term ( $E_p$ ) of the error ( $E_{R1}$ ) are considered to achieve zero convergence error. The first Lyapunov function ( $F_1$ ) error must be zero and defined as  $(\frac{1}{2}E_{R1}^2 + \frac{1}{2}q_1E_p^2)$ . Time derivative, i.e.,  $(\dot{F}_1)$  should be negative to guarantee the system's asymptotic stability and the resultant expression is  $(-q_2E_p^2)$ , where  $q_1$  and  $q_2$  are positive definite real values. The capacitor output voltage reference is defined as

$$\lambda_1 = R_p C_{dc1} \left( \frac{(1 - s_1)E_2}{C_{dc1}} - \dot{E}_{1\text{ref}} + q_1 E_p + q_2 E_{R1} \right). \quad (11)$$

The capacitor voltage error  $E_{p1}$  is based on  $E_1$  and MPPT reference  $\lambda_1$  is  $E_1 - \lambda_1$ . The derivative of Lyapunov function ( $F_2$ ) is equal to  $(F_1 + \frac{1}{2}E_{p1}^2)$ . It should be negative for global stability with the convergence of  $E_{R1}$  and  $E_{p1}$  to zero.

It is possible to stabilize PV system dynamics by equating the derivative of  $(F_2)$  to negative.  $\dot{F}_2$  is computed using the aforementioned values as follows:

$$\dot{F}_2 = -q_2 E_{R1}^2 - q_3 E_{p1}^2. \quad (12)$$

By using the above-mentioned equations and derivative of (11) in (12) to compute the derivative of PV converter duty cycle  $\dot{S}_1$  as

$$\begin{aligned} \dot{S}_1 = & \frac{-1}{R_p E_2} \left( \frac{(1-S_1)E_2}{C_{dc1}} - \frac{E_1}{R_p C_{dc1}} - R_p C_{dc1} \right. \\ & \times \left( \frac{-(1-S_1)^2 E_1}{L_{pv} C_{dc1}} + \frac{V_{pv}(1-S_1)}{L_{pv} C_{dc1}} - \dot{E}_{1ref} + q_1 E_{R1} + q_2 \right. \\ & \left. \left. \times \left( \frac{-E_{P1}}{R_p C_{dc1}} - q_2 E_{R1} - q_1 E_p \right) \right) - \frac{E_{R1}}{R_p C_{dc1}} + q_3 E_{p1} \right) \end{aligned} \quad (13)$$

where  $q_3$  denotes positive constant,  $S_1 \in [0, 1]$  and  $R_p, E_2 \neq 0$ . The desired value of integral backstepping control ( $S_1$ ) is obtained by integrating (13). This integral backstepping control is a part of the control pulse for the PV boost converter, which is generated by the control law as shown in (13) and is determined by using Lyapunov stability theorem. As a result, the system guarantees asymptotic stability as well as zero dynamic error convergence.

2) *PV System Adaptive Theory*: The internal fluctuations of the PV system lead to adding nonlinearity [22] in the voltage and current. The adoption laws are proposed for the proper functioning of a PV system. The voltage and current tracking errors such as  $\hat{E}_1$  and  $\hat{E}_2$  are defined as  $(E_1 - \hat{E}_1)$  and  $(E_2 - \hat{E}_2)$ , respectively. The estimated outputs of  $E_1$  and  $E_2$  are  $\hat{E}_1$  equal to  $V_{dc1ref}$  and  $\hat{E}_2$  equal to  $\frac{V_{dc1ref}^2}{\hat{V}_{pv} \hat{R}_p}$ , where  $V_{dc1ref}$  denotes the desired dc bus voltage. The  $\hat{V}_{pv}$  and  $\hat{R}_p$  are chosen as the estimation parameters due to the voltage and current fluctuations in the output capacitor are caused by variations in the internal and load resistances of the PV system. The observation equations based on actual and estimated values are defined by magnifying the error, i.e.,  $\gamma_1 > 0$  and  $\gamma_2 > 0$  as

$$\dot{\hat{E}}_1 = \frac{(1-S_1)\hat{E}_2}{C_{dc1}} - \frac{S_1 \hat{G}_p}{C_{dc1}} - \gamma_1 \hat{E}_1 \quad (14)$$

$$\dot{\hat{E}}_2 = \frac{-(1-S_1)\hat{E}_1}{L_{pv}} + \frac{\hat{v}_{pv}}{L_{pv}} - \gamma_2 \hat{E}_2. \quad (15)$$

The adoption laws are built using the quadratic Lyapunov function with the design values  $(\gamma_1, \gamma_2) > 0$ ,  $\hat{G}_p = G_p - \tilde{G}_p$ ,  $G_p = 1/R_p$  and presented as

$$F_3 = \frac{1}{2} C_{dc1} \hat{E}_1^2 + \frac{1}{2} L_{pv} \hat{E}_2^2 + \frac{1}{2\alpha_1} L_{pv} \hat{G}_p^2 + \frac{1}{2\alpha_2} L_{pv} \hat{V}_{pv}^2. \quad (16)$$

3) *SMC for PV System*: A sliding surface ( $S_{1s}$ ) is defined as  $(\hat{E}_1 + \eta_1 \int \hat{E}_1)$  to make sure the system reaches the desired value [25], [34]. The discontinuous law is used for the reduction of ripples as well as chattering and expressed as  $S_{1n}$ , which is equal to  $(-\eta_1 \text{Sgn}(S_{1s}))$ . A modest positive number of sizeable constant ( $\eta_1$ ) is chosen from numerous simulation and

experiment results to maintain stability and reduce the effect of the chattering of the system. Also,  $S_{1s}$  is the summation of equivalent control law ( $S_{1eq}$ ) and discontinuous control law  $S_{1n}$ . The proposed AIBSMC output ( $F_4$ ) is finally obtained by adding  $S_1$  to the integration of  $S_{1n}$  as  $S_1^2$  with the derivative of  $2S_1 \dot{S}_1$ . Furthermore, the final PV converter system control pulse ( $S_{1p}$ ) using positive constant  $\eta_1$  and  $\hat{E}_2$  is calculated as

$$S_{1p} = \int \dot{S}_1 + 1 - \frac{(E_1 \hat{G}_p + \gamma_1 C_{dc1} \hat{E}_1 - \eta_1 \hat{E}_1)}{\hat{E}_2} - \eta_1 \text{Sgn}(S_{1s}). \quad (17)$$

The control pulse for the PV boost converter is produced by the control law described in (17), and this results in asymptotic stability as well as the error reaches to zero over finite time. The developed AIBSMC control for the PV network is represented by the Lyapunov function ( $F_{PV}$ ) and expressed as  $(F_2 + F_3 + F_4)$ , where  $F_2$ ,  $F_3$ , and  $F_4$  are the Lyapunov functions for the integral backstepping part, adaptive part, and sliding mode part, respectively. Since all the parts are negative semidefinite, the PV network gains global stability.  $F_{PV}$  should be negative semidefinite to acquire asymptotic stability

$$\begin{aligned} \dot{F}_{PV} = & -q_2 e_p^2 - q_3 e_{p1}^2 - \gamma_1 C_{dc1} \hat{E}_1^2 - \gamma_2 L_{pv} \hat{E}_2^2 - 2S_{1s} \eta_1 \\ & \times \text{Sgn}(S_{1s}). \end{aligned} \quad (18)$$

The PV system acquires global stability using Lyapunov theory since all parts are negative and semidefinite.

## B. Modeling of the Battery System

Buck-boost converter topology is used to link the battery with the dc bus. This topology consists of an inductor ( $L_b$ ), two MOSFET devices ( $SW_2, SW_3$ ) and dc bus capacitor ( $C_{dc2}$ ) as shown in Fig. 2. MOSFET switches are controlled by the gate signal generated by pulsewidth modulation (PWM). In the proposed system interceptive approach is used to generate a PWM signal. Due to the PWM control of the frequency, any switching noise that arises may be anticipated, simplifying the filtering procedure. The output capacitor acts as a filter in the circuit. Depending upon the load variation, the converter switches between boost mode and buck mode. When  $SW_2$  is ON and  $SW_3$  is OFF, the converter works as a boost circuit and the battery delivers to the dc bus ( $I_{bref} > 0$ ). Also, if  $SW_2$  is OFF and  $SW_3$  ON, the converter operates as a buck circuit and the battery draws power from the source ( $I_{bref} < 0$ ). Binary values such as 1 and 0 are used to describe the battery conditions in terms of the reference value of battery currents ( $I_{bref} > 0$  &  $I_{bref} < 0$ ), respectively. The battery converter equations for ( $I_{bref} > 0$ ) are defined as

$$\frac{dI_b}{dt} = \frac{V_b}{L_b} - \frac{(1-S_2)V_{dcb}}{L_b} \quad (19)$$

$$\frac{dV_{dcb}}{dt} = \frac{(1-S_2)I_b}{C_{dc2}} - \frac{V_{dcb}}{R_b C_{dc2}} \quad (20)$$



The required pulse for the battery converter ( $S_{23}$ ) is obtained through the integration of (30). The Lyapunov theorem is implemented to determine the duty cycle value of the battery converter network. As a result, the system consistently guarantees global stability.

3) *Battery System Adaptive Theory*: The necessary adoption laws are proposed for the battery system for safe functioning and continuous current control as the internal variations frequently add nonlinear properties [21], [34] to output capacitor voltage and inductor current. The tracking errors of current ( $E_3$ ) and voltage ( $E_4$ ) are defined as ( $E_3 - \tilde{E}_3$ ) and ( $E_4 - \tilde{E}_4$ ), respectively, where the estimation of  $E_3$  and  $E_4$  are  $\tilde{E}_3$  and  $\tilde{E}_4$ . Usually,  $\tilde{E}_3$  equals to  $(V_{dc2ref}^2 / \tilde{V}_{bt} \tilde{R}_b)$  and  $\tilde{E}_4 = V_{dc2ref}$ . Here,  $V_{dc2ref}$  indicates the desired dc bus voltage. Variations in output capacitor voltage and inductor current are caused by variations in battery internal and load resistances. Therefore,  $\tilde{V}_{bt}$  and  $\tilde{R}_b$  are chosen as the estimation parameters. The observation equations are written using estimation parameters  $\tilde{V}_{bt}$  and  $\tilde{R}_b$  with positive integer values  $\gamma_3$  and  $\gamma_4$  as

$$\dot{\hat{E}}_3 = \frac{-S_{23}\tilde{E}_4}{L_b} + \frac{\tilde{V}_{bt}}{L_b} - \gamma_3\hat{E}_3 \quad (31)$$

$$\dot{\hat{E}}_4 = \frac{-S_{23}\tilde{E}_3}{c_{dc2}} + \frac{R_b C_{dc2}}{L_b} - \gamma_4\hat{E}_4. \quad (32)$$

The derivative form of the Lyapunov function by using two positive values  $\gamma_3$  and  $\gamma_4$  with  $G_b = 1/R_b$  is written as

$$\dot{F}_7 = L_b\hat{E}_3\dot{\hat{E}}_3 + C_{dc2}\hat{E}_4\dot{\hat{E}}_4 + \frac{1}{\alpha_3}\hat{G}_b\dot{\hat{G}}_b + \frac{1}{\alpha_4}\hat{V}_{bt}\dot{\hat{V}}_{bt}. \quad (33)$$

4) *SMC for Battery System*: A sliding surface ( $S_{2s}$ ) is defined as ( $S_{2seq} + S_{2sn}$ ) for the battery buck–boost converter system to make sure the system reaches the desired value [25], [34]. The proposed AIBSMC output ( $F_8$ ) is computed as

$$\dot{F}_8 = -2S_{2s}\eta_2\text{Sgn}(S_{2s}). \quad (34)$$

The battery converter control pulse ( $S_{23b}$ ) is expressed using positive constants  $\gamma_5$ ,  $\eta_2$ , and ( $\hat{E}_4 > 0$ ) as

$$S_{23b} = \int \dot{S}_{23} - \left( \frac{\gamma_5\hat{E}_3L_b - \hat{V}_{bt} - \eta_2\hat{E}_3L_b}{\hat{E}_4} \right) - \eta_2\text{sgn}(S_3). \quad (35)$$

The Lyapunov function ( $F_{\text{Bat}}$ ) for the proposed AIBSMC of the battery converter network is expressed as

$$F_{\text{Bat}} = F_6 + F_7 + F_8 \quad (36)$$

where  $F_6$ ,  $F_7$ , and  $F_8$  are Lyapunov functions of integral backstepping control, adaptive theory, and SMC, respectively. The derivative of  $F_{\text{Bat}}$  should be negative to obtain asymptotic stability of the battery system.

### C. Stability of the Proposed Control Algorithm

The closed-loop system stability of the proposed dc PV battery microgrid using the Lyapunov function of PV ( $F_{\text{PV}}$ ) and battery ( $F_{\text{bat}}$ ) is measured using overall Lyapunov function ( $F_f$ ) and defined as

$$F_f = F_{\text{pv}} + F_{\text{bat}} = F_2 + F_3 + F_4 + F_6 + F_7 + F_8. \quad (37)$$

The negative derivative of ( $F_f$ ) proves the equilibrium state of the proposed system with asymptotic stability based on the Lyapunov stability theorem and is presented as

$$\begin{aligned} \dot{F}_f = & -q_2e_p^2 - q_3e_{p1}^2 - \gamma_1C_{dc1}\hat{E}_1^2 - \gamma_2L_{pv}\hat{E}_2^2 - 2S_{1s}\eta_1 \\ & \times \text{Sgn}(S_{1s}) - q_5e_b^2 - q_6e_{b1}^2 - \gamma_3C_{dc2}\hat{E}_3^2 - \gamma_4L_b\hat{E}_4^2 \\ & - 2S_{2s}\eta_2\text{Sgn}(S_{2s}). \end{aligned} \quad (38)$$

The overall stability of the proposed microgrid is proved from (38) as  $\dot{F}_f \leq 0$  and all the terms are negative semidefinite in the proposed control method. The state trajectories of the control law ensure the sliding surface based on the system stability.

In the PV boost converter implementation, AIBSMC is developed with an unknown load resistance and an unknown external input voltage. The robustness of the system is improved by an adaptive control mechanism. The adaptive controller ensures that the closed-loop systems are asymptotically stable in sliding mode by designing with state estimators. The estimations for the input voltage and load resistance also reach their authentic levels [17]. The output voltage tracks the reference voltage despite PV generation change, fault, and load variation, according to simulation and experimental data. The output voltage steady-state error is also quite small. To develop the adaptation laws for  $\hat{G}_p$  and  $\hat{V}_{pv}$ , the estimates of  $G_p$  and  $V_{pv}$ , an estimator is employed. It can be written as  $\hat{G}_p \rightarrow \frac{1}{R_p}$  and  $\hat{V}_{pv} \rightarrow V_{pv}$  asymptotically.  $E_1$  converges to  $V_{dc1ref}$  for the estimate  $\hat{E}_1$  by a nearly exponential rate of  $\frac{\hat{G}_p}{C_{dc1}} \rightarrow \frac{1}{R_p C_{dc1}}$ , where  $R_p C_{dc1}$  is the time constant of the system. The  $\hat{E}_1$  and  $\hat{E}_2$  must reach zero for convergence. To guarantee that the observer (14) and (15) have quicker dynamics, the estimator gains  $\gamma_1$  and  $\gamma_2$  should be chosen to be significantly larger than  $\frac{1}{R_p C_{dc1}}$ . The minimum load anticipated in this case,  $R_{pmin}$ , is assumed to be known. It is possible to use  $\gamma_1 = \gamma_2 = \frac{5}{R_{pmin} C_{dc1}}$  as the estimator gains initial value. Simulated results are used to determine the adaptation values and the initial factors.

## IV. SIMULATION RESULTS AND DSPACE HARDWARE IN LOOP VERIFICATION

The effectiveness of the proposed control strategy of the PV battery dc microgrid as shown in Fig. 2 is simulated using MATLAB/Simulink software environment and verified in the dSPACE embedded processor by integrating the PV emulator. Several uncertainties like generation change, pole to pole dc side fault, and load variation are considered to validate the robustness of the proposed RFEMBLs-AIBSMC method as compared with existing algorithms based on chattering and steady-state time. The main objective of the proposed controller is to maintain voltage across the dc microgrid and the stability of the PV battery dc microgrid. The dc bus reference voltage is considered as 500 V and the simulation running time is considered as 5 s. The detailed specifications of the proposed dc microgrid are presented in Table II.

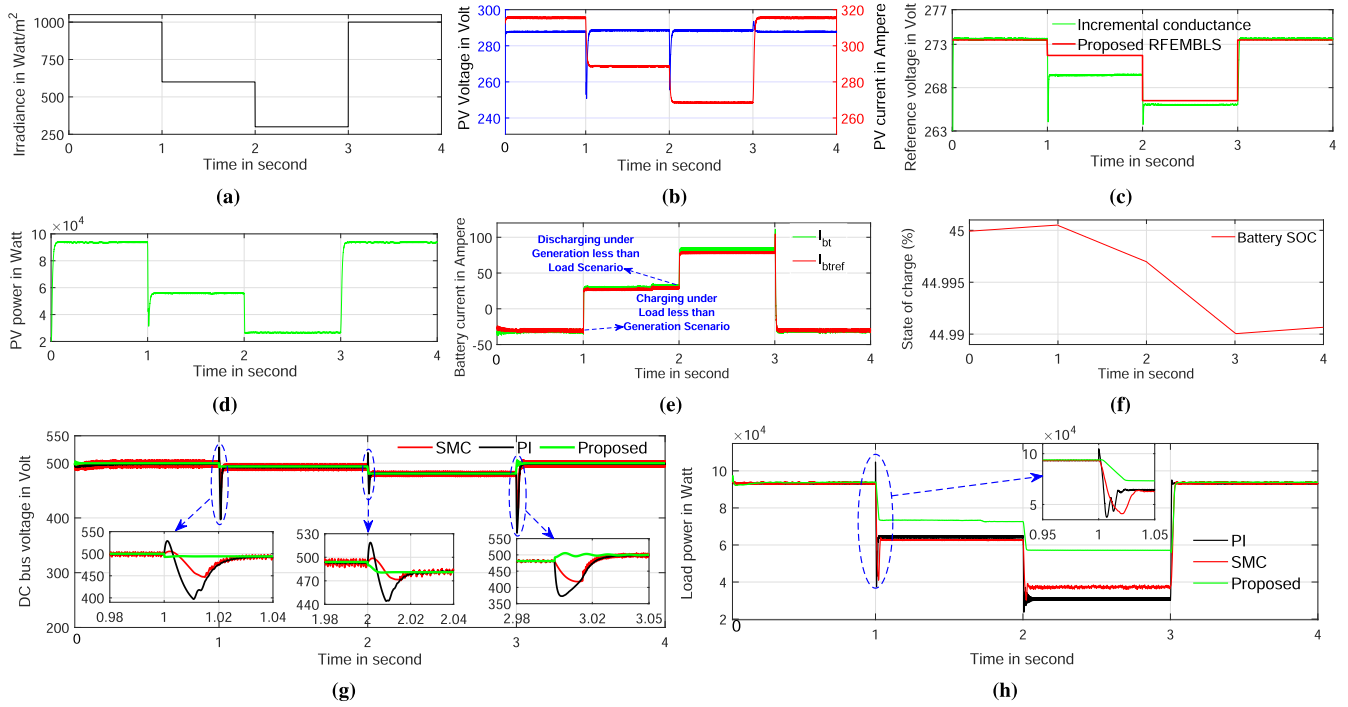


Fig. 3. Generation change results using RFEMBLs method. (a) Irradiance. (b) PV voltage and current. (c) PV reference voltage. (d) PV power. (e) Battery current. (f) Battery SOC. (g) DC bus voltage. (h) Load power.

TABLE II  
DEVELOPED PV-BATTERY MICROGRID SPECIFICATIONS

PV boost converter specification		Lithium battery system specification	
Specification	Ratings	Parameters	Ratings
$V_{OC}$	322 V	Voltage	300 V
$I_{SC}$	394 A	Rated capacity	420 Ah
$V_{MPP}$	273.5 V	Nominal discharge current	174.912 A
$I_{MPP}$	368.6 A	Inductor	3.5 mH
Maximum power	100 kW	Output capacitance	3200 $\mu$ F
Inductor	4 mH	<b>Proposed control parameters</b>	
Input capacitance	80 $\mu$ F	<b>Parameters</b>	<b>Ratings</b>
Output capacitance	3200 $\mu$ F	$q_1, q_2, q_3$	150, 80, 1100
Switching frequency	10 kHz	$q_4, q_5, q_6$	120, 1100, 3000

### A. Case 1: Generation Change

In this scenario, the PV system is operated by varying irradiance and keeping the temperature constant at 25 °C as presented in Fig. 3. Fig. 3(a) shows irradiance change with different time intervals and the corresponding PV current as well as voltage changed according to irradiance value as reflected in Fig. 3(b). The reference voltage of the PV system is computed using incremental conductance [7] and the proposed RFEMBLs method, and is shown in Fig. 3(c). The robust reference voltage prediction accuracy of the RFEMBLs method supplies the maximum power to the load and PV power is presented in Fig. 3(d). It is observed that if the irradiance decreases then the PV power is decreasing and vice versa. The battery charging from 0 to 1 s and discharging up to 3 s responses are described under PV penetration variation in Fig. 3(e) and (f). When the irradiance

is decreased, the load is greater than the generation that defines the system in power deficit. During this time, battery meets the power required by tracking the current reference accurately with the traditional droop control method. At 3 s the irradiance is increased, i.e., generation greater than the load demand. During this time, the battery is consuming energy from the dc bus, which is depicted in Fig. 3(e). In addition to this, the battery state of charge (SOC) during this scenario is shown in Fig. 3(f). The dc bus voltage under this scenario is illustrated in Fig. 3(g). It is observed that the proposed AIBSMC controller reaches a steady value within 0.021 s by minimum chattering and comprises no overshoot when compared with existing controllers such as PI and SMC. During the time instants of 1, 2, and 3 s, the transients present in the system under the generation change condition. However, the proposed AIBSMC algorithm performs the dynamic outcome quicker as compared to the state-of-the-art PI and SMC algorithms. The load power contains oscillation during the PV penetration change as depicted in Fig. 3(h) and the proposed AIBSMC controller shows no overshoot, minimum chattering, and quick response as compared with other prevalent methods.

### B. Case 2: Pole-to-Pole Fault

The PV penetration is considered as 1000 W/m<sup>2</sup> with the temperature of 25 °C. The dc pole-to-pole (PP) fault is shown at various times and the transient behavior is illustrated in Fig. 4. Fig. 4(a) shows irradiance in different time intervals and the corresponding PV current as well as voltage are changed based on the fault instant as reflected in Fig. 4(b). When the PV power

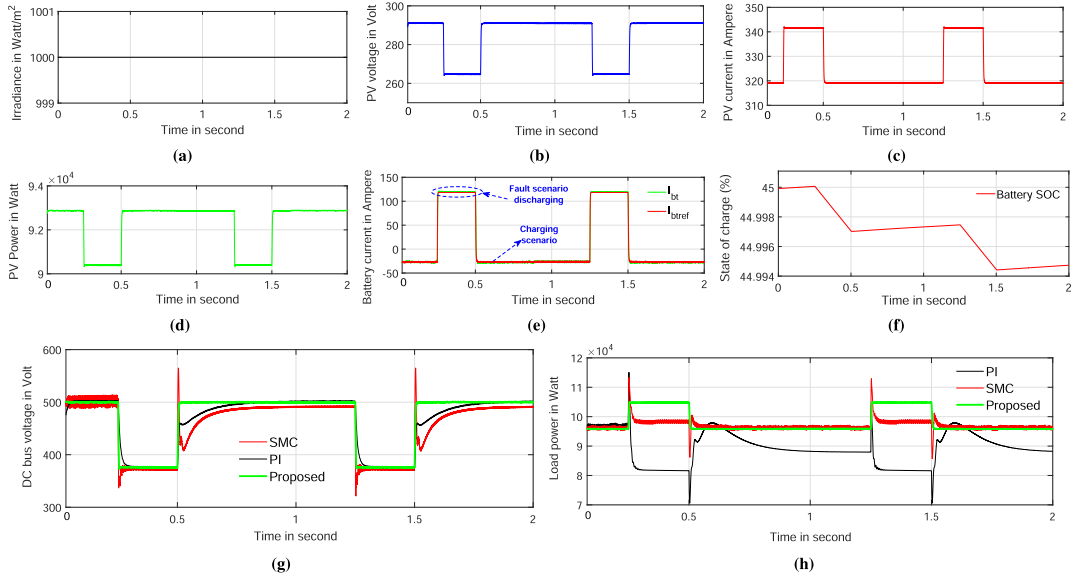


Fig. 4. DC PP fault results using RFEMBLs method. (a) Irradiance. (b) PV voltage. (c) PV current. (d) PV power. (e) Battery current. (f) Battery SOC, (g) DC bus voltage. (h) Load power.

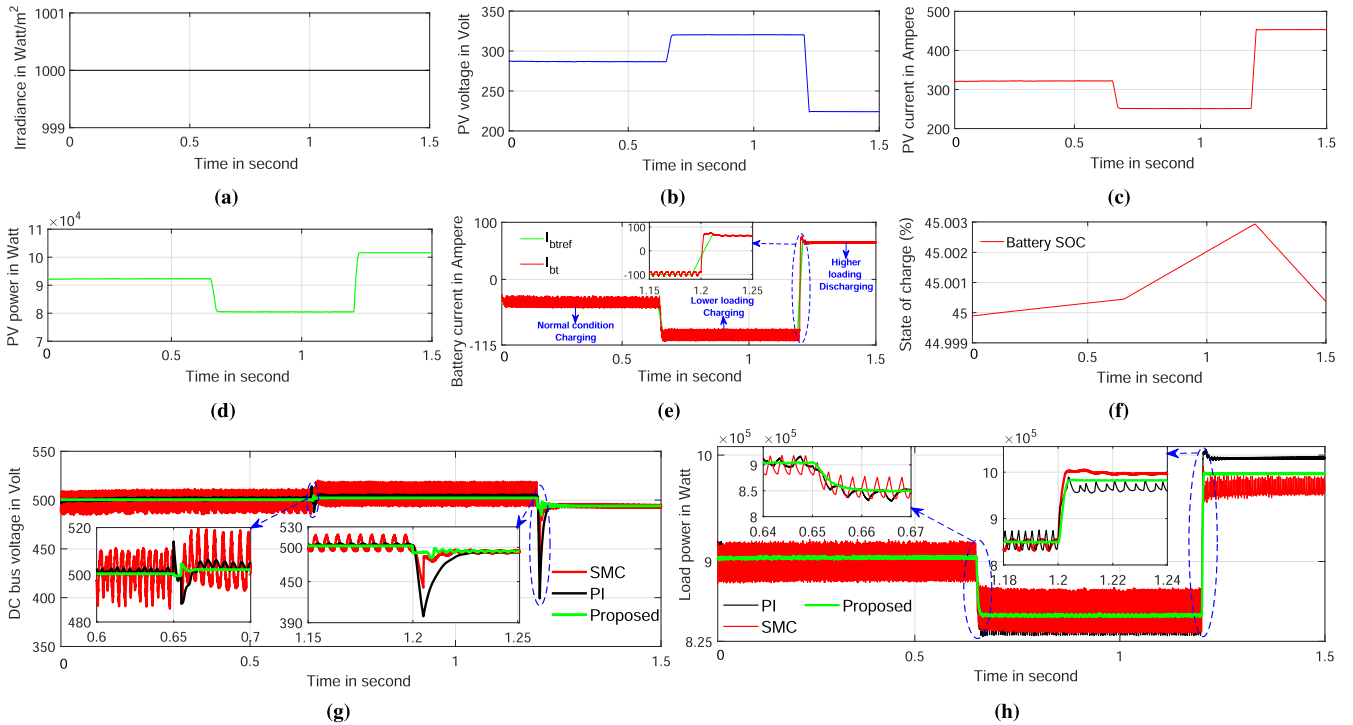


Fig. 5. DC load change results using RFEMBLs method. (a) Irradiance. (b) PV voltage. (c) PV current. (d) PV power. (e) Battery current. (f) Battery SOC. (g) DC bus voltage. (h) Load power.

decreases and subsequently, PV voltage drops, where the PV current rises significantly at the fault instant as shown in Fig. 4(c) and (d). Fig. 4(e) and (f) shows the battery response in the dc microgrid by the developed AIBSMC approach. It is obvious that the battery was charging before the issue because there is less load and more generation. According to Fig. 4(e), the

battery accurately records positive reference current during fault instant from 0.25 to 0.5 s and 1.25 to 1.5 s. In this regard, the battery supplies a sudden power shortage. In addition, Fig. 4(f) presents a battery’s SOC under a PP fault condition. Fig. 4(g) describes the voltage of the dc bus under the fault state and the transients occur at 0.25 and 1.25 s. The proposed AIBSMC

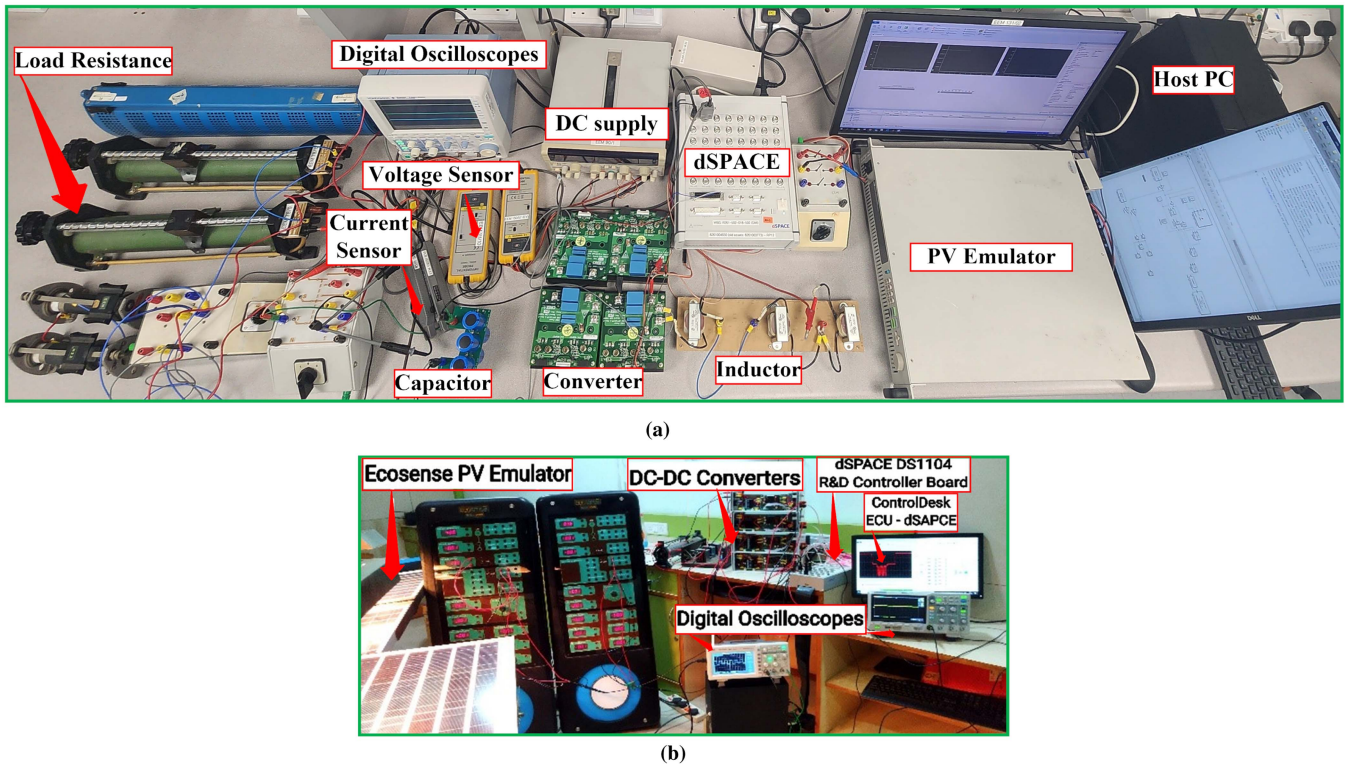


Fig. 6. (a) Detailed component label of hardware setup. (b) Overall test bench setup for the verification of proposed method in real time.

controller responds more quickly with no chattering as it was in existing controllers. The high current developed during a fault situation, and the corresponding power is depicted in Fig. 4(h). It is obvious that under a fault condition, the power transient's amplitude is very large. In contrast to existing methodologies, the proposed AIBSMC controller offers a smaller transient amplitude, a quicker dynamic response, and less chattering.

### C. Case 3: DC Load Variation

In this case, PV irradiance and temperature are fixed at  $1000 \text{ W/m}^2$  and  $25 \text{ }^\circ\text{C}$ , respectively, and represented in Fig. 5. The switching is considered from 0.5 to 1.5 s. In this regard, at 0.65 s lower dc loading, i.e.,  $-25\%$  and at 1.2 s higher loading, i.e.,  $+20\%$  loading occurred in the system. Fig. 5(a) is indicated at constant irradiance under dc load change. Subsequently, the changed voltage, current, and power of PV are also represented in Fig. 5(b) to (d). From this, it can be noticed that the PV power has been changed under lower and higher loadings. The performance of the battery by using the proposed controller is shown in Fig. 5(e) and (f). Further, the positive reference current of the battery is tracked under the discharge process, whereas the negative reference current of the battery is tracked during the charge process accurately. The battery SOC is depicted in Fig. 5(f) and the voltage across the dc bus is described in Fig. 5(g). From this, it is observed that the proposed AIBSMC controller reduces the transients under higher and lower loadings. Also, this controller has given a faster response with less chattering in comparison to PI and SMC controllers.

Power across the load is depicted in Fig. 5(h). In Fig. 5(h), high transients are reduced by the proposed controller and it shows a quick response as compared to PI and SMC.

The cutting edge functionally expanded multikernel broad learning system significantly enhanced the accuracy of complex nonlinear maximum power point regression in PV DG systems. This advancement is achieved by leveraging functional expansion techniques to model PV cell irradiance and temperature dynamics, all while optimizing computational efficiency. A new adoption-based AIBSMC is capable of managing the dc bus voltage in our novel dc microgrid configuration, which integrates a PV system, battery storage unit, and diverse loads. This algorithm has integral action, resulting in substantial enhancements to both steady-state error minimization and tracking error convergence. Moreover, the backstepping control strategy based on dc bus voltage feedback ensures faster response times and mitigates control chatter. To improve the control precision and robustness, a sliding-mode controller is proposed. To minimize reliance on different RES, the droop control mechanism enables the determination of reference current relative to battery current, ultimately improving the overall efficiency of the system.

### D. Experimental Verification of the Proposed Method Using Ecosense PV Emulator With dSPACE1104 R & D Board

The Ecosense PV plant prototype is connected with two ELODORA modules of  $40 \text{ kW}$  peak each as well as local loads of the developed dSPACE embedded processor based hardware in

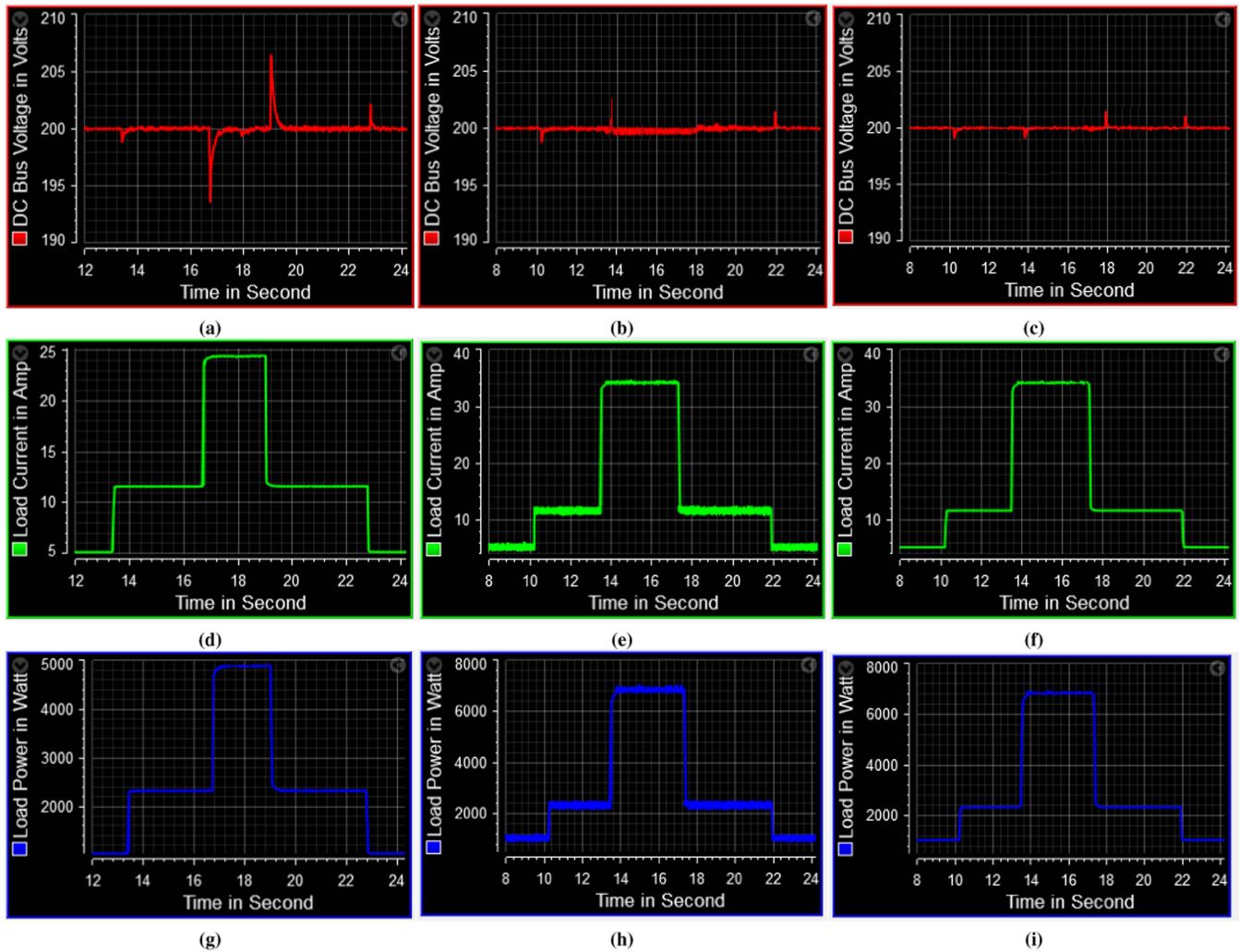


Fig. 7. Experimental verification using RFEMBLs method for dc load change condition. (a) DC bus voltage of PI. (b) DC bus voltage of SMC. (c) DC bus voltage of AIBSMC. (d) Load current of PI. (e) Load current of SMC. (f) Load current of AIBSMC. (g) Load power of PI. (h) Load power of SMC. (i) Load power of AIBSMC.

the loop (HIL) rapid controller prototyping model to validate the control strategy of the proposed RFEMBLs-AIBSMC method. Ecosense PV emulator consists of ELDORA-40 poly crystalline PV panels, 1.8-kW illumination controlled halogen bulbs artificial source for irradiation variation, and a variable RLC load up to 25 W with a provision for DG side faults to test and examines the proposed PV-battery-based dc microgrid. The 25-W dc–dc converter and 50-W dc–ac voltage source converter of the power conditioning unit (PCU) operate metal-oxide-semiconductor field effect transistor (MOSFET) based power switching. The PV emulator is interfaced with the dSPACE R&D control panel using Tektronix TPP0101 probe of 300 V peak with the operating frequency of 100 MHz. The embedded analog-to-digital converter (ADC) and digital-to-analog converter (DAC) channels operate with 10-kHz frequency of a maximum 250 MHz supporting operate value. Host PC based control desk software platform is used to operate the control logic of dc–dc voltage boost (VB) in the MATLAB/Simulink configured with dSPACE

real-time interfacing (RTI) library with the supporting package. The master power PC 602e based DS1104 embedded processor records the PV voltage and current from the ADC ports through LEM 25 V and LEM 25P Ecosense sensors. The switching pulse of the proposed controller output as the duty cycle is fed to DAC through the TP0101 cable of dc–dc VB in an Ecosense PCU.

In our experimental setup at the Ecosense laboratory based on the dSPACE embedded processor, we created various scenarios involving changes in dc load and pole-to-pole faults. The detailed component-level configuration of this setup is illustrated in Fig. 6(a), while the overall test bench is depicted in Fig. 6(b). The ADC port of the dSPACE embedded development board is interfaced with practical scale down signals, and the control desk software environment is configured to capture the proposed control output windowed signal. The irradiance from the  $E1$  and  $E2$  is set at  $1000 \text{ W/m}^2$  using an adjustable knob of 1.8-KW halogen bulb corresponding to dc load change and pole-to-pole fault conditions. To verify the impact of the control action of

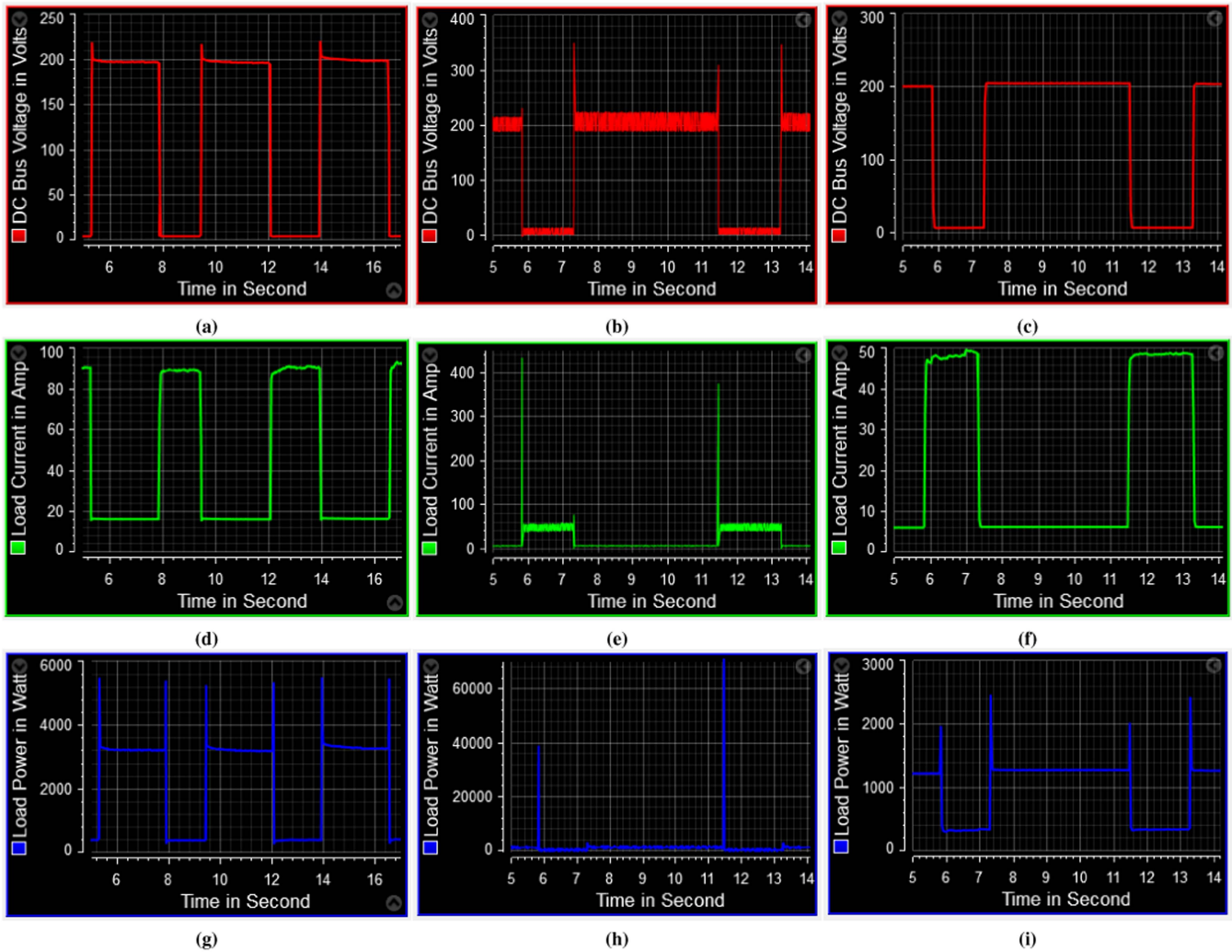


Fig. 8. Experimental verification based on RFEMBLs method for pole to pole fault condition. (a) DC bus voltage of PI. (b) DC bus voltage of SMC. (c) DC bus voltage of AIBSMC. (d) Load current of PI. (e) Load current of SMC. (f) Load current of AIBSMC. (g) Load power of PI. (h) Load power of SMC. (i) Load power of AIBSMC.

the proposed method, the dynamics of load change are initiated for +10% loading at 13.2 s, +25% loading at 16.8 s, -25% loading at 19.2 s, and -10% loading at 22.8 s. The recorded dc link voltage, load current, and load power outputs of the control desk environment under the dynamics of load change of RFEMBLs-PI, RFEMBLs-SMC, and RFEMBLs-AIBSMC control methods are presented in Fig. 7. From Fig. 7, it can be noticed that the proposed control shows less chatter with a higher response speed. Furthermore, a pole-to-pole fault is created with different switching times, and the recorded dc link voltage, load current, and load power outputs are presented in Fig. 8. From Fig. 8, it can be observed that the RFEMBLs-PI and RFEMBLs-SMC methods show more chattering and high oscillations. However, the RFEMBLs-AIBSMC proposed method shows less oscillations. Thus, the simulated and hardware results show the proposed controller maintains the dc bus voltage effectively under different scenarios as compared to other methods.

## V. CONCLUSION

In this work, we have introduced the integration of a PV renewable energy source and the battery energy storage system with the dc bus. The outstanding reference value prediction efficacy of the proposed RFEMBLs method of the PV system is performed accurately by importing the functional expansion of the irradiance and temperature. The performance of the proposed approach is studied in terms of the dc bus voltage regulation as well as the transient responses of the states by using MATLAB/Simulink as well as experimentation to test it, and the outcomes are examined with PI and SMC. The proposed RFEMBLs-AIBSMC method shows excellent tracking results, quick steady-state error convergence, minimum chattering as well as ripples, and no overshoots over other recently developed prevalent control methods. Using the Lyapunov analysis, the system's overall asymptotic stability and zero dynamic error convergence have been verified. Finally, the simplicity,

practicability, and robustness of the proposed control algorithm are examined and validated in different scenarios in an Ecosense PV emulator interfaced with dSPACE1104 embedded processor.

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