

Low Complexity Finite-Control-Set MPC Based on Discrete Space Vector Modulation for T-Type Three-Phase Three-Level Converters

Yong Yang ¹, Senior Member, IEEE, Huiqing Wen ², Senior Member, IEEE, Mingdi Fan ³, Senior Member, IEEE, Xinan Zhang ⁴, Member, IEEE, Liqun He ⁵, Member, IEEE, Rong Chen, Menxi Xie, Margarita Norambuena ⁶, Senior Member, IEEE, and Jose Rodriguez ⁷, Life Fellow, IEEE

Abstract—In this article, a low complexity finite-control-set model predictive control (FCS-MPC) based on the discrete space vector modulation (DSVM) is proposed for T-type three-phase three-level (3P-3L) converters. Different from the conventional FCS-MPC, 48 virtual voltage vectors (VVs) of the converter are constructed by real VVs based on the DSVM. Thus, the performance of 3P-3L converters is significantly improved and the peak amplitude of high-order harmonics concentrates at the sampling frequency. Furthermore, two-stage FCS-MPC based on virtual VVs is proposed to reduce the computation burden. Its first stage selects one of six virtual VVs that minimizes the current tracking error. Then, these candidate VVs located in the same sector as the optimal virtual VV selected in the first stage are evaluated in the second-stage optimization. Thus, the computational efficiency has been greatly improved. To verify the validity of the proposed control method and show its superiority over the conventional FCS-MPC, experimental results are presented.

Index Terms—Discrete space vector modulation (DSVM), model predictive control, multilevel converters, virtual voltage vector (VV).

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Yong Yang, Mingdi Fan, Liqun He, Rong Chen, and Menxi Xie are with the School of Rail Transportation, Soochow University, Suzhou 215131, China (e-mail: yangy1981@suda.edu.cn; mdfan@suda.edu.cn; lqhe@suda.edu.cn; chrong@suda.edu.cn; xiemenxi@suda.edu.cn).

Huiqing Wen is with the Electrical and Electronics Department, Xi'an Jiaotong-Liverpool University, Suzhou 215123, China (e-mail: huiqing.wen@xjtlu.edu.cn).

Xinan Zhang is with the Department of Electrical, Electronic and Computer Engineering, University of Western Australia, Perth, WA 6009, Australia (e-mail: xinan.zhang@uwa.edu.au).

Margarita Norambuena is with the Faculty of Engineering, Universidad Tecnica Federico Santa Maria, Valparaíso 2390123, Chile (e-mail: margarita.norambuena@usm.cl).

Jose Rodriguez is with the Faculty of Engineering, Universidad Andres Bello, Santiago 8370146, Chile (e-mail: jose.rodriguez@unab.cl).

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I. INTRODUCTION

THREE-PHASE three-level (3P-3L) converters have many advantages compared to three-phase two-level (3P-2L) converters, such as lower output voltage/current harmonics, alleviated power device voltage stress, decreased electromagnetic interference, and higher efficiency. Therefore, the 3P-3L converter has been widely used for industry applications such as renewable generation systems and motor drives [1]–[5]. Compared with the conventional diode neutral point clamped (NPC) 3P-3L converter, the T-type 3P-3L converter has fewer power electronic devices, lower cost, and better efficiency with the switching frequency ranging from 4 to 30 kHz [6]. Thus, the T-type 3P-3L converter is studied in this article.

One of the key challenges for 3P-3L converter regulation is the control design. The commonly employed controllers include proportional-integral (PI) control, proportional-resonant (PR) control, and deadbeat control [7]–[10]. However, the PI controller suffers from the difficulty of balancing steady-state and transient performance, and the PR controller has degraded performance when the frequency of output current changes. As for the deadbeat control, it is heavily dependent on system parameters. Poor control performance can be experienced when system parameter varies.

Finite-control-set model predictive control (FCS-MPC) is a widely used real-time optimal control approach that is capable of handling multiple control objectives and constraints [11]–[16]. Therefore, it is highly recommended for power electronic applications. The conventional FCS-MPC iteratively evaluates all the possible voltage vectors (VVs) in the cost function to determine the optimal VV. This mechanism works well for 3P-2L converter. Nevertheless, for three-phase multilevel converters, it will result in very high computational burden due to the availability of too many VVs.

In recent years, a considerable amount of research works have been carried out to enhance the computational efficiency of FCS-MPC for multilevel converters [17], [18]. For instance, in [17], a hexagon candidate region FCS-MPC and a triangle candidate region FCS-MPC have been proposed to reduce the number of candidate VVs. Similarly, by following the same logic, only some of the VVs are selected for evaluation in FCS-MPC for induction motor drives based on the angular

position and amplitude of stator flux linkage [18]. However, these two efficient FCS-MPC approaches require the fine tuning of weighting factor associated with the NP voltage balancing in the cost function, which complicates the control design because there are no theoretical guidelines on the tuning of weighting factors in FCS-MPC.

In order to eliminate the weight factors and simultaneously improve the computational efficiency, some enhanced FCS-MPC algorithms for 3P-3L converters are proposed [19]–[21]. In [19], a novel FCS-MPC based on “S” factor is proposed. It effectively controls the output current with balanced NP voltage and eliminated weight factors. In [20], the number of candidate VVs to be evaluated in FCS-MPC is effectively reduced by only selecting the VVs adjacent to the reference VV. Then, its NP voltage is balanced by selecting proper redundant small VVs, which eliminates weighting factors. For the 3P-3L converter fed induction motor drives, a computationally efficient FCS-MPC without weighing factors is presented in [21]. However, all the aforementioned FCS-MPC algorithms for 3P-3L converters apply only one VV in one entire control cycle, which leads to high current ripples. In addition, it also generates variable switching frequency, increasing the difficulty of filter design.

To enhance the overall converter performance with fixed switching frequency, various MPC algorithms have been presented. In [22], for 3P-2L converters, a duty cycle MPC is proposed to minimize the current tracking error. It applies multiple VVs in each control cycle with fixed switching frequency. Similarly, model predictive power control with multiple VV action has been proposed for 3P-2L converters in [23] and four-switch rectifiers in [24], which achieves good steady-state and dynamic performance. Recently, modulated MPC that makes use of the numerical values of cost functions has been proposed for power converters [25]–[27]. However, these MPC approaches are computationally complicated, especially when applied to multilevel converters.

To improve the converter performance while reducing the computational burden, FCS-MPC methods based on discrete space vector modulation (DSVM) are investigated [28]–[34]. The essence of these FCS-MPC approaches is to integrate FCS-MPC with DSVM technique. Unfortunately, these FCS-MPC approaches cannot be directly applied to multilevel converters such as three-level converters. In fact, to obtain superior performance, virtual VVs need to be employed in the FCS-MPC for three-level converters. In [35], an improved FCS-MPC based on DSVM for 3P-3L converter has been presented, which achieves the reduction in the output current ripples. However, the balancing of NP voltage is not taken into consideration. Similarly, an enhanced FCS-MPC based on DSVM is proposed in [36], which simultaneously achieves a lower output current total harmonic distortion (THD) and a balanced NP voltage. However, it only explores six virtual VVs, producing limited overall performance improvement compared to the conventional FCS-MPC. To simultaneously achieve fixed switching frequency performance and reducing computational burden for multilevel converters, two-stage optimization MPC algorithms for the three-level converter-fed PMSM in [37] and the

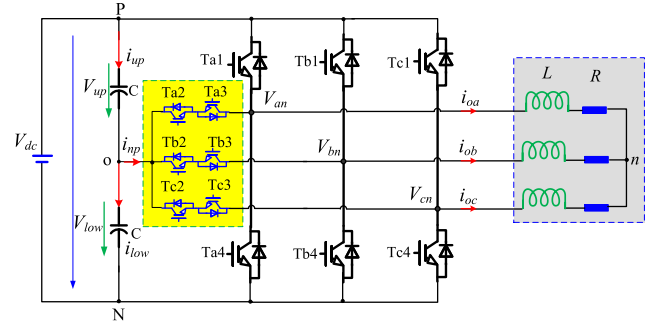


Fig. 1. Topology of the T-type three-phase three-level converter.

5L-ANPC converter-fed PMSM in [38] have been proposed, which achieve good steady-state and dynamic performance.

To address the drawbacks of the aforementioned MPC algorithms, a low complexity FCS-MPC based on DSVM is proposed in the article. The main novelty and contributions of the article are listed as follows.

- 1) To further improve the performance of 3P-3L converters, 48 virtual VVs of the converter are constructed by real VVs based on the DSVM. Thus, the performance of 3P-3L converters can be significantly improved and the peak amplitude of high-order harmonics will concentrate at the sampling frequency. Therefore, the proposed FCS-MPC is desirable from the perspective of LC filter design for dc/ac converters.
- 2) Two-stage FCS-MPC based on virtual VVs is proposed to reduce the computation burden. Its first stage selects one of six virtual VVs that minimizes the current tracking error. Then, these candidate VVs located in the same sector as the optimal virtual VV selected in the first stage are evaluated in the second-stage optimization. Then, one of the VVs with the minimum cost function value is selected as the best VV.
- 3) Balancing dc-link upper and lower capacitor voltages is achieved by utilizing small VVs and virtual VVs.
- 4) Comprehensive experimental results are presented to show the superior performance of the proposed FCS-MPC algorithm over the conventional FCS-MPC for T-type 3P-3L converter.

II. SYSTEM MODELING AND CONVENTIONAL FCS-MPC

The topology of T-type 3P-3L converter is depicted in Fig. 1. V_{dc} represents the input voltage of the converter, V_{up} and V_{low} represent the dc-link upper and lower capacitor voltages, respectively, i_{up} and i_{low} denote capacitor currents across the upper and lower capacitors, respectively, i_{np} is the neutral point (NP) current, L means the load inductance, and R is the load resistance.

As shown in Fig. 1, the dynamic output currents of the converter in the stationary abc reference frame are obtained as

$$\begin{cases} V_{an} = V_{ao} + V_{on} = Ldi_{oa}/dt + Ri_{oa} \\ V_{bn} = V_{bo} + V_{on} = Ldi_{ob}/dt + Ri_{ob} \\ V_{cn} = V_{co} + V_{on} = Ldi_{oc}/dt + Ri_{oc} \end{cases} \quad (1)$$

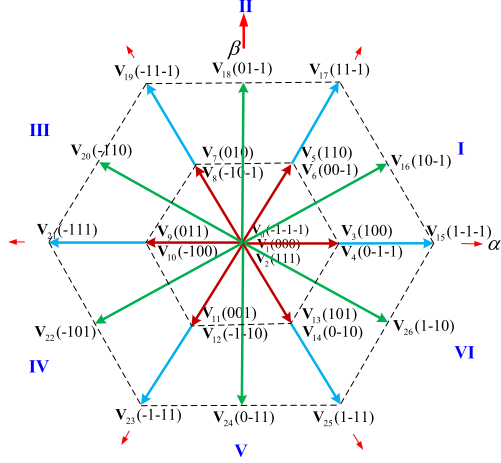


Fig. 2. Twenty-seven voltage vectors of 3P-3L converters.

where $V_{xn}(x = a, b, c)$ indicates the phase- x output voltage, $i_{ox}(x = a, b, c)$ means the phase- x output current.

By transforming (1) from the stationary abc reference frame to the stationary $\alpha\beta$ reference frame, we have

$$\begin{cases} V_\alpha = L di_{o\alpha}/dt + Ri_{o\alpha} \\ V_\beta = L di_{o\beta}/dt + Ri_{o\beta} \end{cases} \quad (2)$$

where V_α and V_β stand for the α -axis and the β -axis components of the converter output voltage, respectively. $i_{o\alpha}$ and $i_{o\beta}$ represent the α -axis and the β -axis components of the converter output current, respectively.

Suppose the NP voltage is well controlled at half of the dc-link voltage. Each leg generates three different output voltages that are denoted as $V_{dc}/2$, 0, and $-V_{dc}/2$ with respect to the NP. Thus, the converter generates 27 switching states, which are displayed in Fig. 2. In Fig. 2, “1,” “0,” and “-1” represents the converter output voltage $V_{dc}/2$, 0, and $-V_{dc}/2$, respectively.

Define the switching function T_i as

$$T_i = \begin{cases} 1 & T_{i1} \text{ and } T_{i2} \text{ on, } T_{i3} \text{ and } T_{i4} \text{ off} \\ 0 & T_{i2} \text{ and } T_{i3} \text{ on, } T_{i1} \text{ and } T_{i4} \text{ off} \\ -1 & T_{i3} \text{ and } T_{i4} \text{ on, } T_{i1} \text{ and } T_{i2} \text{ off} \end{cases} \quad (i = a, b, c). \quad (3)$$

Then, the converter output voltages are expressed as

$$\begin{cases} V_\alpha = V_{dc}(2T_a - T_b - T_c)/3 \\ V_\beta = \sqrt{3}V_{dc}(T_b - T_c)/3 \end{cases} \quad (4)$$

By using forward Euler approximation, the predicted output currents at the $(k+1)$ th sampling instant are derived as

$$\begin{cases} i_{o\alpha}(k+1) = i_{o\alpha}(k) + \frac{T_s}{L}(V_\alpha(k) - Ri_{o\alpha}(k)) \\ i_{o\beta}(k+1) = i_{o\beta}(k) + \frac{T_s}{L}(V_\beta(k) - Ri_{o\beta}(k)) \end{cases} \quad (5)$$

where T_s is the sampling period.

As depicted in Fig. 1, the currents flowing through dc-link capacitors are obtained as

$$\begin{cases} i_{up} = C \frac{dV_{up}}{dt} \\ i_{low} = C \frac{dV_{low}}{dt} \end{cases} \quad (6)$$

By using forward Euler approximation, the dc-link upper and lower capacitor voltages are predicted as

$$\begin{cases} V_{up}(k+1) = V_{up}(k) + \frac{T_s}{C} i_{up}(k) \\ V_{low}(k+1) = V_{low}(k) + \frac{T_s}{C} i_{low}(k) \end{cases} \quad (7)$$

Subsequently, the currents flowing through the upper and lower capacitors can be expressed as

$$\begin{cases} i_{up} = i_{dc} - (T_a + T_a^2)/2 - (T_b + T_b^2)/2 - (T_c + T_c^2)/2 \\ i_{low} = i_{dc} + (T_a + T_a^2)/2 + (T_b + T_b^2)/2 + (T_c + T_c^2)/2 \end{cases} \quad (8)$$

where i_{dc} is the converter dc-link input current.

Substituting (8) into (7), the predicted dc-link upper and lower capacitor voltages are derived as

$$\begin{cases} V_{up}(k+1) = V_{up}(k) + \frac{T_s}{C} (i_{dc} - \sum_{x=a,b,c} (T_x + T_x^2)/2) \\ V_{low}(k+1) = V_{low}(k) + \frac{T_s}{C} (i_{dc} + \sum_{x=a,b,c} (T_x + T_x^2)/2) \end{cases} \quad (9)$$

According to (9), the predicted voltage difference $\Delta V_{dc}(k+1)$ are written as

$$\begin{aligned} \Delta V_{dc}(k+1) &= V_{up}(k+1) - V_{low}(k+1) \\ &= V_{up}(k) - V_{low}(k) - \frac{T_s}{4C} \sum_{x=a,b,c} (T_x + T_x^2). \end{aligned} \quad (10)$$

To track current references and simultaneously balance dc-link capacitor voltages, the cost function of the conventional FCS-MPC method is defined as

$$\begin{cases} G(j) = |i_{o\alpha}^*(k+1) - i_{o\alpha}(k+1)| + |i_{o\beta}^*(k+1) - i_{o\beta}(k+1)| \\ \quad + \lambda_{np} |\Delta V_{dc}(k+1)| \\ V_{opt} = \arg \min_{j=0,1,\dots,26} (G(j)) \end{cases} \quad (11)$$

where λ_{np} is the weighing factor. $i_{o\alpha}^*(k+1)$ and $i_{o\beta}^*(k+1)$ represent the converter output current references at the $(k+1)$ th instant, and they are calculated by the third-order Lagrange extrapolation as

$$\begin{cases} i_{o\alpha}^*(k+1) = 4i_{o\alpha}^*(k) - 6i_{o\alpha}^*(k-1) + 4i_{o\alpha}^*(k-2) \\ \quad - i_{o\alpha}^*(k-3) \\ i_{o\beta}^*(k+1) = 4i_{o\beta}^*(k) - 6i_{o\beta}^*(k-1) + 4i_{o\beta}^*(k-2) \\ \quad - i_{o\beta}^*(k-3) \end{cases} \quad (12)$$

The cost function (11) is used to determine the optimal VV.

III. FCS-MPC BASED ON DSVM

A. FCS-MPC Without Weighting Factors

For the conventional FCS-MPC, there is only one VV applied in the entire sampling period, which results in high current harmonics and variable switching frequency. To greatly diminish the current harmonics while maintaining a constant switching frequency, DSVM is adopted. If the sampling period is equally divided into N intervals, the virtual VV \mathbf{V}_{vir} can be synthesized by the real VVs \mathbf{V}_i^{real} and is expressed as

$$\mathbf{V}_{vir} = \sum_{i=1}^N t_i \mathbf{V}_i^{real} \quad (13)$$

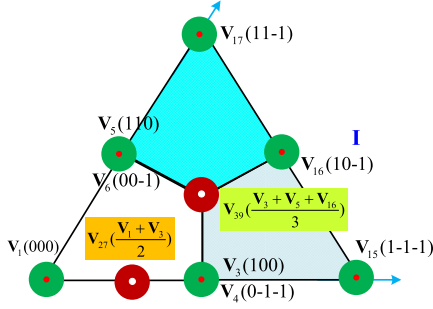

 Fig. 3. Virtual voltage vectors \mathbf{V}_{27} and \mathbf{V}_{39} .

 TABLE I
 VIRTUAL VVs OF 3P-3L CONVERTERS

Virtual VVs	Synthesized by real VVs	Virtual VVs	Synthesized by real VVs
\mathbf{V}_{27}	$(\mathbf{V}_1 + \mathbf{V}_3)/2$	\mathbf{V}_{28}	$(\mathbf{V}_1 + \mathbf{V}_4)/2$
\mathbf{V}_{29}	$(\mathbf{V}_1 + \mathbf{V}_5)/2$	\mathbf{V}_{30}	$(\mathbf{V}_1 + \mathbf{V}_6)/2$
\mathbf{V}_{31}	$(\mathbf{V}_1 + \mathbf{V}_7)/2$	\mathbf{V}_{32}	$(\mathbf{V}_1 + \mathbf{V}_8)/2$
\mathbf{V}_{33}	$(\mathbf{V}_1 + \mathbf{V}_9)/2$	\mathbf{V}_{34}	$(\mathbf{V}_1 + \mathbf{V}_{10})/2$
\mathbf{V}_{35}	$(\mathbf{V}_1 + \mathbf{V}_{11})/2$	\mathbf{V}_{36}	$(\mathbf{V}_1 + \mathbf{V}_{12})/2$
\mathbf{V}_{37}	$(\mathbf{V}_1 + \mathbf{V}_{13})/2$	\mathbf{V}_{38}	$(\mathbf{V}_1 + \mathbf{V}_{14})/2$
\mathbf{V}_{39}	$(\mathbf{V}_3 + \mathbf{V}_5 + \mathbf{V}_{16})/3$	\mathbf{V}_{40}	$(\mathbf{V}_4 + \mathbf{V}_6 + \mathbf{V}_{16})/3$
\mathbf{V}_{41}	$(\mathbf{V}_5 + \mathbf{V}_7 + \mathbf{V}_{18})/3$	\mathbf{V}_{42}	$(\mathbf{V}_6 + \mathbf{V}_8 + \mathbf{V}_{18})/3$
\mathbf{V}_{43}	$(\mathbf{V}_7 + \mathbf{V}_9 + \mathbf{V}_{20})/3$	\mathbf{V}_{44}	$(\mathbf{V}_8 + \mathbf{V}_{10} + \mathbf{V}_{20})/3$
\mathbf{V}_{45}	$(\mathbf{V}_9 + \mathbf{V}_{11} + \mathbf{V}_{22})/3$	\mathbf{V}_{46}	$(\mathbf{V}_{10} + \mathbf{V}_{12} + \mathbf{V}_{22})/3$
\mathbf{V}_{47}	$(\mathbf{V}_{11} + \mathbf{V}_{13} + \mathbf{V}_{24})/3$	\mathbf{V}_{48}	$(\mathbf{V}_{11} + \mathbf{V}_{13} + \mathbf{V}_{24})/3$
\mathbf{V}_{49}	$(\mathbf{V}_3 + \mathbf{V}_{13} + \mathbf{V}_{26})/3$	\mathbf{V}_{50}	$(\mathbf{V}_4 + \mathbf{V}_{14} + \mathbf{V}_{26})/3$
\mathbf{V}_{51}	$(\mathbf{V}_3 + \mathbf{V}_{15})/2$	\mathbf{V}_{52}	$(\mathbf{V}_4 + \mathbf{V}_{15})/2$
\mathbf{V}_{53}	$(\mathbf{V}_5 + \mathbf{V}_{17})/2$	\mathbf{V}_{54}	$(\mathbf{V}_6 + \mathbf{V}_{17})/2$
\mathbf{V}_{55}	$(\mathbf{V}_7 + \mathbf{V}_{19})/2$	\mathbf{V}_{56}	$(\mathbf{V}_9 + \mathbf{V}_{19})/2$
\mathbf{V}_{57}	$(\mathbf{V}_9 + \mathbf{V}_{21})/2$	\mathbf{V}_{58}	$(\mathbf{V}_{10} + \mathbf{V}_{21})/2$
\mathbf{V}_{59}	$(\mathbf{V}_{11} + \mathbf{V}_{23})/2$	\mathbf{V}_{60}	$(\mathbf{V}_{12} + \mathbf{V}_{23})/2$
\mathbf{V}_{61}	$(\mathbf{V}_{13} + \mathbf{V}_{25})/2$	\mathbf{V}_{62}	$(\mathbf{V}_{14} + \mathbf{V}_{25})/2$
\mathbf{V}_{63}	$(\mathbf{V}_{15} + \mathbf{V}_{16})/2$	\mathbf{V}_{64}	$(\mathbf{V}_{16} + \mathbf{V}_{17})/2$
\mathbf{V}_{65}	$(\mathbf{V}_{17} + \mathbf{V}_{18})/2$	\mathbf{V}_{66}	$(\mathbf{V}_{18} + \mathbf{V}_{19})/2$
\mathbf{V}_{67}	$(\mathbf{V}_{19} + \mathbf{V}_{20})/2$	\mathbf{V}_{68}	$(\mathbf{V}_{20} + \mathbf{V}_{21})/2$
\mathbf{V}_{69}	$(\mathbf{V}_{21} + \mathbf{V}_{22})/2$	\mathbf{V}_{70}	$(\mathbf{V}_{22} + \mathbf{V}_{23})/2$
\mathbf{V}_{71}	$(\mathbf{V}_{23} + \mathbf{V}_{24})/2$	\mathbf{V}_{72}	$(\mathbf{V}_{24} + \mathbf{V}_{25})/2$
\mathbf{V}_{73}	$(\mathbf{V}_{25} + \mathbf{V}_{26})/2$	\mathbf{V}_{74}	$(\mathbf{V}_{26} + \mathbf{V}_{15})/2$

$$t_1 + t_2 + \dots + t_N = T_S \quad (14)$$

$$\mathbf{V}_i^{\text{real}} \in \{\mathbf{V}_0, \mathbf{V}_1, \dots, \mathbf{V}_{26}\}. \quad (15)$$

For example, when N is set to 2 or 3, the virtual VVs \mathbf{V}_{27} and \mathbf{V}_{39} can be obtained as

$$\begin{cases} \mathbf{V}_{27} = (\mathbf{V}_1 + \mathbf{V}_3)/2 \\ \mathbf{V}_{39} = (\mathbf{V}_3 + \mathbf{V}_5 + \mathbf{V}_{16})/3. \end{cases} \quad (16)$$

Thus, the virtual VVs \mathbf{V}_{27} and \mathbf{V}_{39} are shown in Fig. 3.

Similarly, other virtual VVs can be obtained. All the virtual VVs are listed in Table I, and all the virtual VVs and the real VVs of the 3P-3L converter are depicted in Fig. 4.

As listed in Table I, two or three real VVs are used to synthesize one virtual VV. Duty ratios of different power switches are shown in Fig. 5 when the virtual VVs \mathbf{V}_{27} and \mathbf{V}_{39} are applied to

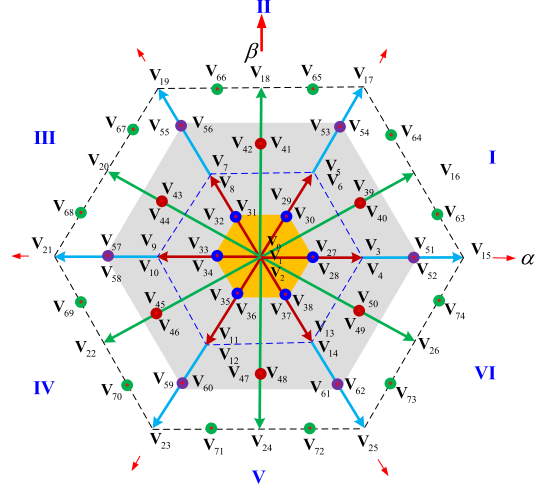
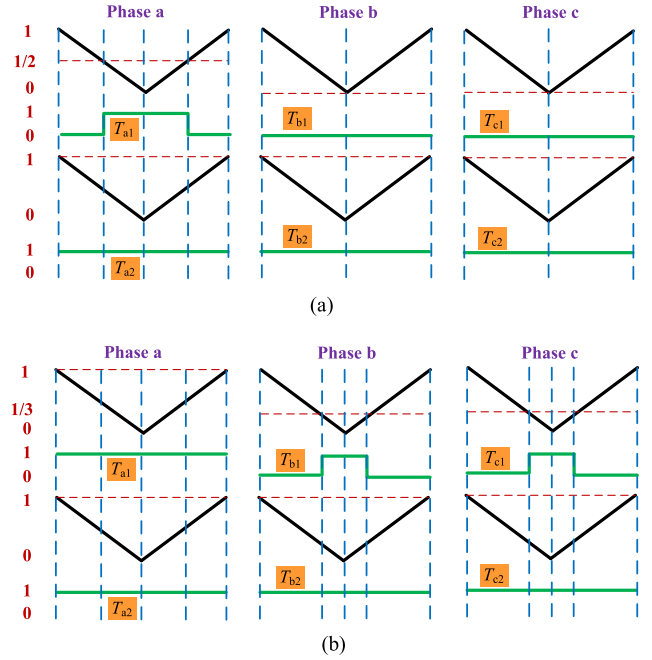


Fig. 4. Real voltage vectors and virtual VVs.


 Fig. 5. Duty ratios of virtual VVs \mathbf{V}_{27} and \mathbf{V}_{39} . (a) \mathbf{V}_{27} . (b) \mathbf{V}_{39} .

the 3P-3L converter. Similarly, duty ratios of other virtual VVs can be obtained.

As displayed in Fig. 5, multiple VVs are applied per control cycle, which leads to fixed switching frequency and lower current harmonics compared to the conventional FCS-MPC.

Based on the expressions in (4), (5), (10), (11), and (12), it is noted that 27 iterative calculations and 75 iterative calculations are required for the conventional FCS-MPC and the FCS-MPC based on DSVM, respectively. Hence, it is time consuming to implement these FCS-MPC algorithms using digital signal processors (DSP). Furthermore, as shown in (11), there is a weighting factor, which is tedious for the tuning of this weighting factor. In order to avoid the tuning process, the cost function

TABLE II
CANDIDATE REAL AND VIRTUAL VVS

Optimal virtual VV	Candidate real and virtual VVs
\mathbf{V}_{39}	$\mathbf{V}_1, \mathbf{V}_3, \mathbf{V}_4, \mathbf{V}_5, \mathbf{V}_6, \mathbf{V}_{15}, \mathbf{V}_{16}, \mathbf{V}_{17}, \mathbf{V}_{27}, \mathbf{V}_{28}, \mathbf{V}_{29}, \mathbf{V}_{30}, \mathbf{V}_{39}, \mathbf{V}_{40}, \mathbf{V}_{51}, \mathbf{V}_{52}, \mathbf{V}_{53}, \mathbf{V}_{54}, \mathbf{V}_{63}, \mathbf{V}_{64}$
\mathbf{V}_{41}	$\mathbf{V}_1, \mathbf{V}_5, \mathbf{V}_6, \mathbf{V}_7, \mathbf{V}_8, \mathbf{V}_{17}, \mathbf{V}_{18}, \mathbf{V}_{19}, \mathbf{V}_{29}, \mathbf{V}_{30}, \mathbf{V}_{31}, \mathbf{V}_{32}, \mathbf{V}_{41}, \mathbf{V}_{42}, \mathbf{V}_{53}, \mathbf{V}_{54}, \mathbf{V}_{55}, \mathbf{V}_{56}, \mathbf{V}_{65}, \mathbf{V}_{66}$
\mathbf{V}_{43}	$\mathbf{V}_1, \mathbf{V}_7, \mathbf{V}_8, \mathbf{V}_9, \mathbf{V}_{10}, \mathbf{V}_{19}, \mathbf{V}_{20}, \mathbf{V}_{21}, \mathbf{V}_{31}, \mathbf{V}_{32}, \mathbf{V}_{33}, \mathbf{V}_{34}, \mathbf{V}_{43}, \mathbf{V}_{44}, \mathbf{V}_{55}, \mathbf{V}_{56}, \mathbf{V}_{57}, \mathbf{V}_{58}, \mathbf{V}_{67}, \mathbf{V}_{68}$
\mathbf{V}_{45}	$\mathbf{V}_1, \mathbf{V}_9, \mathbf{V}_{10}, \mathbf{V}_{11}, \mathbf{V}_{12}, \mathbf{V}_{21}, \mathbf{V}_{22}, \mathbf{V}_{23}, \mathbf{V}_{33}, \mathbf{V}_{34}, \mathbf{V}_{35}, \mathbf{V}_{36}, \mathbf{V}_{45}, \mathbf{V}_{46}, \mathbf{V}_{57}, \mathbf{V}_{58}, \mathbf{V}_{59}, \mathbf{V}_{60}, \mathbf{V}_{69}, \mathbf{V}_{70}$
\mathbf{V}_{47}	$\mathbf{V}_1, \mathbf{V}_{11}, \mathbf{V}_{12}, \mathbf{V}_{13}, \mathbf{V}_{14}, \mathbf{V}_{23}, \mathbf{V}_{24}, \mathbf{V}_{25}, \mathbf{V}_{35}, \mathbf{V}_{36}, \mathbf{V}_{37}, \mathbf{V}_{38}, \mathbf{V}_{47}, \mathbf{V}_{48}, \mathbf{V}_{59}, \mathbf{V}_{60}, \mathbf{V}_{61}, \mathbf{V}_{62}, \mathbf{V}_{71}, \mathbf{V}_{72}$
\mathbf{V}_{49}	$\mathbf{V}_1, \mathbf{V}_3, \mathbf{V}_4, \mathbf{V}_{13}, \mathbf{V}_{14}, \mathbf{V}_{15}, \mathbf{V}_{25}, \mathbf{V}_{26}, \mathbf{V}_{27}, \mathbf{V}_{28}, \mathbf{V}_{37}, \mathbf{V}_{38}, \mathbf{V}_{49}, \mathbf{V}_{50}, \mathbf{V}_{51}, \mathbf{V}_{52}, \mathbf{V}_{61}, \mathbf{V}_{62}, \mathbf{V}_{73}, \mathbf{V}_{74}$

without weighting factors is constructed as

$$\begin{cases} G_s(j) = |i_{o\alpha}^*(k+1) - i_{o\alpha}(k+1)| \\ + |i_{o\beta}^*(k+1) - i_{o\beta}(k+1)| \\ V_{\text{opt}} = \arg \min_{j=0,1,\dots,74} (G_s(j)). \end{cases} \quad (17)$$

To lessen the execution time implementing FCS-MPC, a two-stage FCS-MPC based on virtual VVs is proposed. The first stage evaluates six virtual VVs (\mathbf{V}_{39} , \mathbf{V}_{41} , \mathbf{V}_{43} , \mathbf{V}_{45} , \mathbf{V}_{47} , and \mathbf{V}_{49} shown in Fig. 4) to find out the VV with minimum cost function (17). Then, the VVs located in the same sector as the first stage are chosen to take part in the second-stage optimization. For example, when the virtual VV \mathbf{V}_{39} is selected as the optimal VV in the first stage, the candidate VVs in the second stage include $\mathbf{V}_1, \mathbf{V}_3, \mathbf{V}_4, \mathbf{V}_5, \mathbf{V}_6, \mathbf{V}_{15}, \mathbf{V}_{16}, \mathbf{V}_{27}, \mathbf{V}_{28}, \mathbf{V}_{29}, \mathbf{V}_{30}, \mathbf{V}_{39}, \mathbf{V}_{51}, \mathbf{V}_{52}, \mathbf{V}_{53}, \mathbf{V}_{54}, \mathbf{V}_{63}$, and \mathbf{V}_{64} . Candidate VVs in the other sectors can be obtained in the same way. All candidate VVs in different sectors are listed in Table II.

It can be clearly seen from Table II that the number of candidate VVs for the proposed FCS-MPC is reduced from 75 to 20, which greatly reduces the computational burden.

B. Balancing NP Voltage

The NP voltage balancing is an important issue for the 3P-3L converter. Unbalanced NP voltage will degrade the converter performance. As shown in Fig. 2, there are redundant VVs that generate the same output voltages but with different influences on the NP voltage. Thus, redundant VVs are utilized to balance the NP voltage. In terms of their influence on the NP voltage, the real VVs are divided into positive small VVs and negative small VVs. To select proper virtual VVs for balancing the NP voltage, virtual VVs are analyzed as follows:

Define a virtual VV \mathbf{V}_{V1} as

$$\mathbf{V}_{V1} = (\mathbf{V}_4 + \mathbf{V}_5 + \mathbf{V}_{16})/3. \quad (18)$$

When the virtual VV \mathbf{V}_{V1} is applied in one entire control cycle, the average NP current i_{np} in this control cycle is calculated as

$$i_{\text{np}} = (i_{oa} + i_{ob} + i_{oc})/3 = 0. \quad (19)$$

TABLE III
CANDIDATE VOLTAGE VECTORS SELECTION

Optimal sector	Conditions	Candidate voltage vectors
I	$V_{up} \geq V_{low}$	$\mathbf{V}_1, \mathbf{V}_3, \mathbf{V}_5, \mathbf{V}_{15}, \mathbf{V}_{16}, \mathbf{V}_{17}, \mathbf{V}_{27}, \mathbf{V}_{29}, \mathbf{V}_{39}, \mathbf{V}_{51}, \mathbf{V}_{53}, \mathbf{V}_{63}, \mathbf{V}_{64}$
	$V_{up} < V_{low}$	$\mathbf{V}_1, \mathbf{V}_4, \mathbf{V}_6, \mathbf{V}_{15}, \mathbf{V}_{16}, \mathbf{V}_{17}, \mathbf{V}_{28}, \mathbf{V}_{30}, \mathbf{V}_{40}, \mathbf{V}_{52}, \mathbf{V}_{54}, \mathbf{V}_{63}, \mathbf{V}_{64}$
II	$V_{up} \geq V_{low}$	$\mathbf{V}_1, \mathbf{V}_5, \mathbf{V}_7, \mathbf{V}_{17}, \mathbf{V}_{18}, \mathbf{V}_{19}, \mathbf{V}_{29}, \mathbf{V}_{31}, \mathbf{V}_{41}, \mathbf{V}_{53}, \mathbf{V}_{55}, \mathbf{V}_{65}, \mathbf{V}_{66}$
	$V_{up} < V_{low}$	$\mathbf{V}_1, \mathbf{V}_6, \mathbf{V}_8, \mathbf{V}_{17}, \mathbf{V}_{18}, \mathbf{V}_{19}, \mathbf{V}_{30}, \mathbf{V}_{32}, \mathbf{V}_{42}, \mathbf{V}_{54}, \mathbf{V}_{56}, \mathbf{V}_{65}, \mathbf{V}_{66}$
III	$V_{up} \geq V_{low}$	$\mathbf{V}_1, \mathbf{V}_7, \mathbf{V}_9, \mathbf{V}_{19}, \mathbf{V}_{20}, \mathbf{V}_{21}, \mathbf{V}_{31}, \mathbf{V}_{33}, \mathbf{V}_{43}, \mathbf{V}_{55}, \mathbf{V}_{57}, \mathbf{V}_{67}, \mathbf{V}_{68}$
	$V_{up} < V_{low}$	$\mathbf{V}_1, \mathbf{V}_8, \mathbf{V}_{10}, \mathbf{V}_{19}, \mathbf{V}_{20}, \mathbf{V}_{21}, \mathbf{V}_{32}, \mathbf{V}_{34}, \mathbf{V}_{44}, \mathbf{V}_{56}, \mathbf{V}_{58}, \mathbf{V}_{67}, \mathbf{V}_{68}$
IV	$V_{up} \geq V_{low}$	$\mathbf{V}_1, \mathbf{V}_9, \mathbf{V}_{11}, \mathbf{V}_{21}, \mathbf{V}_{22}, \mathbf{V}_{23}, \mathbf{V}_{33}, \mathbf{V}_{35}, \mathbf{V}_{45}, \mathbf{V}_{57}, \mathbf{V}_{59}, \mathbf{V}_{69}, \mathbf{V}_{70}$
	$V_{up} < V_{low}$	$\mathbf{V}_1, \mathbf{V}_{10}, \mathbf{V}_{12}, \mathbf{V}_{21}, \mathbf{V}_{22}, \mathbf{V}_{23}, \mathbf{V}_{34}, \mathbf{V}_{36}, \mathbf{V}_{46}, \mathbf{V}_{58}, \mathbf{V}_{60}, \mathbf{V}_{69}, \mathbf{V}_{70}$
V	$V_{up} \geq V_{low}$	$\mathbf{V}_1, \mathbf{V}_{11}, \mathbf{V}_{13}, \mathbf{V}_{23}, \mathbf{V}_{24}, \mathbf{V}_{25}, \mathbf{V}_{35}, \mathbf{V}_{37}, \mathbf{V}_{47}, \mathbf{V}_{59}, \mathbf{V}_{61}, \mathbf{V}_{71}, \mathbf{V}_{72}$
	$V_{up} < V_{low}$	$\mathbf{V}_1, \mathbf{V}_{12}, \mathbf{V}_{14}, \mathbf{V}_{23}, \mathbf{V}_{24}, \mathbf{V}_{25}, \mathbf{V}_{36}, \mathbf{V}_{38}, \mathbf{V}_{48}, \mathbf{V}_{60}, \mathbf{V}_{62}, \mathbf{V}_{71}, \mathbf{V}_{72}$
VI	$V_{up} \geq V_{low}$	$\mathbf{V}_1, \mathbf{V}_3, \mathbf{V}_{13}, \mathbf{V}_{15}, \mathbf{V}_{25}, \mathbf{V}_{26}, \mathbf{V}_{27}, \mathbf{V}_{37}, \mathbf{V}_{49}, \mathbf{V}_{51}, \mathbf{V}_{61}, \mathbf{V}_{73}, \mathbf{V}_{74}$
	$V_{up} < V_{low}$	$\mathbf{V}_1, \mathbf{V}_4, \mathbf{V}_{14}, \mathbf{V}_{15}, \mathbf{V}_{25}, \mathbf{V}_{26}, \mathbf{V}_{28}, \mathbf{V}_{38}, \mathbf{V}_{50}, \mathbf{V}_{52}, \mathbf{V}_{62}, \mathbf{V}_{73}, \mathbf{V}_{74}$

From (19), it can be found that the virtual VV \mathbf{V}_{V1} has no influence on the NP voltage.

The virtual VVs \mathbf{V}_{39} and \mathbf{V}_{40} are defined as

$$\begin{cases} \mathbf{V}_{39} = (\mathbf{V}_3 + \mathbf{V}_5 + \mathbf{V}_{16})/3 \\ \mathbf{V}_{40} = (\mathbf{V}_4 + \mathbf{V}_6 + \mathbf{V}_{16})/3. \end{cases} \quad (20)$$

Subtracting (18) from (20), the following expressions can be obtained:

$$\begin{cases} \mathbf{V}_{39} - \mathbf{V}_{V1} = (\mathbf{V}_3 - \mathbf{V}_4)/3 = (\mathbf{V}_3 + \mathbf{V}_9)/3 \\ \mathbf{V}_{40} - \mathbf{V}_{V1} = (\mathbf{V}_6 - \mathbf{V}_5)/3 = (\mathbf{V}_6 + \mathbf{V}_{12})/3. \end{cases} \quad (21)$$

Suppose the 3P-3L converter works with unity power factor, positive/negative small VVs will decrease/increase the upper capacitor voltage and increase/decrease the lower capacitor voltage. As shown in (18) and (21), it can be observed that: 1) tree virtual VVs generate the same output voltage; 2) the virtual VV \mathbf{V}_{39} will decrease the dc-link upper capacitor voltage and increase the dc-link lower capacitor voltage, which is denoted as a positive virtual VV; and 3) virtual VV \mathbf{V}_{40} is capable of increasing the dc-link upper capacitor voltage and decreasing the dc-link lower capacitor voltage, which is denoted as a negative virtual VV. Thus, the balancing of dc-link upper and lower capacitor voltages is achieved by utilizing small VVs and virtual VVs. The candidate real and virtual VVs employed in the proposed FCS-MPC algorithm are listed in Table III.

From Table III, it is found that the number of candidate VVs to be evaluated in FCS-MPC is greatly reduced from 75 to 13 by the proposed method. Furthermore, as shown in (17), weighting factors are eliminated, and the NP voltage is controlled by selecting small and virtual VVs. In terms of above analysis, the control block diagram of FCS-MPC based on DSVM is shown in

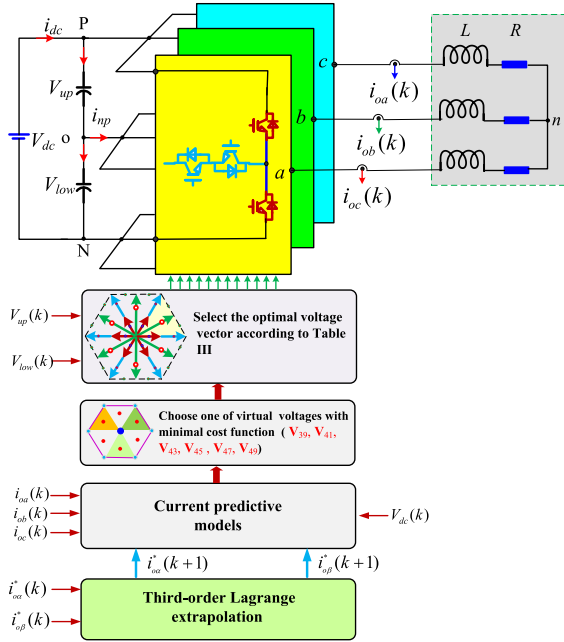


Fig. 6. Control block diagram of FCS-MPC based on DSVM.

 TABLE IV
EXPERIMENT PARAMETERS

Name	Description	Value
V_{dc}	Dc-link voltage	180 V
C	Dc-link capacitors	500 μ F
L	Load inductance	10 mH
R	Load resistance	18 Ω
f_s	Sampling frequency	10 kHz
λ_{mp}	Weighing factor	0.015

Fig. 6. As displayed in Fig. 6, the proposed FCS-MPC algorithm is implemented in two stages. The first stage selects a virtual VV that minimizes cost function (17). Then, some candidate VVs located in the same sector are involved in the second-stage FCS-MPC iterative calculation according to Table III. Finally, duty ratios of the 3P-3L converter are generated using the conventional pulsewidth modulation (PWM) technique.

IV. EXPERIMENT EVALUATIONS

To verify the proposed FCS-MPC based on DSVM, an experimental platform based on DSP (TMS320F2808) and complex programmable logic device (CPLD) (EMP7256) is built. The DSP is utilized to implement FCS-MPC algorithms, and the CPLD is adopted to generate gate pulses for 3P-3L converters. The experimental platform is depicted in Fig. 7 and its key parameters are listed in Table IV.

There is a digital control delay when implementing FCS-MPC by DSPs. Thus, the two-step forward prediction is required and adopted in this article [39].

For conciseness, the experimental test conditions are summarized in Table V. In Table V, I_o^* and f_o^* represent the amplitude reference and the frequency reference of converter output currents, respectively.

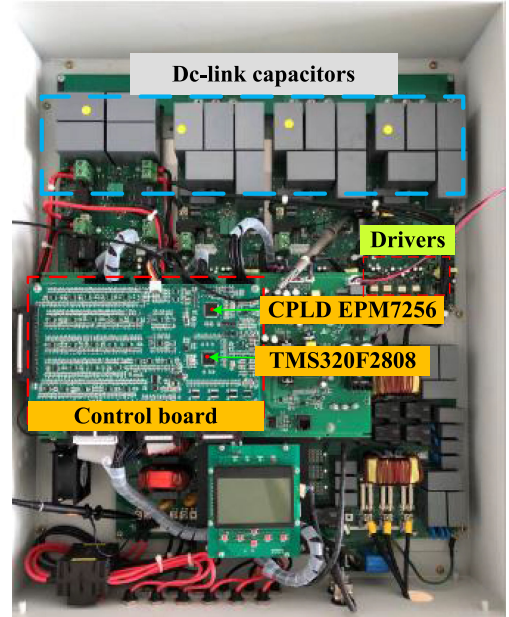


Fig. 7. Experimental platform of the 3P-3L converter.

 TABLE V
TEST CONDITIONS

Condition	I_o^*	f_o^*
Test 1	2.5 A	50 Hz
Test 2	5 A	50 Hz
Test 3	Step from 2.5 A to 5 A	50 Hz
Test 4	Step from 5 A to 2.5 A	50 Hz

 TABLE VI
EXECUTION TIME

FCS-MPC approaches	A/D and other tasks	FCS-MPC time	Total time
Conventional FCS-MPC	10.4 μ s	56.8 μ s	67.2 μ s
Proposed FCS-MPC	10.4 μ s	47.2 μ s	57.6 μ s

A. Execution Time

To compare the computational efficiency of the conventional and the proposed FCS-MPC, their execution time is evaluated in experiments. In this article, the FCS-MPC approach in [40] is taken as the conventional FCS-MPC. Input/output (I/O) ports of the DSP TMS320F2808 are utilized to evaluate execution time. The level of I/O ports is set to high level once the algorithm runs and reset to low level when the FCS-MPC algorithm is completed. The execution time of different FCS-MPC approaches is depicted in Fig. 8 and summarized in Table VI. In the system, the control period is equal to the sampling period of 100 μ s. As shown in Table VI, it is clearly observed that the proposed FCS-MPC algorithm has less execution time although there are 75 candidate VVs instead of 27 VVs for the conventional FCS-MPC algorithm. Therefore, the proposed FCS-MPC algorithm is computationally more efficient.

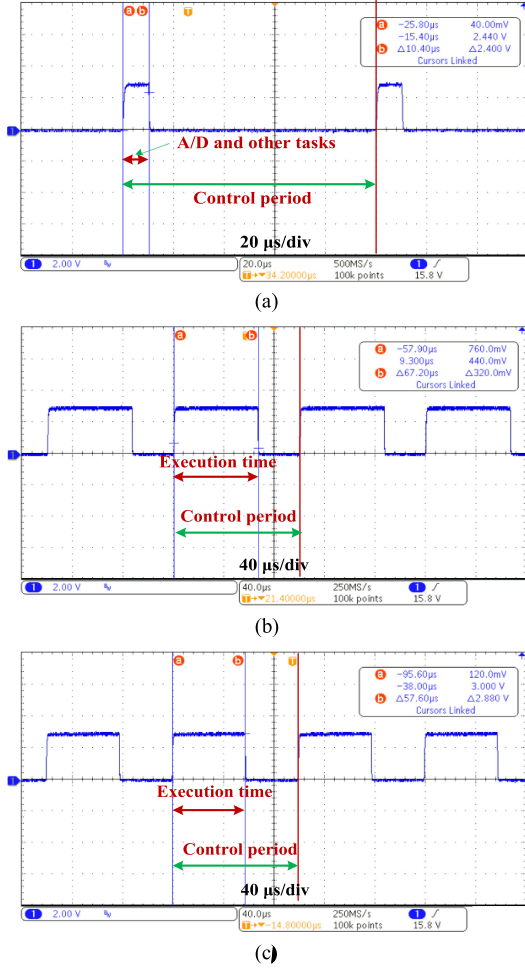


Fig. 8. Execution time. (a) A/D and other tasks. (b) Conventional FCS-MPC algorithm. (c) Proposed FCS-MPC algorithm.

B. Steady-State Performance Evaluation

As shown in (17), the weighting factor of NP voltage balancing will directly affect the ripples of the NP voltage. The larger λ_{np} is, the higher priority NP voltage balancing obtains. In this article, the weighting factor λ_{np} is set to 0.015, which results in very similar peak-to-peak NP voltage ripple for both FCS-MPC algorithms. To compare the steady-state performance of the conventional and the presented FCS-MPC, Test 1 and Test 2 are carried out. Fig. 9 displays the main experimental results, including the output line–line voltage V_{ab} , dc-link lower capacitor voltage V_{low} , phase-a and phase-b output currents (i_{oa} , i_{ob}), and fast Fourier transform of phase-a output current under Test 1 condition for both FCS-MPC methods. Fig. 10 shows the corresponding experimental waveforms under Test 2 condition.

From Figs. 9 and 10, the following aspects are clearly observed.

- 1) The output line–line voltage of the converter has five levels compared to the two levels of classical 3P-2L converter.
- 2) Output currents for both FCS-MPC algorithms are sinusoidal. THDs for the conventional FCS-MPC approach are 6.68% and 3.88% under Test 1 and Test 2, respectively, and the corresponding THDs for the proposed FCS-MPC

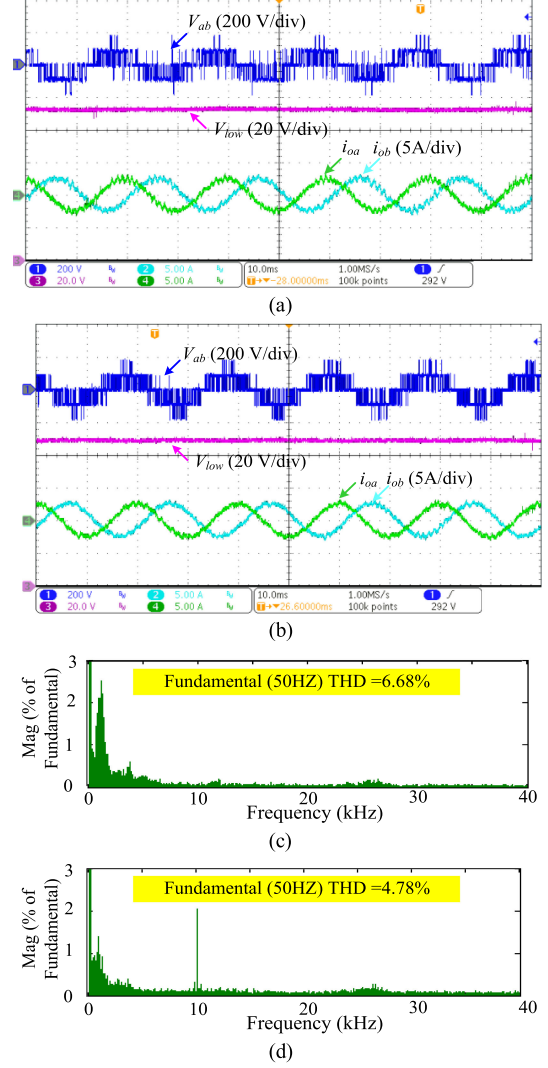


Fig. 9. Steady-state experimental results under Test 1 condition. (a) and (c) for the conventional FCS-MPC in [40]. (b) and (d) for the proposed FCS-MPC.

algorithm are only 4.78% and 2.87%. The quality of output currents for the proposed FCS-MPC approach is significantly improved due to the employment of DSVM.

- 3) Harmonics of output currents in the conventional FCS-MPC approach spread over a wide frequency range, which is very undesirable for filter design. In comparison, current harmonics in the proposed FCS-MPC mainly concentrate at the sampling frequency (10 kHz), which is similar to the conventional space vector modulation. This significantly simplifies the filter design.
- 4) The dc-link lower capacitor voltage of both FCS-MPC methods is well controlled around 90 V (half of the dc-link input voltage), and its peak–peak voltage ripple keeps within 3 V. From these comparative results shown in Figs. 9 and 10, it can be concluded that the presented FCS-MPC has low current harmonics and good NP voltage balancing capability.

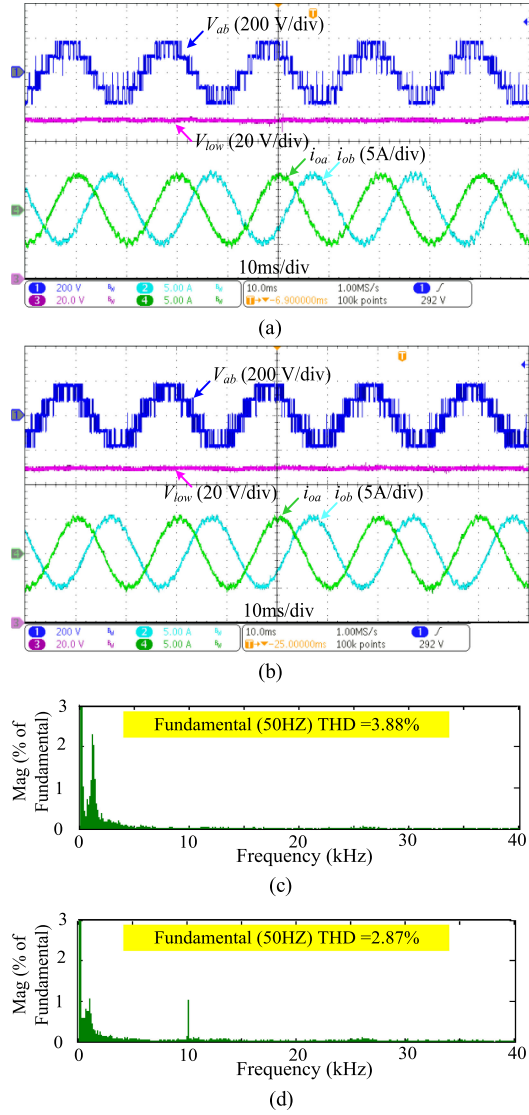


Fig. 10. Steady-state experimental results under Test 2 condition. (a) and (c) for the conventional FCS-MPC. (b) and (d) for the proposed FCS-MPC.

C. Performance Evaluation for Different Weighting Factors

As seen in (11), there is a weighing factor λ_{np} . The larger the weighing factor λ_{np} is, the more priority is given to the control of NP voltage. Fig. 11 displays the experimental results under Test 2 with different weighting factors. From Fig. 11, it can be clearly observed that, first, the dc-link lower capacitor voltage V_{low} cannot be effectively controlled and is about 96 V with $\lambda_{np} = 0.0015$. However, the dc-link lower capacitor voltage V_{low} is well controlled around 90 V with the peak-peak ripple less than 2 V when $\lambda_{np} = 0.15$. The performance of NP balancing becomes worse when the weighting factor λ_{np} decreases. And second, the THD value is 2.78% with $\lambda_{np} = 0.0015$, and the corresponding THD value is 4.66% with $\lambda_{np} = 0.15$. The quality of output currents becomes better with the decrease of weighting factor λ_{np} .

From the above analysis, it can be concluded that there is trade-off between the THD value and the NP balancing by adjusting the weighting factor λ_{np} for the conventional

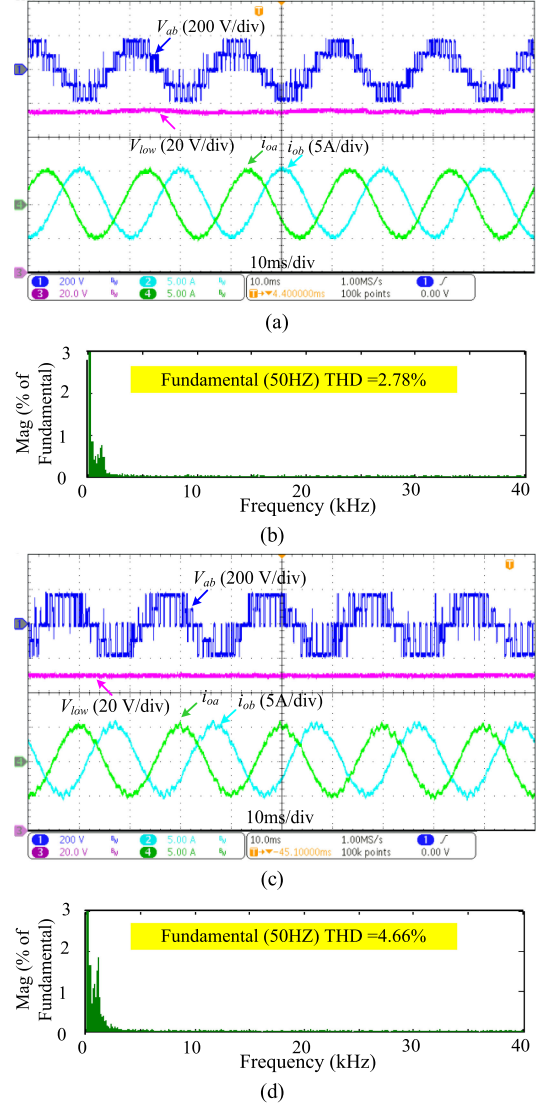


Fig. 11. Experimental results with different weighting factors λ_{np} . (a) and (b) with $\lambda_{np} = 0.0015$. (c) and (d) with $\lambda_{np} = 0.15$.

FCS-MPC. However, choosing a proper weighting factor λ_{np} requires tedious tuning procedures, which are really time consuming.

D. Performance Evaluation for the Same Average Switching Frequency

To fairly compare the steady-state performances of different control methods, the average switching frequency needs to be set equal [41], [42]. The average switching frequency f_{avs} for the 3P-3L converter can be defined as

$$f_{avs} = \frac{1}{12T_{sw}} \sum_{x=a}^c N_x \quad (22)$$

where T_{sw} represents the measurement time and it is set to 600 ms in the system. N_x ($x = a, b, c$) means switching times of power switches in phase- x .

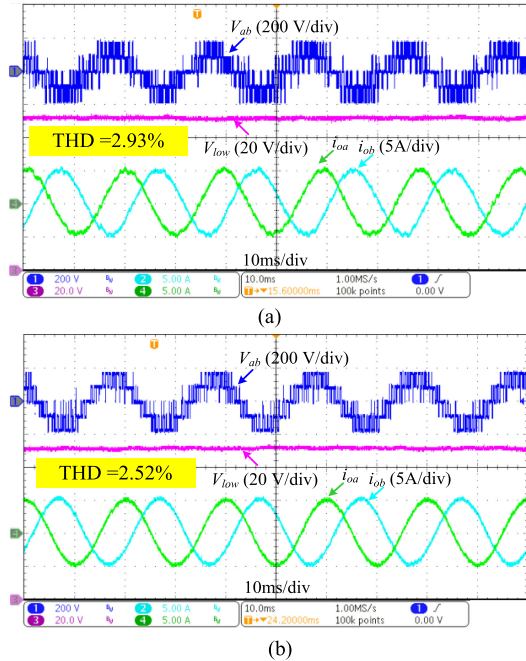


Fig. 12. Steady-state experimental results with the same average switching frequency. (a) Conventional FCS-MPC. (b) Deadbeat control with SVPWM.

TABLE VII
PERFORMANCE COMPARISON FOR DIFFERENT METHODS

Control schemes	f_s (kHz)	f_{av} (kHz)	THD
Deadbeat control	3.50	3.50	2.52%
Conventional FCS-MPC	20	3.50	2.93%
Proposed FCS-MPC	10	3.50	2.87%

In the article, three methods will be evaluated, including the conventional FCS-MPC in [40], the deadbeat control with space vector PWM (SVPWM) in [43], and the proposed FCS-MPC algorithm. Fig. 12 shows experimental results for different methods with the same average switching frequency under Test 2. To give a clear comparison, the sampling frequency, the switching frequency, and the THD of these three control schemes are listed in Table VII. The following phenomena can be observed from Table VII. First, the conventional FCS-MPC has the highest sampling frequency with the same average switching frequency. However, it has the largest THD value due to the application of only one VV in each control cycle. Second, the conventional deadbeat control has the lowest sampling frequency and the best quality of output currents. However, the deadbeat control requires the conventional SVPWM technique to achieve the fixed switching frequency. For the proposed MPC, it can easily include nonlinearities and constraints in the cost function. Thus, the proposed FCS-MPC is regarded as a promising control scheme for the 3P-3L converter.

E. Dynamic Performance Evaluation

To further compare the dynamic performance of the two FCS-MPC approaches, Test 3 and Test 4 conditions are

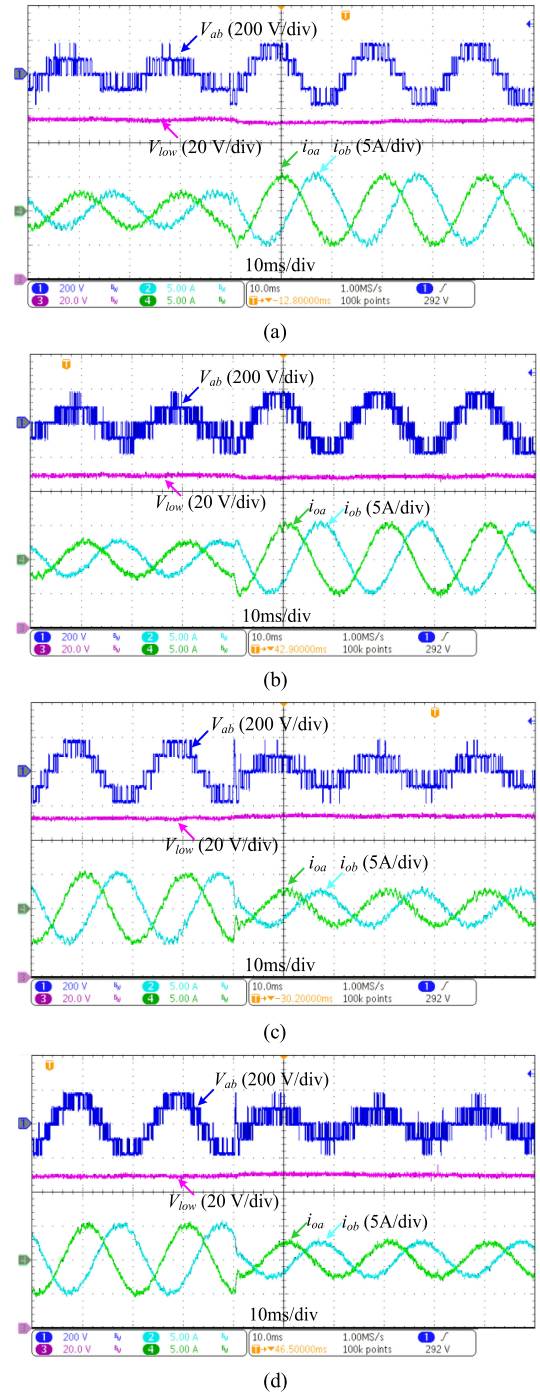


Fig. 13. Dynamic experimental results under Test 3 and Test 4 conditions. (a) and (c) for the conventional FCS-MPC. (b) and (d) for the proposed FCS-MPC.

conducted. Fig. 13 depicts the experimental waveforms of output line-line voltage V_{ab} , dc-link upper lower capacitor voltage V_{low} , and phase-a and phase-b output currents (i_{oa} , i_{ob}). The following facts can be clearly seen from Fig. 13. First, it takes less than 1 ms for both FCS-MPC methods to track current references. Second, the system for both FCS-MPC algorithms stays stability and works well when a 100% current reference change occurs, which illustrates that the proposed FCS-MPC has strong robustness. Third, the dc-link bottom capacitor voltage

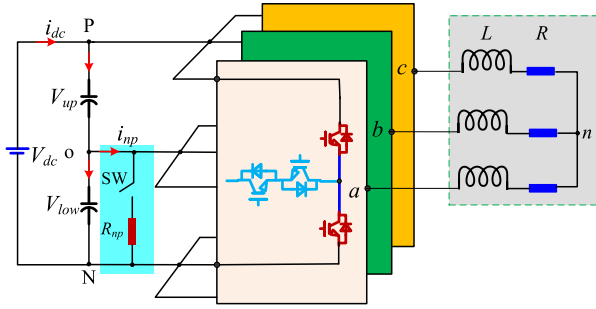


Fig. 14. Configuration of evaluating the NP capacitor voltage.

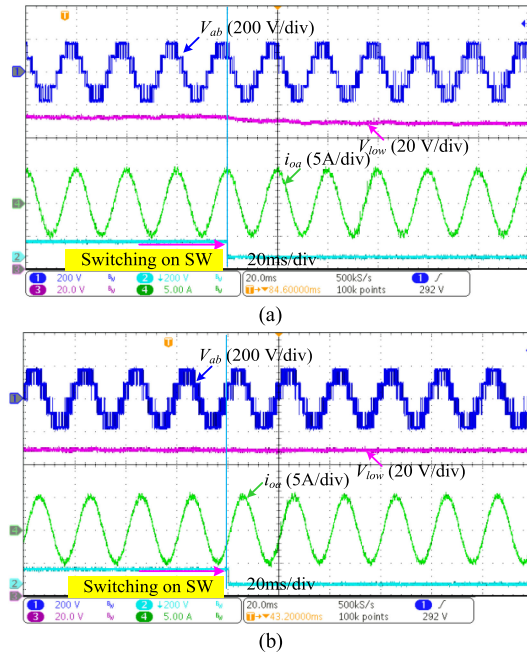


Fig. 15. Balancing NP voltage evaluation. (a) Conventional FCS-MPC. (b) Proposed FCS-MPC.

keeps almost unchanged even with 100% current reference step change for both FCS-MPC approaches. The experimental results demonstrate the fast dynamics of the proposed FCS-MPC.

F. Balancing NP Voltage Evaluation

To further verify the proposed FCS-MPC based on DSVM in balancing the NP voltage, a switch SW in series with a resistor R_{np} is added to the dc-link bottom capacitor voltage. The configuration is depicted in Fig. 14. As shown in Fig. 14, the resistor R_{np} is in parallel with the bottom capacitor when SW is turned ON. Then, the current will flow through the resistor which creates the NP voltage imbalance.

In the system, the resistance of R_{np} is selected to be 100 Ω . The test condition is given as follows.

Test 5: The amplitude and frequency of output current references are set to 5 A and 50 Hz, respectively. In addition, R_{np} is in parallel with the bottom capacitor by turning on the switch SW.

Fig. 15 shows experimental results under Test 5. The results in Fig. 15 can be summarized as follows:

- 1) The dc-link bottom capacitor voltage for the conventional FCS-MPC approach is stable. However, it becomes a bit lower than half of the dc-link voltage when SW is turned ON.
- 2) The bottom capacitor voltage V_{low} for the proposed FCS-MPC is nearly unaffected when SW is turned ON, which demonstrates the excellent NP voltage balancing capacity of the proposed FCS-MPC.

V. CONCLUSION

In the article, a low complexity FCS-MPC based on DSVM for 3P-3L converter has been proposed. Comparative experimental results for the conventional and proposed MPC approaches are presented, and some conclusions for these results are summarized as follows.

- 1) The proposed MPC produces excellent steady-state performance, and the quality of output current is significantly improved compared to the conventional MPC.
- 2) The peak amplitude of high-order harmonics concentrates at the sampling frequency.
- 3) Two-stage optimization is employed to reduce the computational burden. The execution time of the proposed MPC using the DSP TMS320F2808 is approximately 83% of that of the conventional FCS-MPC.
- 4) There is no weighting factor for the proposed MPC, which eliminates the tedious weighting factor tuning process required by the conventional MPC.
- 5) The proposed MPC exhibits very fast reference current tracking response.
- 6) The proposed MPC possesses excellent capacity in balancing the NP voltage with peak-peak ripple kept within 3 V.

In summary, the presented FCS-MPC overcomes disadvantages of the conventional FCS-MPC approach such as heavy computational burden, variable frequency of output currents, and the requirement of weighting factor tuning. Thus, the presented MPC exhibits a promising application prospects in 3P-3L converters.

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Yong Yang (Senior Member, IEEE) received the B.S. degree in automation from Xiangtan University, Xiangtan, China, in 2003, the M.S. degree in electrical engineering from Guizhou University, Guiyang, China, in 2006, and the Ph.D. degree in electrical engineering from Shanghai University, Shanghai, China, in 2010.

He is currently an Associate Professor with the School of Rail Transportation, Soochow University, Suzhou, China. From December 2017 to December 2018, he was a Visiting Scholar with the Center for High Performance Power Electronics, The Ohio State University, Columbus, OH, USA. He has coauthored more than 80 journal and conference papers. His research interests include model predictive control in power electronic converters, distributed energy resource interfacing, and high-performance motor drive control.



Huiqing Wen (Senior Member, IEEE) received the B.S. and M.S. degrees in electrical engineering from Zhejiang University, Hangzhou, China, in 2002 and 2006, respectively, and the Ph.D. degree in electrical engineering from the Chinese Academy of Sciences, Beijing, China, in 2009.

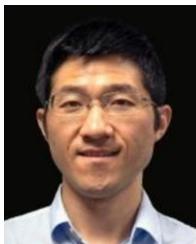
From 2009 to 2010, he was an Electrical Engineer with the Research and Development Center, GE (China) Co., Ltd., Shanghai, China. From 2010 to 2011, he was an Engineer with the China Coal Research Institute, Beijing, China. From 2011 to 2012,

he was a Postdoctoral Fellow with the Masdar Institute of Science and Technology, Abu Dhabi, United Arab Emirates. He is currently working as an Associate Professor with the Xi'an Jiaotong-Liverpool University, Suzhou, China. His research interests include bidirectional dc-dc converters, power electronics in flexible ac transmission applications, electrical vehicles, and high-power, three-level electrical driving systems.



Mingdi Fan (Senior Member, IEEE) received the B.S. degree in electrical engineering and the Ph.D. degree in detection technology and automation device from Northwestern Polytechnical University, Xi'an, China, in 2008 and 2014, respectively.

From 2010 to 2011, he worked as a Visiting Scholar with Kassel University, Kassel, Germany. He is currently an Associate Professor with the School of Rail Transportation, Soochow University, Suzhou, China. His research interests include model predictive control for power converters and motor drives.



Xinan Zhang (Member, IEEE) received the B.E. degree in electrical engineering and automation from Fudan University, Shanghai, China, in 2008, and the Ph.D. degree from Nanyang Technological University (NTU), Singapore, in 2014.

He worked as a Postdoc Researcher with NTU and the University of New South Wales, Sydney, NSW, Australia, from 2014 to 2017. He worked as a Lecturer with NTU, from June 2017 to September 2019. Since September 2019, he has been with the University of Western Australia, Perth, WA, Australia, as a Senior

Lecturer. His research interests include electrical machine drives, control and modulation of power electronic converters, and design of hybrid energy storage systems.



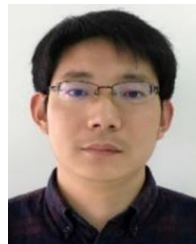
Liqun He (Member, IEEE) received the B.E. and Ph.D. degrees in electrical engineering from the Huazhong University of Science and Technology, Wuhan, China, in 2010 and 2015, respectively.

She is currently an Associated Professor with the Soochow University, Suzhou, China. Her research interests include fully modular power electronics systems, power electronic transformer, railway electrification, and multiphysics fields of electrical equipment.



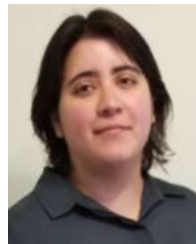
Rong Chen received the B.S. degree in communication engineering from Soochow University, Suzhou, China, in 2006, the M.S. degree in communication and information system from Soochow University, in 2009, and the Ph.D. degree in signal and information processing from Soochow University, in 2013.

She is currently an Associate Professor with the School of Rail Transportation, Soochow University. Her research interests include signal processing and synchronous phasor measuring.



Menxi Xie received the B.S. and M.S. degrees in electrical engineering from Lanzhou Jiaotong University, Lanzhou, China, in 2006 and 2009, respectively, and the Ph.D. degree in signal and information processing from Soochow University, Suzhou, China, in 2018.

Since 2010, he has been with the School of Rail Transportation, Soochow University. His research interests include phase-locked loop, power converter control methods, and motor drives.



Margarita Norambuena (Senior Member, IEEE) received the B.S. and M.S. degrees in electric engineering, and the Ph.D. degree (*summa cum laude*) in electronics engineering from the Universidad Tecnica Federico Santa Maria (UTFSM), Valparaiso, Chile, in 2013 and 2017, respectively, the Dr.-Ing. degree (*summa cum laude*) from the Technische Universitat Berlin, Berlin, Germany, in 2018.

She is an Assistant Professor with the Universidad Tecnica Federico Santa Maria, Valparaiso, Chile. Her research interests include multilevel converters,

model predictive control of power converters and drives, energy storage systems, renewable energy, and microgrids systems.

Dr. Norambuena serves as an Associate Editor for the IEEE JESTPE. In 2019, she was the recipient of the "IEEE IES Best Student Paper Award" for her doctoral work.



Jose Rodriguez (Life Fellow, IEEE) received the engineering degree in electrical engineering from the Universidad Tecnica Federico Santa Maria, Valparaiso, Chile, in 1977, and the Dr.-Ing. degree in electrical engineering from the University of Erlangen, Erlangen, Germany, in 1985.

He has been with the Department of Electronics Engineering, Universidad Tecnica Federico Santa Maria, since 1977, where he was a Full Professor and President. Since 2015, he has been the President and since 2019 he has been a Full Professor with

Universidad Andres Bello, Santiago, Chile. He has coauthored two books, several book chapters, and more than 400 journal and conference papers. His research interests include multilevel inverters, new converter topologies, control of power converters, and adjustable-speed drives.

Prof. Rodriguez was the recipient of the number of best paper awards from journals of the IEEE. He is a member of the Chilean Academy of Engineering. In 2014, he was the recipient of the National Award of Applied Sciences and Technology from the government of Chile. In 2015, he was the recipient of the Eugene Mittelmann Award from the Industrial Electronics Society of the IEEE. From 2014 to 2020, he has been included in the list of Highly Cited Researchers published by Web of Science.