

# Finite Control Set–Model Predictive Control of H8 Inverter Considering Dead-Time Effect for PMSM Drive Systems With Reduced Conducted Common-Mode EMI and Current Distortions

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**Abstract**—To reduce the conducted common-mode (CM) electromagnetic interference (EMI) and current total harmonic distortion (THD) in permanent magnet synchronous motor drive systems, this article proposes a finite control set–model predictive control (FCS–MPC) method for an H8 inverter considering the dead-time (DT) effect. In a real system, the DT causes unexpected peak common-mode voltages (CMVs) and distortion of the inverter output voltage, which deteriorates both the CM EMI and current THD. The conventional double active voltage vector (VV) based MPC methods with an H6 inverter may not be a good solution because of the numerous CMV variations and the limit of the VV transitions. In contrast, the proposed FCS–MPC method allows all VV transitions possible without the peak CMVs by instantly operating the series-connected switch of the H8 inverter based on the previous VV, predicted current sector, and next optimal VV. Furthermore, the nonlinearity of the inverter output voltage due to the DT is compensated in a discrete-time model used for the current prediction, considering the calculation delay of the digital controller. Consequently, the current THD as well as the CM EMI can be improved. The simulation and experimental results are presented to verify the effectiveness of the proposed method.

**Index Terms**—Common-mode electromagnetic interference (EMI), model predictive control (MPC), total harmonic distortion (THD), two-level voltage source inverters (2L-VSIs).

## I. INTRODUCTION

RECENTLY, three-phase two-level voltage source inverters (2L-VSIs) have been widely used in various industrial areas, such as photovoltaic grid-connected power systems and motor drives [1]–[3]. In addition, 2L-VSIs have become more

efficient and compact with fast switching operations. However, as the switching frequency increases, the common-mode voltage (CMV) issues become critical [4]. In motor drive systems, such as permanent magnet synchronous motor (PMSM) drive systems, the common-mode currents (CMCs) caused by the CMV variations may lead to bearing failures and the common-mode (CM) electromagnetic interference (EMI), thereby affecting the system reliability [5], [6]. Thus, the CMV reduction methods in motor drives have received considerable attention. When compared with 2L-VSIs, multilevel inverters, such as cascaded H-bridge inverters [7] and neutral-point-clamped inverters [8], can reduce the CMVs partially or completely; however, they require a number of power devices and gate drivers, which make the system costly and complicated. This article focuses on 2L-VSIs mainly used in the low- and medium-power drive systems whose the dc-link voltage and power are lower than several hundred volts and several kilowatts, respectively.

One of the widely applied methods for CMV attenuation is the use of a passive [9] or an active [10] CM filter. Passive filters can reduce the  $dv/dt$  of the CMVs at motor input terminals. However, as the operating switching frequency increases, the passive filters become larger and heavier [11]. Also, an active filter typically adopts a CM transformer of significant size, which increases the system size and weight. To reduce CMVs without additional passive components, various pulsewidth modulation (PWM) based CMV reduction methods have been proposed and are summarized in [12]. Although these methods can reduce the CMVs to a certain extent, they not only require PWM blocks but also degrade the performance of the inverter, such as the linearity of the modulation range.

In recent years, a finite control set–model predictive control (FCS–MPC) has generated significant interest in PMSM drives owing to its simple control concept and rapid dynamic response. Considering all possible switching states of a 2L-VSI, eight voltage vectors (VVs) can be extracted: six active VVs (AVVs) and two zero VVs (ZVVs). The CMV reduction in FCS–MPC could be easily achieved by excluding the use of ZVVs that generate a peak CMV of zero or  $V_{dc}$  [13]–[21]. In [13], the optimal VV was selected among only six AVVs to restrict the CMV from  $V_{dc}/3$  to  $2V_{dc}/3$ . Furthermore, in [14], the optimal

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VV was selected among four preselected AVVs to reduce the switching loss and computational effort. However, the methods in [13] and [14] do not consider the dead-time (DT) effect. In actual 2L-VSIs, the DT should be inserted between the gate signals of two switches in a leg to prevent a short circuit. Unfortunately, the DT can cause unexpected peak CMV of zero or  $V_{dc}$ , as well as the distortion of the output voltage.

To completely eliminate the peak CMVs even at the DT, the MPC method was modified in [15]–[21]. In [15], the two-leg-changed AVV transitions, which can cause the peak CMVs during the DT, were excluded. However, the reduced number of available VVs leads to an increase in the current total harmonic distortion (THD). To reduce the increased current THD with the restricted CMVs, a hybrid-AVV preselection-based MPC was proposed in [18], which can add one more available AVV depending on the current sector (CS). In [19], a hybrid-synthesized AVV preselection-based MPC, which utilizes two adjacent AVVs during one sampling period, was proposed to further improve the current THD, compared with that reported in [18]. Unfortunately, the methods in [18] and [19] require complicated VV preselection algorithms. Similar to [19], a double AVV (DAVV) based MPC with the optimal distributed time was proposed in [20] and [21] to improve the current THD without using ZVVs. However, the computational burden is rapidly increased to calculate the optimal distributed time. Particularly, the CMCs and CM EMI significantly increase in the DAVV-based MPC methods in [19]–[21] because of the large number of CMV variations.

In summary, the conventional modified MPC methods have the following disadvantages.

- 1) Increased current THD due to the absence of ZVVs with reduced number of available AVVs [15].
- 2) Increased control complexity because of the complicated VV preselection algorithms [18], [19].
- 3) High CMCs and CM EMI due to the large number of CMV variations [19]–[21].
- 4) Huge computational burden [20], [21].

From another perspective, CMVs can be inherently reduced by modifying inverter topologies [22]–[29]. In [22] and [23], a four-leg inverter, for which a fourth leg is added to the traditional H6 inverter, was used to reduce the CMVs. In [24], an H10 inverter comprising ten power devices was proposed to achieve constant or zero CMV. However, the four-leg inverter again requires an additional  $LC$  filter, and the H10 inverter requires many power devices with multiple dc sources.

Recently, studies have focused on H8 inverter topologies considering the tradeoff between the CMV reduction capability, power density, and economic efficiency [25]–[29]. As shown in Fig. 1(a), an H8 inverter has only two additional switches between the dc-link and the traditional H6 inverter. The peak CMVs at the ZVVs can be reduced by appropriately turning OFF the series-connected switches. Traditionally, NAND- [26], [27] and OR- [28], [29] gate-based logics have been used to control the series-connected switches. However, a NAND-gate-based logic cannot reduce the peak CMVs during the DT when entering and leaving the ZVV. Although an OR-gate-based logic reduces the peak CMVs at the ZVVs, it was considered only for the

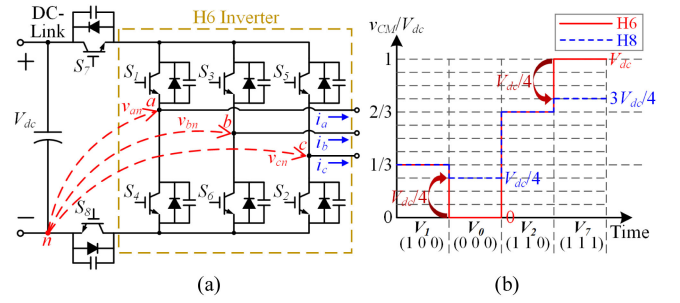


Fig. 1. (a) Circuit configuration of H8 inverter. (b) Comparison of CMV waveforms between H6 and H8 inverters.

operations entering and leaving the ZVVs; the peak CMVs are still generated at the two-leg-changed AVV transitions.

This article proposes an FCS-MPC method for an H8 inverter with the optimal operation of the series-connected switch, which can reduce the peak CMVs without a complex control algorithm and a large computational burden. Unlike the traditional FCS-MPC, the proposed method instantly operates the series-connected switches based on three factors: the previous VV; predicted CS; and next optimal VV, and thus, eliminates the peak CMVs even at all VV transitions. In addition, the nonlinearity of the inverter output voltage due to DT is compensated in a discrete-time model of the current prediction while considering the calculation delay of the digital controller. As a result, along with accurate current prediction, the current THD can be considerably improved over the entire operating condition. The effectiveness of the proposed method was verified using numerous simulation and experimental results.

The rest of this article is organized as follows. Section II reviews the conventional gate logics for an H8 inverter. Section III presents the proposed FCS-MPC method in detail. The simulation and experimental results are given in Sections IV and V, respectively. Finally, Section VI concludes this article.

## II. REVIEW OF CONVENTIONAL CONTROL METHODS FOR H8 INVERTER

A CMV  $v_{CM}$  can be calculated using the inverter output voltages  $v_{an}$ ,  $v_{bn}$ , and  $v_{cn}$  as follows:

$$v_{CM} = (v_{an} + v_{bn} + v_{cn})/3. \quad (1)$$

Both the magnitude of and variation in  $v_{CM}$  should be reduced to suppress the CMCs and the CM EMI. A peak CMV of zero or  $V_{dc}$  is inevitably generated at the ZVV in the traditional H6 inverter, whereas the H8 inverter in Fig. 1(a) can reduce the peak CMVs using the series-connected switches  $S_7$  and  $S_8$ . At  $V_0$ , the switches  $S_1$ ,  $S_3$ , and  $S_5$  are turned OFF, whereas the switches  $S_4$ ,  $S_6$ , and  $S_2$  are turned ON. Thus, the inverter output terminals are tied to the lower side of the inverter. At that time, to float the output terminals from the negative side of the dc-link,  $S_8$  is turned OFF. In this case, the output voltages and  $v_{CM}$  can be calculated based on the complex frequency-domain model [28]. If the inherent junction capacitors of all switches ( $S_1$ – $S_8$ ) are the

TABLE I  
COMPARISON OF CMV BETWEEN H6 AND H8 INVERTERS

Voltage Vector	$(F_a, F_b, F_c)^{1)}$	CMV		
		H6 Inverter	H8 Inverter	
ZVV	$V_0$	(0, 0, 0)	0	$V_{dc}/4$
AVV	$V_1$	(1, 0, 0)	$V_{dc}/3$	$V_{dc}/3$
	$V_2$	(1, 1, 0)	$2V_{dc}/3$	$2V_{dc}/3$
	$V_3$	(0, 1, 0)	$V_{dc}/3$	$V_{dc}/3$
	$V_4$	(0, 1, 1)	$2V_{dc}/3$	$2V_{dc}/3$
	$V_5$	(0, 0, 1)	$V_{dc}/3$	$V_{dc}/3$
	$V_6$	(1, 0, 1)	$2V_{dc}/3$	$2V_{dc}/3$
ZVV	$V_7$	(1, 1, 1)	$V_{dc}$	$3V_{dc}/4$

<sup>1)</sup>  $F_x$  ( $x = a, b, c$ ) is switching function at each leg: "1" is case where upper switch is in ON-state and lower switch is in OFF-state, and "0" is opposite case.

TABLE II  
CMV VARIATIONS BETWEEN TWO VVs FOR H6 AND H8 INVERTERS

Voltage Vector Transition	Magnitude of CMV Variation	
	H6 Inverter	H8 Inverter
AVV to AVV	0 or $V_{dc}/3$	0 or $V_{dc}/3$
AVV to ZVV <sup>1)</sup>	$V_{dc}/3$	$V_{dc}/12$
ZVV to AVV <sup>2)</sup>	$V_{dc}/3$ or $2V_{dc}/3$	$V_{dc}/12$ or $5V_{dc}/12$

<sup>1)</sup> Assume that odd number AVV ( $V_1, V_3$ , and  $V_5$ ) is always changed to  $V_0$ , whereas even number AVV ( $V_2, V_4$ , and  $V_6$ ) is changed to  $V_7$  in order to reduce redundant switching operations.

<sup>2)</sup> Assume that any ZVV can be changed to any AVV.

same,  $v_{CM}$  becomes  $V_{dc}/4$  at  $V_0$ . Similarly,  $S_7$  is turned OFF at  $V_7$  to float the inverter output terminals from the positive side of the dc-link, and  $v_{CM}$  becomes  $3V_{dc}/4$ . Table I gives a comparison of  $v_{CM}$  between the H6 and H8 inverters, and Fig. 1(b) depicts an example of their waveforms. The magnitude of CMV at the ZVV can be reduced in the H8 inverter. In addition, Table II gives the CMV variations between two VVs for H6 and H8 inverters. As given in Table II, the H8 inverter can also reduce the CMV variations between the AVV and ZVV in an ideal case. However, in an actual system, the DT between two VVs may cause unexpected peak CMVs with additional CMV variations.

To completely eliminate the peak CMVs, the timing of turning ON/OFF of  $S_7$  and  $S_8$  is important in the H8 inverter. As a conventional control method for  $S_7$  and  $S_8$ , a NAND-gate-based logic ( $G_7 = \overline{G_1 \cdot G_3 \cdot G_5}$  and  $G_8 = \overline{G_2 \cdot G_4 \cdot G_6}$ ) is proposed, as shown in Fig. 2(a), where  $G_i$  ( $i = 1, 2, \dots, 8$ ) is the gate signal of the switch  $S_i$ . Unfortunately, the NAND logic can cause the peak CMVs when entering and leaving the ZVV depending on the CS. For example, a VV transition is assumed from  $V_1$  to  $V_0$  when the polarities of the three-phase current are  $i_a > 0$ ,  $i_b < 0$ , and  $i_c > 0$ . The equivalent circuit at  $V_1$  is shown in Fig. 2(c). In this circuit configuration,  $S_1$  is turned OFF during the DT to change the  $a$ -phase switching state, and then  $i_a$  starts to discharge the junction capacitor of  $S_4$ , as shown in Fig. 2(d). As  $G_4$  is at a low level during the DT,  $S_8$  maintains the ON-state via the NAND logic in Fig. 2(a), thus decreasing  $v_{CM}$  to the peak value of zero.

As another conventional control method for  $S_7$  and  $S_8$ , an OR-gate-based logic ( $G_7 = G_2 + G_4 + G_6$  and  $G_8 = G_1 + G_3 +$

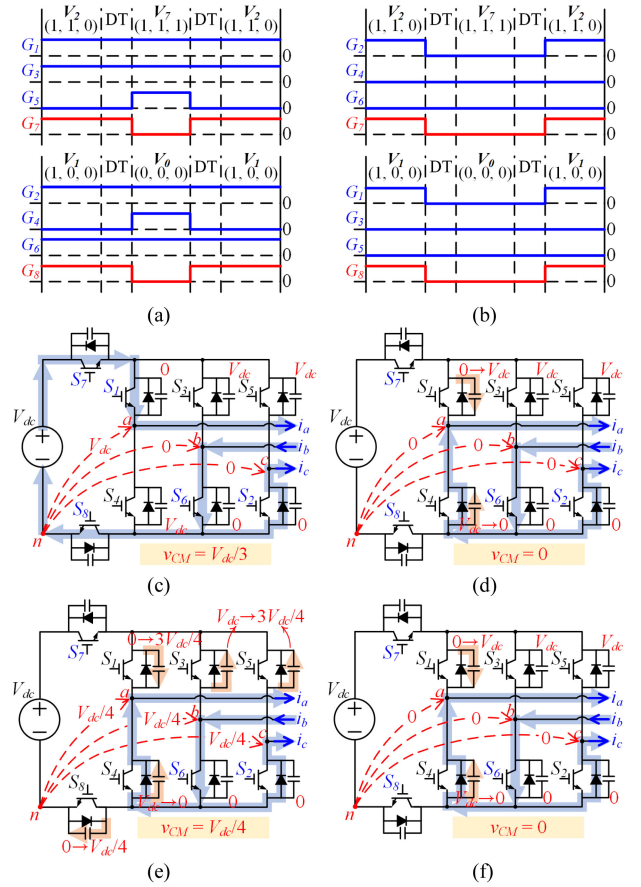


Fig. 2. (a) NAND-gate-based logic [26], [27]. (b) OR-gate-based logic [28], [29]. (c)–(f) Equivalent circuits of H8 inverter when  $i_a > 0$ ,  $i_b < 0$ , and  $i_c > 0$ . (c) At  $V_1$ . (d) At DT between  $V_1$  and  $V_0$  with NAND-gate-based logic. (e) At DT between  $V_1$  and  $V_0$  with OR-gate-based logic. (f) At DT between  $V_1$  and  $V_5$ .

$G_5$ ) is proposed, as shown in Fig. 2(b). For the same VV transition,  $S_8$  is turned OFF at the start of the DT because the gate signals of  $G_1$ ,  $G_3$ , and  $G_5$  become low. Thus,  $v_{CM}$  does not decrease to zero because of the charged voltage of  $S_8$ , as shown in Fig. 2(e). However, the operation was considered only when entering and leaving the ZVVs. The two-leg-changed AVV transitions can still cause unexpected peak CMVs. An example of a vector transition between  $V_1$  and  $V_5$  is shown in Fig. 2(f), where a peak CMV of zero is generated during the DT. To optimally control  $S_7/S_8$  not only when entering and leaving the ZVV but also at the transition between two AVVs, instant CS information is required, which is difficult in the traditional FCS-MPC algorithm because of sensing and calculation delays. Even the exclusion of the two-leg-changed AVV transitions to avoid the peak CMVs deteriorates the current THD in FCS-MPC.

### III. PROPOSED FINITE CONTROL SET-MODEL PREDICTIVE CONTROL OF H8 INVERTER

The proposed FCS-MPC method optimally controls the series-connected switches based on the previous VV, predicted CS, and next optimal VV. First, the conditions generating peak

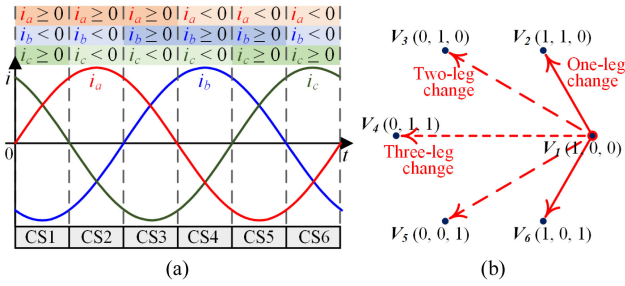


Fig. 3. (a) Definition of CS. (b) Example of vector transition between AVVs.

CMVs during the DT are investigated based on the CS and VV transition cases. Subsequently, the operation of the series-connected switches eliminating peak CMVs is established. Second, a compensation method for the nonlinearity of the inverter output voltage due to the DT is proposed considering the calculation delay of the digital controller.

#### A. Common-Mode Voltage During Dead-Time

As given in Table I, the CMV at a particular VV is simply determined by the switching state of the VV, whereas that generated during the DT depends on both the CS and the switching state at the instant. Even the switching state during the DT differs according to the previous and next VVs. Therefore, the CMV-generated pattern during the DT should be investigated, particularly between the AVVs, to determine when the peak CMVs is generated.

The definition of the CS depending on the current polarity and an example of a vector transition between AVVs are shown in Fig. 3(a) and (b), respectively. In the case of the one-leg-changed vector transition, a peak CMV of zero or  $V_{dc}$  is not generated regardless of the CS because one switch always turns ON at each upper and lower side among the three legs. For example, for the transition from  $V_1$  to  $V_2$  in Fig. 3(b), the upper switch of the  $a$ -phase and the lower switch of the  $c$ -phase maintain the ON-state during the DT. In addition, a vector transition changing all three legs, such as from  $V_1$  to  $V_4$ , does not generate a peak CMV because the inverter operates as a three-phase diode rectifier during the DT. On the other hand, the peak CMVs can be generated in the case of a two-leg-changed transition, such as  $V_1$  to  $V_3$ . Fig. 4 shows the three cases of the transition between  $V_1$  and  $V_3$  depending on the CS.

- 1) *Transition between Powering and Regenerating VVs [see Fig. 4(a)–(c)]:* In CS1, AVV  $V_1$  transfers the power from the dc-link to the ac load [see Fig. 4(a)]; hence,  $V_1$  becomes the powering VV in this CS. In contrast, AVV  $V_3$  transfers the power in the reverse direction, i.e., from the ac load to the dc-link [see Fig. 4(c)]; hence,  $V_3$  becomes the regenerating VV. When the transition occurs from  $V_1$  to  $V_3$ , all switches in the  $a$ - and  $b$ -phases turn OFF during the DT, as shown in Fig. 4(b). Accordingly, currents  $i_a$  and  $i_b$  flow through the anti-parallel diode at each leg, which inverts both output voltages in the  $a$ - and  $b$ -phases, respectively. The current path during the DT is the same as that of the regenerating VV  $V_3$ , thus generating the CMV

 TABLE III  
 CMV-GENERATED PATTERN DURING DT BETWEEN AVVs

Vector Transition between AVVs	CMV Generated during DT
One-Leg Change	$V_{dc}/3$ or $2V_{dc}/3$
Two-Leg Change <sup>1)</sup>	$V_{dc}/3$ or $2V_{dc}/3$
Three-Leg Change	$V_{dc}/3$ or $2V_{dc}/3$

<sup>1)</sup> $V_P$  and  $V_R$  denote powering and regenerating VVs, respectively.

of  $V_{dc}/3$ . This is similar to the other two-leg-changed transitions between the powering and regenerating VVs, i.e., the CMV at the DT is always the same as that of the regenerating VV.

- 2) *Transition between Powering VVs [see Fig. 4(d)–(f)]:* In CS3, both  $V_1$  and  $V_3$  become the powering VVs, as shown in Fig. 4(d) and (f), respectively. During the transition from  $V_1$  to  $V_3$ , the output voltage in the  $a$ -phase leg, which is the one between the two changed legs, is inverted in the direction of generating a peak CMV of zero during the DT [see Fig. 4(e)]. Similarly, whenever a two-leg-changed transition occurs between the powering VVs, a peak CMV is generated during the DT because the output voltage in one leg between the two changed legs becomes the same as that of the remaining two legs.
- 3) *Transition between Regenerating VVs [see Fig. 4(g)–(i)]:* Both  $V_1$  and  $V_3$  become the regenerating VVs in CS6, as shown in Fig. 4(g) and (i), respectively. In this case, the output voltage in one leg between the two changed legs is inverted during the DT, similar to the transition between the powering VVs. However, a peak CMV is not generated because the output voltage is changed opposite to that of the unchanged leg. For example, in Fig. 4(h), the  $b$ -phase output voltage becomes  $V_{dc}$  during the DT, opposite to the  $c$ -phase output voltage, and a peak CMV is not generated.

Table III gives the CMV-generated patterns during the DT between the AVVs. In all AVV transition cases, a peak CMV of zero or  $V_{dc}$  is generated only at the two-leg-changed transition between the powering VVs, where appropriate control of the series-connected switches is required to allow all AVV transitions without peak CMVs. As indicated in Fig. 4, the powering and regenerating VVs are changed depending on the CS. Table IV gives the powering and regenerating VVs based on the CS with the operation of  $S_7/S_8$  to eliminate the peak CMVs.

#### B. Compensation Method for Accurate Current Prediction

As previously mentioned, because the powering and regenerating VVs are determined depending on the CS, and this is related to the generation of the peak CMVs at the DT, accurate CS detection at that moment is required. However, in the traditional FCS-MPC algorithm, the instantaneous CS detection and operation of  $S_7/S_8$  are difficult because of the delay in the sensing and calculation processes of a digital controller. The

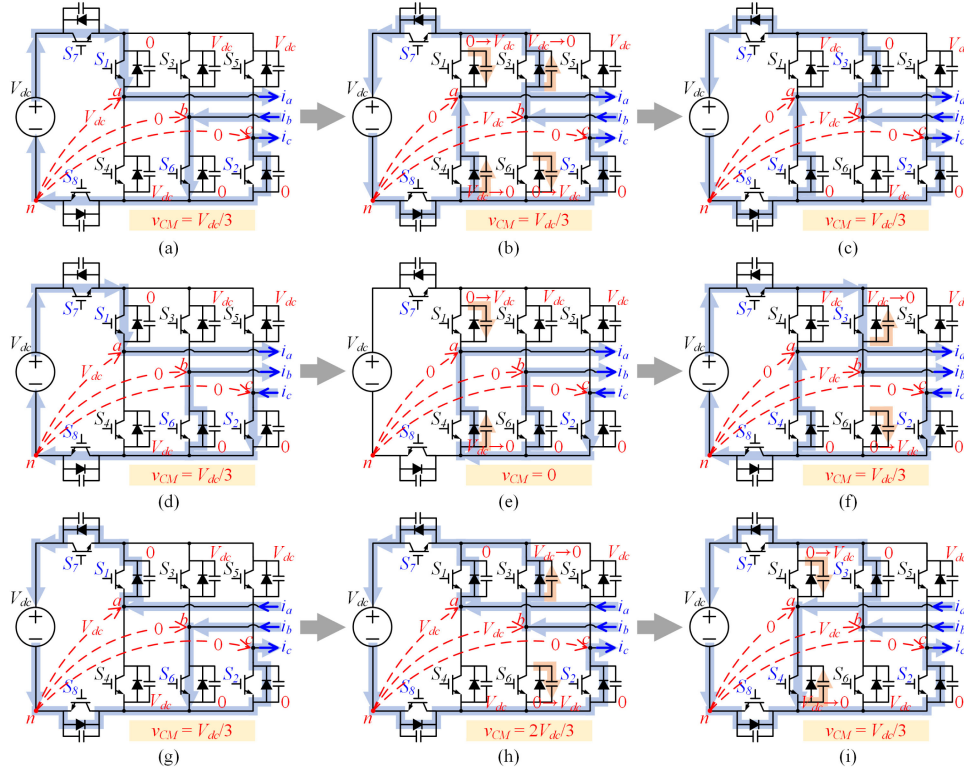


Fig. 4. Three cases of transition between  $V_1$  and  $V_3$  depending on CS. (a)–(c) CS1. (d)–(f) CS3. (g)–(i) CS6.

TABLE IV  
POWERING AND REGENERATING AVVS DEPENDING ON CS

	Powering VV	Regenerating VV	Generated Peak CMV	$S_7/S_8$ Operation
CS1	$V_1, V_3, V_6$	$V_2, V_3, V_4$	0 at $V_1 \leftrightarrow V_5$	$S_7$ ON/ $S_8$ OFF
CS2	$V_1, V_2, V_6$	$V_3, V_4, V_5$	$V_{dc}$ at $V_2 \leftrightarrow V_6$	$S_7$ OFF/ $S_8$ ON
CS3	$V_1, V_2, V_3$	$V_4, V_5, V_6$	0 at $V_1 \leftrightarrow V_3$	$S_7$ ON/ $S_8$ OFF
CS4	$V_2, V_3, V_4$	$V_1, V_5, V_6$	$V_{dc}$ at $V_2 \leftrightarrow V_4$	$S_7$ OFF/ $S_8$ ON
CS5	$V_3, V_4, V_5$	$V_1, V_2, V_6$	0 at $V_3 \leftrightarrow V_5$	$S_7$ ON/ $S_8$ OFF
CS6	$V_4, V_5, V_6$	$V_1, V_2, V_3$	$V_{dc}$ at $V_4 \leftrightarrow V_6$	$S_7$ OFF/ $S_8$ ON

delayed operation of  $S_7/S_8$  may cause peak CMVs. To solve the above problems, the proposed method detects the CS based on the predicted currents in FCS–MPC algorithm and instantly operates  $S_7/S_8$  at the CS instant. Besides, the nonlinearity of the inverter output voltage during the DT is compensated to detect the CS accurately and further improve the current THD.

The sampling sequence of the proposed FCS–MPC is illustrated in Fig. 5. For simplicity, only the  $\alpha$ -axis current  $i_\alpha$  in the stationary reference frame is considered. The  $\beta$ -axis current  $i_\beta$  is controlled similarly. The red solid line represents the reference current, and the blue solid and dashed lines represent the actual and predicted currents, respectively. At the start of the  $[k]$ th sequence, the current  $i_\alpha[k]$  is measured, and the optimal VV  $V_{\alpha\_opt}[k]$  previously selected in the  $[k-1]$ th sequence is applied. Based on  $i_\alpha[k]$  and  $V_{\alpha\_opt}[k]$ , the current  $i_{\alpha d}^p[k]$  at the  $[k]$ -th DT can be predicted using a discrete-time model. When  $i_{\alpha\beta}[k]$

$= [i_\alpha[k] \ i_\beta[k]]^T$ ,  $V_{\alpha\beta\_opt}[k] = [V_{\alpha\_opt}[k] \ V_{\beta\_opt}[k]]^T$ , and the back electromotive force (EMF)  $e_{\alpha\beta}[k] = [e_\alpha[k] \ e_\beta[k]]^T$ , the predicted current  $i_{\alpha\beta d}^p[k] = [i_{\alpha d}^p[k] \ i_{\beta d}^p[k]]^T$  is

$$i_{\alpha\beta d}^p[k] = \left(1 - \frac{R_s(1-d)T_s}{L_s}\right) \cdot i_{\alpha\beta}[k] + \frac{(1-d)T_s}{L_s} \cdot (V_{\alpha\beta\_opt}[k] + e_{\alpha\beta}[k]), \quad (2)$$

where  $R_s$ ,  $L_s$ ,  $T_s$ , and  $d$  are the stator resistance, stator inductance, sampling period, and DT ratio within  $T_s$ , respectively. In (2), since  $i_{\alpha\beta}[k]$  is measured after the  $[k-1]$ -th DT and the applied time (AT) of  $V_{\alpha\beta\_opt}[k]$  is considered as  $(1-d)T_s$  excluding the DT interval, the DT effect can be eliminated in the current prediction of  $i_{\alpha\beta d}^p[k]$ . Based on  $i_{\alpha\beta d}^p[k]$ , the CS at the  $[k]$ -th DT is predicted in advance, and  $S_7/S_8$  can be instantly operated at that time according to the CS.

Based on Fig. 5,  $i_{\alpha d}^p[k]$  is also used in the prediction of current  $i_{\alpha d}^p[k+1]$  at the  $[k+1]$ th DT, considering all possible  $V_\alpha[k+1]$ . However,  $V_{\alpha d}[k]$  during the  $[k]$ th DT causes the nonlinearity of inverter output voltage, affecting the trajectory of  $i_\alpha$ . This nonlinearity should be considered for accurate prediction of  $i_{\alpha\beta d}^p[k+1]$ . The current  $i_{\alpha\beta}^p[k+1] = [i_\alpha^p[k+1] \ i_\beta^p[k+1]]^T$  after the  $[k]$ th DT can be calculated as follows:

$$i_{\alpha\beta}^p[k+1] = \left(1 - \frac{R_s d T_s}{L_s}\right) \cdot i_{\alpha\beta d}^p[k] + \frac{dT_s}{L_s} \cdot (V_{\alpha\beta d}[k] + e_{\alpha\beta}[k]) \quad (3)$$

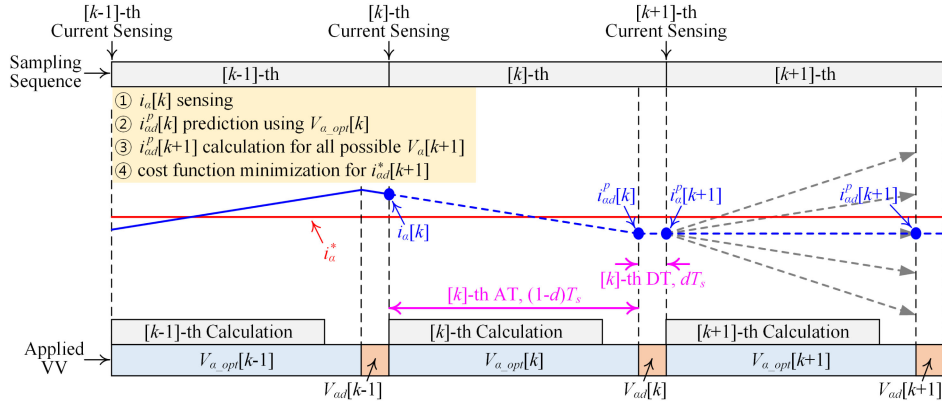


Fig. 5. Sampling sequence of proposed FCS-MPC considering calculation delay and nonlinearity of inverter output voltage due to DT.

where  $V_{\alpha\beta d}[k]$  is the equivalent VV during the  $[k]$ th DT, which is determined by  $V_{\alpha\beta\_opt}[k]$ , CS, and  $V_{\alpha\beta}[k+1]$ . Then,  $i_{\alpha\beta d}^p[k+1]$  can be estimated using  $i_{\alpha\beta}^p[k+1]$  for all possible  $V_{\alpha\beta}[k+1]$  as follows:

$$i_{\alpha\beta d}^p[k+1] = \left(1 - \frac{R_s(1-d)T_s}{L_s}\right) \cdot i_{\alpha\beta}^p[k+1] + \frac{(1-d)T_s}{L_s} \cdot (V_{\alpha\beta}[k+1] + e_{\alpha\beta}[k+1]). \quad (4)$$

By substituting (3) into (4) and neglecting the term multiplied by the square of  $T_s$ ,  $i_{\alpha\beta d}^p[k+1]$  expressed by  $i_{\alpha\beta d}^p[k]$  can be calculated as follows:

$$i_{\alpha\beta d}^p[k+1] = \left(1 - \frac{R_s T_s}{L_s}\right) \cdot i_{\alpha\beta d}^p[k] + \frac{T_s}{L_s} \cdot (dV_{\alpha\beta d}[k] + (1-d)V_{\alpha\beta}[k+1] + e_{\alpha\beta}[k+1]). \quad (5)$$

The back EMF  $e_{\alpha\beta}[k+1]$  can be simply assumed to be equal to  $e_{\alpha\beta}[k]$  when the sampling frequency  $f_s$  is much higher than the back EMF frequency [30]. In PMSM drives,  $e_{\alpha\beta}[k]$  can be expressed as

$$e_{\alpha\beta}[k+1] \simeq e_{\alpha\beta}[k] = \begin{bmatrix} e_{\alpha}[k] \\ e_{\beta}[k] \end{bmatrix} = \psi_m \omega_r \begin{bmatrix} \sin(\theta_r[k]) \\ -\cos(\theta_r[k]) \end{bmatrix} \quad (6)$$

where  $\psi_m$ ,  $\omega_r$ , and  $\theta_r$  are the flux magnitude, electric angular speed, and electric angular position of the rotor, respectively.

For comparison, the predicted currents at the  $[k]$ th sequence in the traditional FCS-MPC are expressed as follows:

$$i_{\alpha\beta}^p[k+1] = \left(1 - \frac{R_s T_s}{L_s}\right) \cdot i_{\alpha\beta}[k] + \frac{T_s}{L_s} \cdot (V_{\alpha\beta\_opt}[k] + e_{\alpha\beta}[k]) \quad (7)$$

$$i_{\alpha\beta}^p[k+2] = \left(1 - \frac{R_s T_s}{L_s}\right) \cdot i_{\alpha\beta}^p[k+1] + \frac{T_s}{L_s} \cdot (V_{\alpha\beta}[k+1] + e_{\alpha\beta}[k+1]). \quad (8)$$

As can be inferred from Fig. 5,  $i_{\alpha\beta}^p[k+1]$  and  $i_{\alpha\beta}^p[k+2]$  in the traditional FCS-MPC correspond to  $i_{\alpha\beta d}^p[k]$  and  $i_{\alpha\beta d}^p[k+1]$  in the proposed method, respectively. It is worth mentioning that only one term of  $dV_{\alpha\beta d}[k]$  is added in (5) compared with (8), which barely increases the additional calculation load, whereas the nonlinearity of the inverter output voltage due to the DT is simply compensated. The value of  $V_{\alpha\beta d}[k]$  can be obtained using the lookup table in a digital controller, as given in Appendix A.

The cost function  $g$ , which is defined as the error between the reference and predicted currents, is expressed in the proposed method as follows:

$$g = (i_{\alpha d}^*[k+1] - i_{\alpha d}^p[k+1])^2 + (i_{\beta d}^*[k+1] - i_{\beta d}^p[k+1])^2. \quad (9)$$

As indicated in (9), the proposed method uses the reference current  $i_{\alpha\beta d}^*[k+1]$  and predicted current  $i_{\alpha\beta d}^p[k+1]$ , whereas  $i_{\alpha\beta}^*[k+2]$  and  $i_{\alpha\beta}^p[k+2]$  are used in the cost function of the traditional FCS-MPC method. By evaluating  $g$  for all possible  $V_{\alpha\beta}[k+1]$ , the optimal VV  $V_{\alpha\beta\_opt}[k+1]$  minimizing the current error can be selected and applied in the  $[k+1]$ th sequence.

### C. Implementation of Proposed FCS-MPC Method

Based on the  $[k]$ th sequence, the control block diagram and flowchart of the proposed FCS-MPC method are shown in Figs. 6 and 7, respectively. Although the proposed method is implemented based on a PMSM drive system in this article, it can also be implemented with an  $R$ - $L$  load by neglecting the back EMF. In Fig. 6, the control block diagram is divided into two parts: a current prediction and cost function minimization part, and a switching signal generation part. In the former,  $V_{\alpha\beta\_opt}[k+1]$  is selected based on predicted currents  $i_{\alpha\beta d}^p[k]$  and  $i_{\alpha\beta d}^p[k+1]$ . In the latter, the switching signals of the H8 inverter at the  $[k]$ th DT and the  $[k+1]$ th AT are determined. Because the CS is detected based on  $i_{\alpha\beta d}^p[k]$ , the switching state at the  $[k]$ th DT, which should consider  $V_{\alpha\beta\_opt}[k]$ , the CS, and  $V_{\alpha\beta\_opt}[k+1]$ , can be predetermined. At the start of the DT or AT, the digital controller applies the corresponding switching state to the H8 inverter. Consequently, the instant operation of

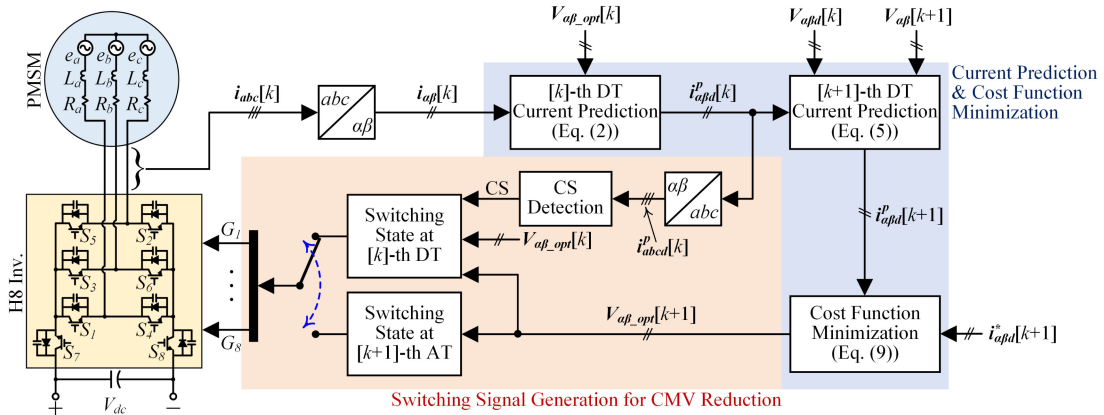


Fig. 6. Control block diagram of proposed FCS-MPC method.

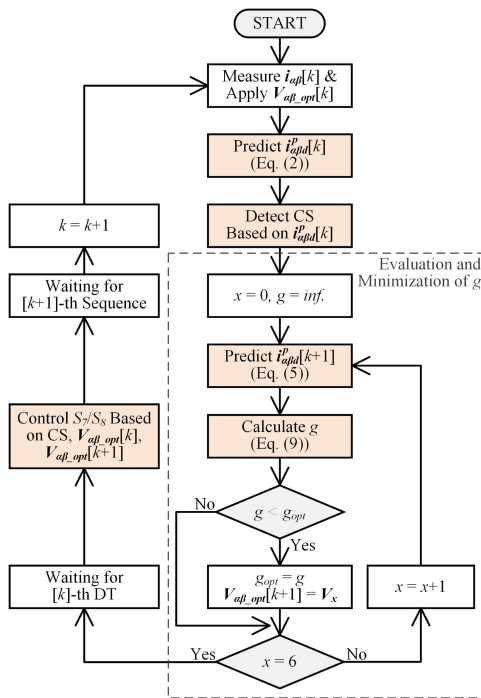


Fig. 7. Flowchart of proposed FCS-MPC method.

$S_7/S_8$  becomes possible, which can eliminate the peak CMVs even at all VV transitions.

In Fig. 7,  $g$  is evaluated as defined in (9) for seven VVs ( $V_0-V_6$ ) to obtain  $V_{\alpha\beta\_opt}[k+1]$ . If  $g$  is minimized when  $V_{\alpha\beta\_opt}[k+1] = V_0$ ,  $V_0$  or  $V_7$  is selected as  $V_{\alpha\beta\_opt}[k+1]$  based on  $V_{\alpha\beta\_opt}[k]$ :  $V_0$  is selected when  $V_{\alpha\beta\_opt}[k] = V_0$  or an odd number AVVs, or  $V_7$  is selected otherwise. When  $V_0$  ( $V_7$ ) is applied at the  $[k+1]$ th AT, the digital controller turns OFF  $S_8$  ( $S_7$ ) from the start of the  $[k]$ th DT to the end of the  $[k+1]$ th DT, as shown in Fig. 2(b). This ensures reduction of the peak CMVs, regardless of their occurrence during the DT.

Because the proposed FCS-MPC allows all VV transitions, the current THD can be improved without a complex control algorithm, unlike the DAVV MPC method. In particular, the

TABLE V  
PARAMETERS OF H8 INVERTER AND SPMSM

Parameter	Description	Value	Unit
$V_{dc}$	DC-Link Voltage	70	V
$f_s$	Sampling Frequency	20	kHz
$T_s$	Sampling Period	50	$\mu s$
$d$	DT Ratio	0.12	-
$S_i$	RGTH40TK65D	-	-
$P_{rated}$	Rated Power	750	W
$I_{rated}$	Rated RMS Current	4.4	A
$T_{rated}$	Rated Torque	2.39	N·m
$p$	The Number of Poles	10	-
$R_s$	Stator Resistance	0.633	$\Omega$
$L_s$	Stator Inductance	2.08	mH
$V_{pk}/kr/min$	Peak Line-to-Line Back EMF Constant	45	V/kr/min

CMEMI caused by CMV variations can be significantly reduced because only one VV is applied in each sampling sequence.

#### IV. SIMULATION RESULTS

To verify the performance of the proposed FCS-MPC method, simulation was conducted using Powersim software. The parameters of the H8 inverter and the surface mounted PMSM (SPMSM) are given in Table V. As the series-connected switches in the proposed method should be turned OFF and ON during a particular DT interval,  $d$  was sufficiently set as 0.12 ( $6 \mu s$  at  $T_s = 50 \mu s$ ). Although DT interval in the proposed method is set relatively larger than the traditional one ( $2-5 \mu s$ ), it does not affect current prediction error and current THD because the DT effect is compensated. The parasitic components, such as parasitic inductance and capacitance, were also considered in the simulation circuit. For comparison, the DAVV MPC method [19] as well as the traditional FCS-MPC method in the H8 inverter with NAND- [26], [27] and OR- [28], [29] gate-based logics were also simulated.

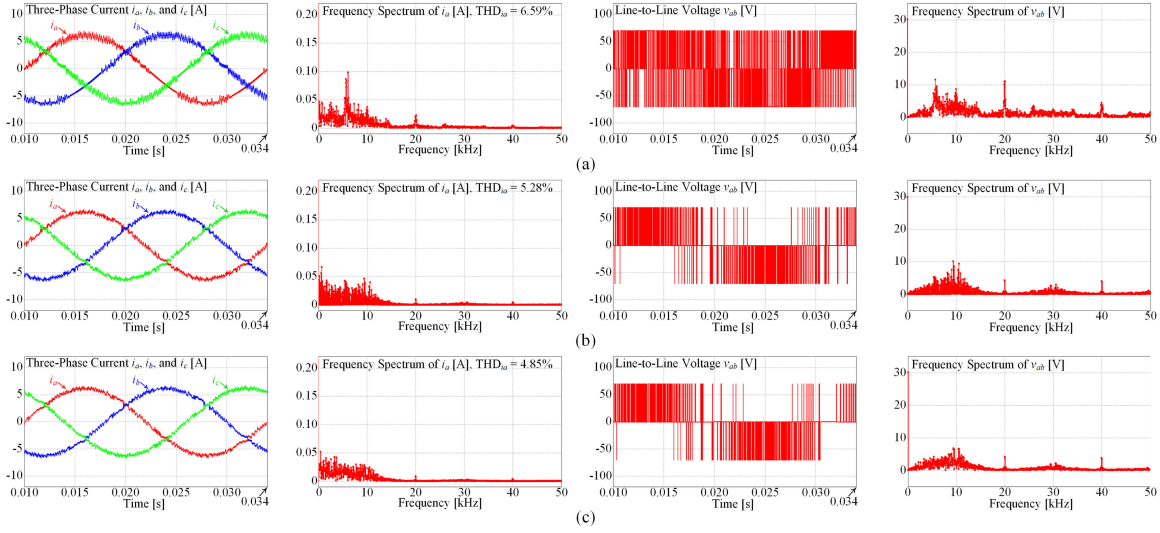


Fig. 8. Simulation results of three-phase current and line-to-line voltage at rated current ( $6.22 A_{peak}$ ) and rotor speed of 500 r/min. (a) DAVV MPC method. (b) Traditional FCS–MPC method. (d) Proposed FCS–MPC method.

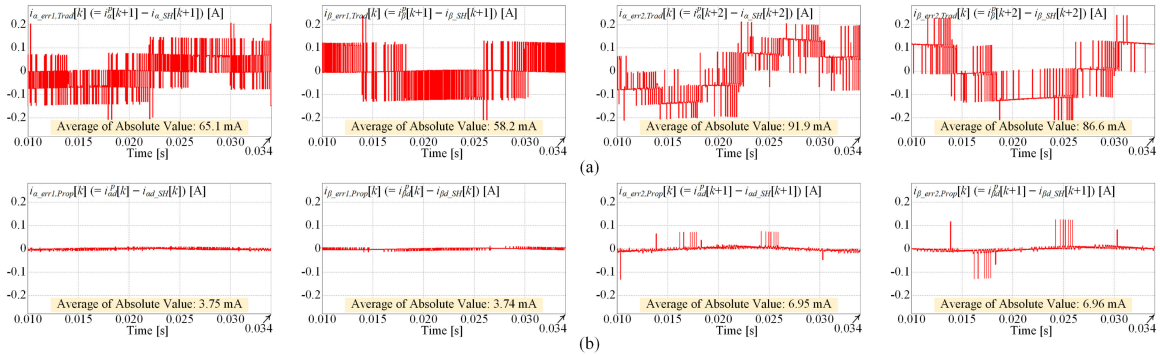


Fig. 9. Simulation results of predicted current errors at rated current and rotor speed of 500 r/min. (a) Traditional FCS–MPC method. (b) Proposed FCS–MPC method.

### A. Current THD and Predicted Current Error

The simulation results of the three-phase current and line-to-line voltage with their frequency spectra are shown in Fig. 8. The current THD of  $i_a$  was calculated as follows:

$$\%THD_{i_a} = \sqrt{\left(\sum_{n>1} i_{an,rms}^2\right)} / i_{a1,rms} \cdot 100 \quad (10)$$

where  $i_{a1,rms}$  and  $i_{an,rms}$  are the root-mean-square (RMS) values of the fundamental and  $[n]$ th harmonic components of  $i_a$ , respectively. As shown in Fig. 8(a), the DAVV MPC method exhibits the highest current THD compared with the other methods while generating an undesirable bipolar line-to-line voltage. This is because a ZVV was replaced by a VV synthesized using one or two AVVs. Even a synthesized VV should be preselected depending on the CS to avoid the peak CMVs during the DT, which indicates that the optimal one minimizing the current error may not be selected.

On the other hand, the traditional and proposed FCS–MPC methods mostly generate unipolar line-to-line voltages owing to the use of ZVVs. In this case, the proposed method further reduces the harmonic components in both the line-to-line voltage and current compared with that of the traditional FCS–MPC.

The compensation of the proposed method also reduces the prediction current errors. Fig. 9 shows a comparison of the predicted current errors between the traditional and proposed FCS–MPC methods. In each case, for reasonable comparison, two current errors  $i_{\alpha\beta\_err1}[k]$  and  $i_{\alpha\beta\_err2}[k]$  are defined differently as follows (referring to (7), (8) for the traditional FCS–MPC and (2), (5) for the proposed FCS–MPC)

$$\begin{cases} i_{\alpha\beta\_err1,Trad}[k] = i_{\alpha\beta}^p[k+1] - i_{\alpha\beta\_SH}[k+1] \\ i_{\alpha\beta\_err2,Trad}[k] = i_{\alpha\beta}^p[k+2] - i_{\alpha\beta\_SH}[k+2] \end{cases} \quad (11)$$

for Traditional FCS – MPC Method

$$\begin{cases} i_{\alpha\beta\_err1,Prop}[k] = i_{\alpha\beta d}^p[k] - i_{\alpha\beta d\_SH}[k] \\ i_{\alpha\beta\_err2,Prop}[k] = i_{\alpha\beta d}^p[k+1] - i_{\alpha\beta d\_SH}[k+1] \end{cases} \quad (12)$$

for Proposed FCS – MPC Method

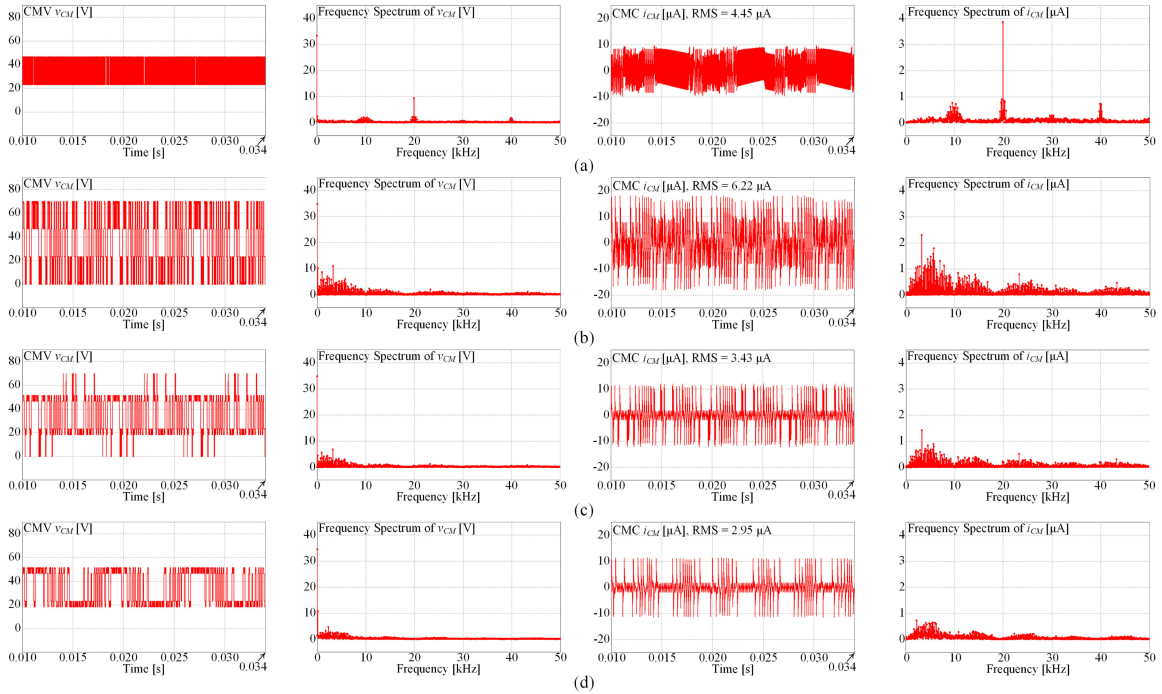


Fig. 10. Simulation results of CMV and CMC at rated current and rotor speed of 500 r/min. (a) DAVV MPC method. (b) Traditional FCS–MPC method with NAND-gate-based logic. (c) Traditional FCS–MPC method with OR-gate-based logic. (d) Proposed FCS–MPC method.

where  $i_{\alpha\beta\_SH}[k+1]$ ,  $i_{\alpha\beta\_SH}[k+2]$ ,  $i_{\alpha\beta d\_SH}[k]$ , and  $i_{\alpha\beta d\_SH}[k+1]$  are the sample-and-hold values of  $i_{\alpha\beta}$  at the start of the  $[k+1]$ th AT,  $[k+2]$ th AT,  $[k]$ th DT, and  $[k+1]$ th DT, respectively. As indicated in both (11) and (12), the subscripts  $err1$  and  $err2$  represent the current errors for the first and second predicted currents at the  $[k]$ th sequence in the two methods, respectively. As shown in Fig. 9,  $i_{\alpha\beta\_err1,Prop}[k]$  and  $i_{\alpha\beta\_err2,Prop}[k]$  are significantly reduced compared with  $i_{\alpha\beta\_err1,Trad}[k]$  and  $i_{\alpha\beta\_err2,Trad}[k]$ , respectively. As  $i_{\alpha\beta\_err1,Prop}[k]$  decreases, accurate CS detection is possible, and as  $i_{\alpha\beta\_err2,Prop}[k]$  decreases, accurate current prediction for cost function minimization is possible.

### B. CMV and CMC

The simulation results of the CMV and CMC with their frequency spectra are shown in Fig. 10. In Fig. 10(a), the DAVV MPC method eliminates the peak CMV of zero or  $V_{dc}$ , but the RMS value of the CMC is increased because of a large number of CMV variations. In Fig. 10(b), the traditional FCS–MPC with the NAND-gate-based logic generates many peak CMVs because it cannot reduce the peak CMVs at the DT, as described in Section II. In Fig. 10(c), although the OR-gate-based logic can reduce the peak CMVs to a certain extent, several peak CMVs are still generated at the transition between the two-leg-changed powering VVs. In contrast, the proposed method completely eliminates the peak CMVs by instantly operating the series-connected switches, as shown in Fig. 10(d). Accordingly, the RMS value of the CMCs is the lowest compared with the other methods.

In addition, in the DAVV MPC method, it is observed that the highest harmonic component of the CMC occurs at the sampling frequency ( $= 20$  kHz). In contrast, the harmonic components of the CMV and CMC are more spread over the frequency range in the traditional and proposed FCS–MPC methods. Herein, it is noticeable that the proposed method reduces most of the harmonic components in both the CMV and CMC, compared with the traditional FCS–MPC methods.

### C. Parameter Mismatch

An FCS–MPC method is sensitive to the model parameter mismatches because it implements a model-based control strategy [31]. The proposed method was also evaluated under the parameter mismatches. Fig. 11 shows the average values of  $|i_{\alpha\_err1,Prop}|$  and  $|i_{\alpha\_err2,Prop}|$  during a fundamental period of current when  $L_s$  or  $R_s$  in the controller is changed between 50% and 200% of its nominal value. As can be seen, the average current errors increase as the parameter mismatch becomes larger. The proposed method could completely reduce the peak CMVs even at the parameter mismatches, except when the inductance mismatch ratio is 2.0 in Fig. 11(a). Only when  $L_s$  was twice its nominal value, a few peak CMVs were generated due to the detection error of the CS.

### D. Average Switching Frequency

As a synthesized VV is applied in the DAVV MPC method, the average switching frequency rapidly increases. Fig. 12 shows the average switching frequencies for the DAVV MPC and the

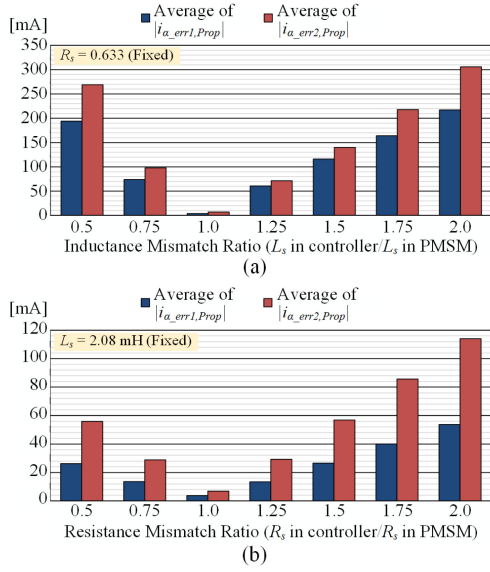


Fig. 11. Predicted current errors of proposed method under parameter mismatches. (a) Varying  $L_s$  with fixed  $R_s$ . (b) Varying  $R_s$  with fixed  $L_s$ .

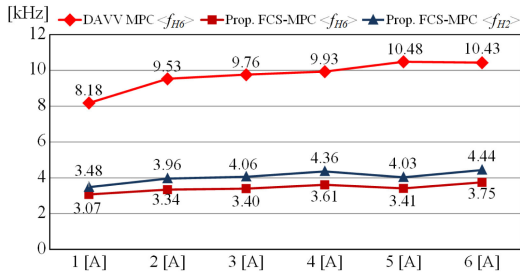


Fig. 12. Average switching frequencies in DAVV MPC and proposed methods depending on peak current.

proposed methods.  $\langle f_{H6} \rangle$  and  $\langle f_{H2} \rangle$  denote that the average switching frequencies in the H6 inverter and the series-connected switches, respectively. The calculations of  $\langle f_{H6} \rangle$  and  $\langle f_{H2} \rangle$  are presented in Appendix B. As shown in Fig. 12, although the proposed method requires two additional switches whose  $\langle f_{H2} \rangle$  is approximately 4 kHz,  $\langle f_{H6} \rangle$  related to the six switches can be significantly reduced, compared with that of the DAVV MPC method. This is because the proposed method uses only one VV during a sampling period. The difference in the average switching frequencies between the two methods may also affect the system efficiency.

### E. Loss and Efficiency

Fig. 13(a) shows a comparison of the inverter and SPMSM losses between the DAVV MPC, traditional FCS-MPC, and proposed FCS-MPC methods.  $P_{swit}$  and  $P_{cond}$  denote switching loss and conduction loss of power device in the inverter, whereas  $P_{cu}$ ,  $P_{fe}$ , and  $P_{str}$  denote copper loss, iron loss, and stray loss in the SPMSM, respectively. Mechanical and windage losses in the SPMSM were neglected.  $P_{swit}$  and  $P_{cond}$  were calculated using the thermal module where electrical characteristics

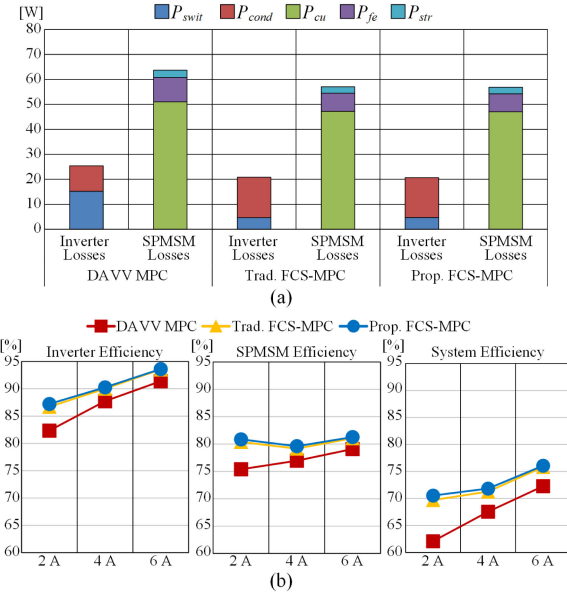


Fig. 13. Comparisons of losses and efficiencies between DAVV MPC, traditional FCS-MPC, and proposed FCS-MPC methods. (a) Inverter losses and SPMSM losses. (b) Efficiencies.

of power device (ROHM RGTH40TK65D) are entered based on manufacturer's datasheet, whereas  $P_{cu}$ ,  $P_{fe}$ , and  $P_{str}$  were calculated based on the measured current/voltage [32] and data from manufacturers. As shown in Fig. 13(a),  $P_{cu}$  is much more dominant than  $P_{fe}$  and  $P_{str}$  in the SPMSM losses at the rated current and a rotor speed of 1000 r/min. In the DAVV MPC method,  $P_{cu}$  and  $P_{fe}$  are increased due to the high frequency current ripples (skin and proximity losses [33]) and bipolar line-to-line voltage (hysteresis loss [34]), respectively. In addition, as expected,  $P_{swit}$  is significantly increased due to high  $\langle f_{H6} \rangle$  in the inverter. On the other hand,  $P_{cu}$ ,  $P_{fe}$ , and  $P_{swit}$  can be reduced in both the traditional and proposed FCS-MPC methods, whereas  $P_{cond}$  is increased due to the series-connected switches on the dc-link.

Fig. 13(b) shows comparison of the inverter, SPMSM and overall system efficiencies for varying current. The DAVV MPC method shows relatively lower efficiencies in both the inverter and SPMSM, compared with the other methods. The difference in the overall system efficiencies between the DAVV MPC and proposed FCS-MPC is increased as the current is decreased because high  $P_{fe}$  and  $P_{swit}$  persist in the DAVV MPC. Meanwhile, there are no noticeable differences in the efficiencies between the traditional and proposed FCS-MPC methods.

## V. EXPERIMENTAL RESULTS

The performance of the proposed method was experimentally verified using an SPMSM test bench, which is shown in Fig. 14. The conducted CM EMI was extracted and measured using a pair of line impedance stabilization networks (LISNs, LN2-100T: 150 kHz–30 MHz) and an EMI test receiver (FFT 3010: 9 kHz–30 MHz), respectively. The midpoint of the LISNs and the motor frame were connected to a grounded aluminum

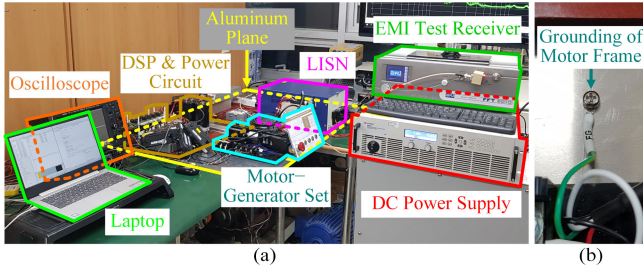


Fig. 14. Test bench for proposed method. (a) Overview. (b) Grounding of motor frame.

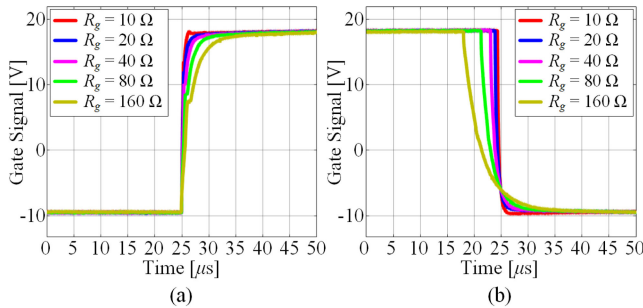


Fig. 15. Turn-ON and turn-OFF characteristics of power device depending on gate resistor. (a) Turn-ON characteristics. (b) Turn-OFF characteristics.

plane. The control algorithms were implemented using a DSP board (Texas Instrument TMS320F28335). The experimental parameters were the same as the simulation parameters given in Table V, except for  $T_s$  and  $d$ .  $T_s$  was reduced from 20 to 15 kHz considering the calculation time. Accordingly, in the proposed method,  $d$  was adjusted to 0.09. Fig. 15 shows the turn-ON/OFF characteristics of the used power device. In this experiment, the gate resistor ( $R_g$ ) was selected as 80  $\Omega$ ; however, the proposed method can be also operated with low  $R_g$ , such as 10  $\Omega$ .

#### A. CMV and Current THD

Fig. 16 shows the experimental results of the  $a$ -phase current ( $i_a$ ), three-phase output voltage ( $v_{an}$ ,  $v_{bn}$ , and  $v_{cn}$ ), and CMV at the rated current and a rotor speed of 500 r/min for the traditional and proposed FCS-MPC methods. The CMV was calculated using the three-phase output voltage with reference to (1). As shown in Fig. 16(a), the traditional FCS-MPC with NAND-gate-based logic causes many peak CMVs because the gate logic does not operate the series-connected switches during the DT. In Fig. 16(b), the OR-gate-based logic reduces the peak CMVs when entering and leaving the ZVVs; however, several peak CMVs are still generated during the transition between the two-leg-changed powering AVVs. Unlike the traditional methods, the proposed FCS-MPC can completely eliminate the peak CMVs, as shown in Fig. 16(c). In addition, the current THDs of the traditional FCS-MPC methods are similar (11.3% and 10.9%, respectively), whereas that of the proposed method is further improved to 8.9%.

Similarly, the experimental results when the rotor speed is increased to 1000 r/min are shown in Fig. 17. Compared with the traditional methods in Fig. 17(a) and (b), the proposed method not only completely eliminates the peak CMVs, but also considerably improves the current THD, as shown in Fig. 17(c).

A comparison of the current THDs depending on the current and rotor speed between the traditional and proposed FCS-MPC methods is shown in Fig. 18. Although the calculation delay is also compensated in the traditional method, the difference in the current THD between the two methods is large: the proposed method improves the current THD by up to 2.9% compared with that of the traditional method at the current of 2 A and a rotor speed of 500 r/min.

#### B. Operation of Series-Connected Switches

Detailed operation of the series-connected switches  $S_7$  and  $S_8$  in the proposed method is shown in Figs. 19 and 20, respectively. Fig. 19 shows the experimental waveforms of the gate signal of  $S_7$  ( $G_7$ ), three-phase output voltage, and CMV. As an example of the operation of  $S_7$ , Fig. 19(a) shows the waveforms at the VV transitions from  $V_2$  to  $V_7$  and from  $V_7$  to  $V_5$ :  $v_{an} = v_{bn} = V_{dc}$ ,  $v_{cn} = 0$  during  $V_2$ , and  $v_{an} = v_{bn} = 0$ ,  $v_{cn} = V_{dc}$  during  $V_5$ . In this case,  $S_7$  turns OFF at the start of the DT before  $V_7$  and turns ON at the end of the DT after  $V_7$ , thus completely reducing the peak CMV of  $V_{dc}$ . As another example of the operation of  $S_7$ , Fig. 19(b) shows the VV transition from  $V_6$  to  $V_4$  at CS6. As described in Section III-A, both  $V_6$  and  $V_4$  are the powering VVs at CS6, and the peak CMV of  $V_{dc}$  is generated during the DT between  $V_6$  and  $V_4$  if  $S_7$  does not operate. In the proposed method, as shown in Fig. 19(b),  $S_7$  turns OFF during the DT by  $G_7$ , and the peak CMV is reduced.

Similar to Fig. 19, Fig. 20 shows the experimental results of the gate signal of  $S_8$  ( $G_8$ ), three-phase voltage, and CMV to verify the operation of  $S_8$ . Fig. 20(a) shows the experimental waveforms when entering and leaving  $V_0$ . As shown in this figure,  $S_8$  turns OFF at the start of the DT before  $V_0$  and maintains the OFF-state until the end of the DT after  $V_0$ ; therefore, the peak CMV of zero can be completely reduced. In addition, when the VV is changed from  $V_1$  to  $V_3$  at CS3 in Fig. 20(b),  $S_8$  is instantly operated during the DT by  $G_8$ , and the peak CMV of zero can be reduced.

#### C. Dynamic Performance

To compare the dynamic performance of the traditional and proposed FCS-MPC methods, the experimental results of the three-phase current when the current changes in steps from 1 to 6 A and vice versa are shown in Fig. 21. As can be seen, the response times of the two methods are similar at 0.4 ms in both cases of current change from 1 to 6 A and vice versa.

#### D. Calculation Time

Table VI gives a comparison of the calculation times between the DAVV MPC, traditional FCS-MPC, and proposed FCS-MPC methods. The DAVV MPC method requires the long

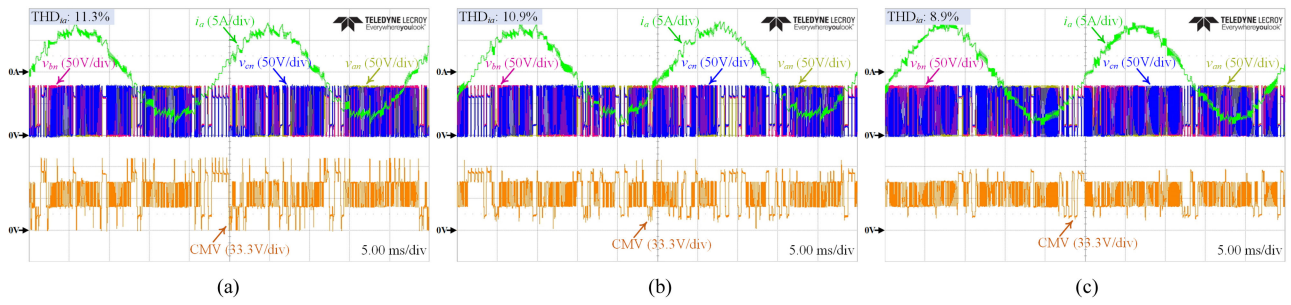


Fig. 16. Comparison of experimental results between conventional and proposed methods at rated current and rotor speed of 500 r/min. (a) Traditional FCS-MPC method with NAND-gate-based logic. (b) Traditional FCS-MPC method with OR-gate-based logic. (c) Proposed FCS-MPC method.

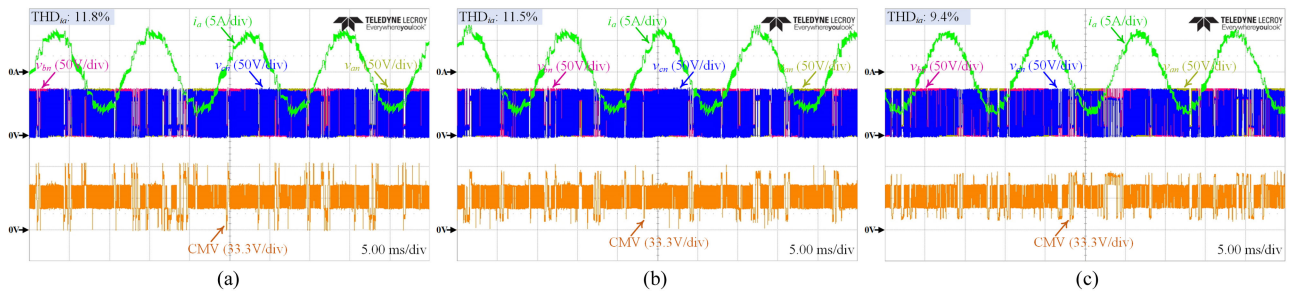


Fig. 17. Comparison of experimental results between conventional and proposed methods at rated current and rotor speed of 1000 r/min. (a) Traditional FCS-MPC method with NAND-gate-based logic. (b) Traditional FCS-MPC method with OR-gate-based logic. (c) Proposed FCS-MPC method.

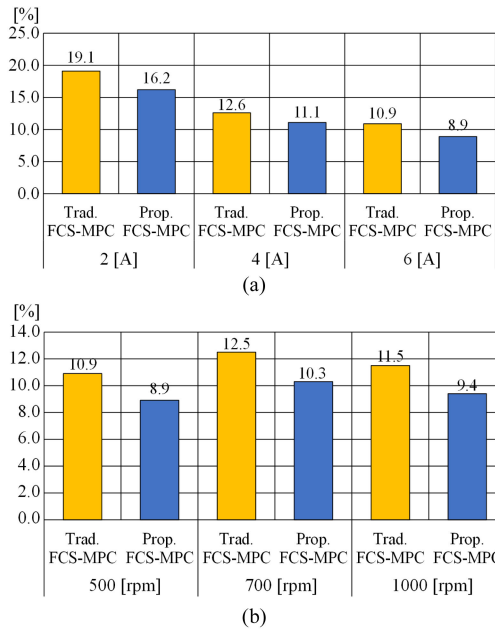


Fig. 18. Comparison of current THDs between traditional and proposed FCS-MPC methods. Calculation delay of digital controller is compensated in both methods. (a) At fixed rotor speed of 500 r/min and varying current. (b) At fixed current of 6.22 A<sub>peak</sub> and varying rotor speed.

calculation time of 47 μs due to its complicated control algorithm, whereas the traditional and proposed FCS-MPC methods require relatively shorter calculation times of 30 and 34 μs,

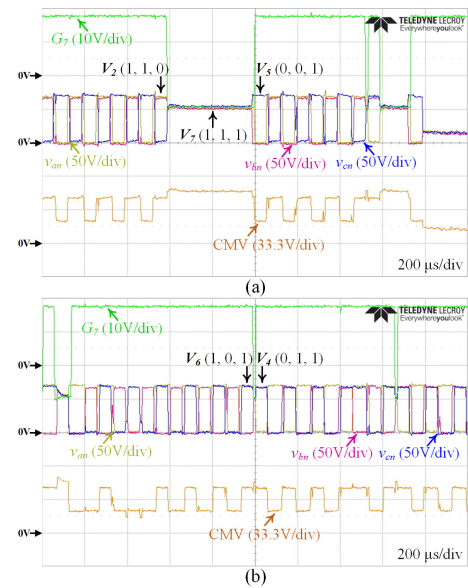


Fig. 19. Experimental results of switching operation of  $S_7$  in proposed method. (a) When entering and leaving  $V_7$ . (b) When VV transition occurs between  $V_6$  and  $V_4$  at CS6.

respectively. The calculation time of the proposed method is slightly longer than that of the traditional method due to the additional calculation of DT VV term in the current prediction model.

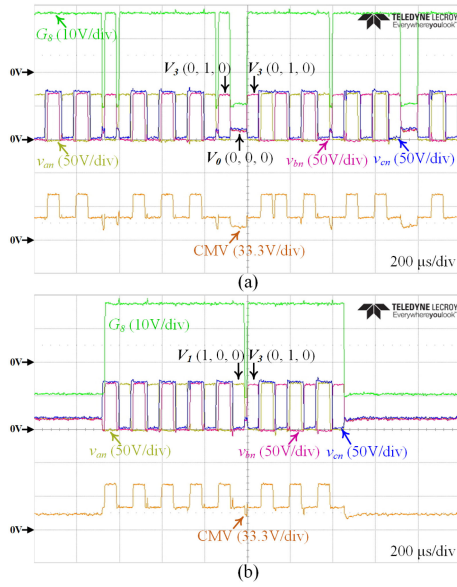


Fig. 20. Experimental results of switching operation of  $S_8$  in proposed method. (a) When entering and leaving  $V_0$ . (b) When VV transition occurs between  $V_1$  and  $V_3$  at CS3.

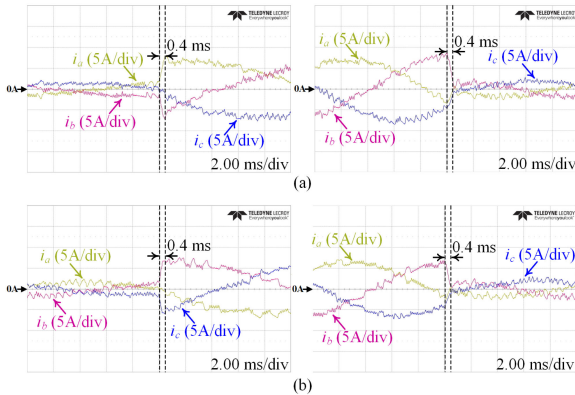


Fig. 21. Comparison of experimental results when three-phase current changes in steps from 1 to 6 A and vice versa. (a) Traditional FCS-MPC method. (b) Proposed FCS-MPC method.

TABLE VI  
COMPARISON OF CALCULATION TIMES BETWEEN DAVV MPC, TRADITIONAL FCS-MPC, AND PROPOSED FCS-MPC METHODS

	DAVV MPC	Traditional FCS-MPC	Proposed FCS-MPC
Calculation Time	47 $\mu$ s	30 $\mu$ s	34 $\mu$ s

### E. Efficiency

Table VII gives the experimentally measured efficiencies of the inverter, SPMSM, and overall system at the rated current and a rotor speed of 1000 r/min. The input and output powers of the inverter were measured using a power analyzer (Yokokawa WT3000), whereas the shaft power of the SPMSM was calculated by multiplying the load torque and rotor speed. The inverter efficiency was calculated by dividing the inverter input power

TABLE VII  
COMPARISON OF EFFICIENCIES BETWEEN DAVV MPC, TRADITIONAL FCS-MPC, AND PROPOSED FCS-MPC METHODS

	DAVV MPC	Traditional FCS-MPC	Proposed FCS-MPC
Inverter Efficiency	89.5%	92.2%	92.6%
SPMSM Efficiency	78.4%	79.5%	79.6%
System Efficiency	70.2%	73.3%	73.7%

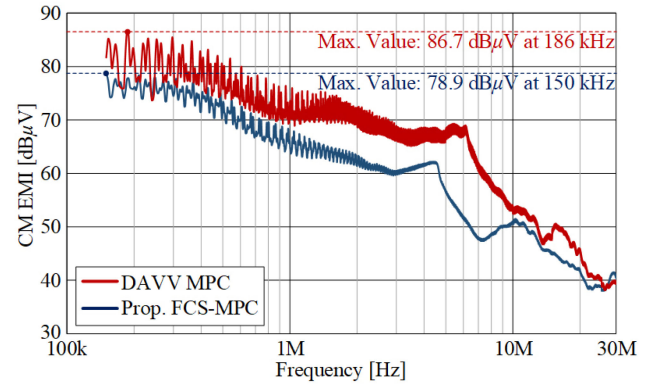


Fig. 22. Comparison of conducted CM EMI between DAVV MPC and proposed FCS-MPC methods.

into its output power, and the SPMSM efficiency was calculated by dividing the inverter output power into the SPMSM shaft power. Multiplying the inverter efficiency by the SPMSM efficiency, the system efficiency was obtained. The efficiency results in Table VII coincide with these in the simulation results. That is, the inverter and SPMSM efficiencies of the DAVV MPC method are lower than these of the traditional and proposed FCS-MPC methods. On the other hand, there are no noticeable efficiency differences between the traditional and proposed FCS-MPC methods.

### F. Conducted CM EMI

A comparison of the conducted CM EMI between the DAVV MPC and proposed FCS-MPC methods is shown in Fig. 22. As can be seen, the DAVV MPC method significantly increases the conducted CM EMI because of a large number of CMV variations. The result indicates that the conventional DAVV-based MPC methods may be unsuitable solutions for suppressing the CM EMI in PMSM drive systems. On the other hand, the proposed method can suppress the CM EMI in a wide frequency range. The proposed method can approximately achieve 5 dB $\mu$ V of attenuation in the range of 150 kHz–1 MHz and 9 dB $\mu$ V of attenuation in the range of 1–10 MHz.

## VI. CONCLUSION

This article proposed an FCS-MPC method for an H8 inverter considering the DT effect to reduce the CM EMI and current THD in PMSM drive systems. First, the CMV-generated pattern

TABLE VIII  
 VV GENERATED DURING DT<sup>1)</sup>

$V_{\alpha\beta}[k]$	$V_{\alpha\beta}[k+1]$	$V_{\alpha\beta d}[k]$					
		CS1	CS2	CS3	CS4	CS5	CS6
$V_0$	$V_1$	$V_0$	$V_0$	$V_0$	$V_1$	$V_1$	$V_1$
	$V_2$	$V_3$	$V_3$	$V_0$	$V_1$	$V_1$	$V_2$
	$V_3$	$V_3$	$V_3$	$V_0$	$V_0$	$V_0$	$V_3$
	$V_4$	$V_3$	$V_4$	$V_5$	$V_5$	$V_0$	$V_3$
	$V_5$	$V_0$	$V_5$	$V_5$	$V_5$	$V_0$	$V_0$
	$V_6$	$V_0$	$V_5$	$V_5$	$V_6$	$V_1$	$V_1$
$V_1$	$V_2$	$V_2$	$V_2$	$V_1$	$V_1$	$V_1$	$V_2$
	$V_3$	$V_3$	$V_3$	$V_0$	$V_1$	$V_1$	$V_2$
	$V_4$	$V_3$	$V_4$	$V_5$	$V_6$	$V_1$	$V_2$
	$V_5$	$V_0$	$V_5$	$V_5$	$V_6$	$V_1$	$V_1$
	$V_6$	$V_1$	$V_6$	$V_6$	$V_6$	$V_1$	$V_1$
$V_2$	$V_3$	$V_3$	$V_3$	$V_3$	$V_2$	$V_2$	$V_2$
	$V_4$	$V_3$	$V_4$	$V_4$	$V_7$	$V_2$	$V_2$
	$V_5$	$V_3$	$V_4$	$V_5$	$V_6$	$V_1$	$V_2$
	$V_6$	$V_2$	$V_7$	$V_6$	$V_6$	$V_1$	$V_2$
$V_3$	$V_4$	$V_3$	$V_4$	$V_4$	$V_4$	$V_3$	$V_3$
	$V_5$	$V_3$	$V_4$	$V_5$	$V_5$	$V_0$	$V_3$
	$V_6$	$V_3$	$V_4$	$V_5$	$V_6$	$V_1$	$V_2$
$V_4$	$V_5$	$V_4$	$V_4$	$V_5$	$V_5$	$V_5$	$V_4$
	$V_6$	$V_4$	$V_4$	$V_5$	$V_6$	$V_6$	$V_7$
$V_5$	$V_6$	$V_5$	$V_5$	$V_5$	$V_6$	$V_6$	$V_6$
$V_7$	$V_1$	$V_2$	$V_7$	$V_6$	$V_6$	$V_1$	$V_2$
	$V_2$	$V_2$	$V_7$	$V_7$	$V_7$	$V_2$	$V_2$
	$V_3$	$V_3$	$V_4$	$V_4$	$V_7$	$V_2$	$V_2$
	$V_4$	$V_4$	$V_4$	$V_4$	$V_7$	$V_7$	$V_7$
	$V_5$	$V_4$	$V_4$	$V_5$	$V_6$	$V_6$	$V_7$
	$V_6$	$V_7$	$V_7$	$V_6$	$V_6$	$V_6$	$V_7$

<sup>1)</sup> Following cases are omitted: self-VV transitions, such as  $V_{\alpha\beta\_opt}[k] = V_{\alpha\beta\_opt}[k+1]$ , because there is no change in switching state, and same  $V_{\alpha\beta d}[k]$  occurs; and opposite VV transitions, such as  $V_{\alpha\beta\_opt}[k] = V_2$  and  $V_{\alpha\beta\_opt}[k+1] = V_1$ , because  $V_{\alpha\beta d}[k]$  is same in case of  $V_{\alpha\beta\_opt}[k] = V_1$  and  $V_{\alpha\beta\_opt}[k+1] = V_2$ .

during the DT between two AVVs was investigated. Based on the analysis, the proposed method instantly operated the H8 inverter to reduce the peak CMVs even at the DT. Furthermore, the nonlinearity of the inverter output voltage due to the DT was compensated considering the calculation delay. As a result, the proposed method not only allowed all VV transitions without the peak CMVs but also significantly improved the current THD over the entire operating condition. The proposed method would be an appropriate solution for suppressing both the CM EMI and current THD in PMSM drive systems along with a simple control concept and a rapid dynamic response.

#### APPENDIX A

The DT VV  $V_{\alpha\beta d}[k]$  depending on the previous VV, CS, and next VV is given in Table VIII.

#### APPENDIX B

The equivalent switching frequency  $f_{eq\_si}$  during a fundamental period  $T_0$  of the output current is calculated as

$$f_{eq\_si} = N_{si}/2T_0 \quad (13)$$

where  $N_{si}$  is the number of switching transitions in the switch  $S_i$  during  $T_0$ . Then, the average switching frequencies in the H6 inverter and the series-connected switches,  $\langle f_{H6} \rangle$  and  $\langle f_{H2} \rangle$  respectively, can be calculated as

$$\langle f_{H6} \rangle = \sum_{n=1}^m \left\{ \sum_{i=1}^6 f_{eq\_si,n} \right\} / 6m \quad (14)$$

$$\langle f_{H2} \rangle = \sum_{n=1}^m \left\{ \sum_{i=7}^8 f_{eq\_si,n} \right\} / 2m \quad (15)$$

where  $f_{eq\_si,n}$  is  $f_{eq\_si}$  at the  $[n]$ th the fundamental period, and  $m$  is the total number of the fundamental periods. In this article,  $m$  is set as 5.

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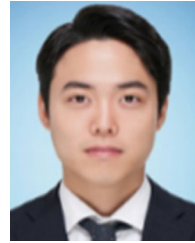
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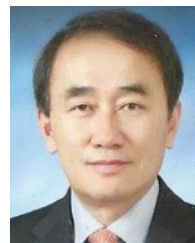
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