

# Correspondence

## A High-Tolerance Matching Method Against Load Fluctuation for Ultrasonic Transducers

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**Abstract**—Fluctuation of acoustic load significantly weakens the performance of ultrasonic system. To address this problem in a simple way, we consider the main input and output variables related to the ultrasonic transducer's performance and propose a detailed mathematical model based on the simplest  $LC$  matching network containing only one capacitor and one inductor. In this model, a new resonance frequency  $f_0$  brought by matching components was found and defined. The optimum analysis method is used to solve the model, and a high-tolerance matching method against load fluctuation is obtained. Analysis indicates that when activated at the mechanical resonance frequency, the impedance and apparent power of the piezoelectric transducer (PT) matched by the proposed method are constant no matter how the load changes, and thereby can significantly increase the stability and robustness of ultrasonic systems. For its simple structure and high performance, the proposed matching method can be widely applied in most ultrasonic systems. The tolerance of the proposed method against other environmental factors and high-order  $LC$  matching networks were also discussed. In addition, the feasibility and advantage of the proposed matching method are also verified by experiments.

**Index Terms**—Matching circuit, matching network, power ultrasound, ultrasonic power supply, ultrasonic processing, ultrasonic transducer.

### I. INTRODUCTION

Over the past decades, power ultrasound has been widely used in industry [1], in ultrasonic welding [2], [3], ultrasonic motor [4], [5], ultrasonic cleaning [6], and ultrasonic manufacturing [7]–[10], etc. As a

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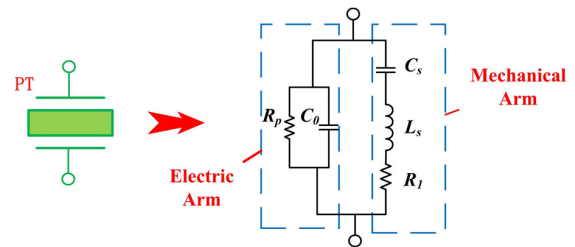


Fig. 1. Equivalent circuit model of a PT which consists of two arms.

key component of ultrasonic systems, an ultrasonic piezoelectric transducer (PT) connects driving power supply and load, transfers the electrical energy into a high-frequency vibration, and then transfers it to the other vibration systems [11].

As illustrated in Fig. 1, a PT can be modeled as a simple circuit [11], [12] that consists of two arms: one is the electric arm, including a static capacitor  $C_0$  and a dielectric loss resistance  $R_p$ , and the other is the mechanical arm, including a dynamic capacitor  $C_s$ , a dynamic inductor  $L_s$ , and a mechanical loss resistance  $R_1$ . The value of  $R_1$  reflects the degree of acoustic load. Since  $R_p$  is much bigger than  $R_1$ , it is often ignored in calculations [13]. Besides, when a mechanical resonance happens,  $L_s$  and  $C_s$  will cancel out each other so that the PT reaches the best performance [14]. The mechanical resonance frequency  $f_s$ , whose corresponding angular frequency is  $\omega_s$ , can be calculated by

$$f_s = 1/(2\pi\sqrt{L_s C_s}). \quad (1)$$

However, due to the existence of  $C_0$  the equivalent circuit of a PT is capacitive at  $f_s$ , which reduces energy efficiency [14], [15]. Special circuits, the so-called inductor–capacitor ( $LC$ ) matching networks [16]–[22], which are made up of capacitors and inductors are often applied to remove the negative effect of  $C_0$ . The simplest  $LC$  matching network is shown in Fig. 2(a), wherein it can be seen that it consists of only one capacitor and one inductor, where  $L$  is the matching inductor,  $C_2$  is the matching capacitor, and  $V_{in}$  is the voltage of driving signal whose angular frequency is  $\omega_{dr}$ . Defining  $C$  as the sum of  $C_2$  and  $C_0$ , the circuit can be further simplified as shown in Fig. 2(b). Taking this circuit as an example, when the mechanical resonance happens, i.e., at  $\omega_{dr} = \omega_s$ , then the equivalent circuit can be illustrated as displayed in Fig. 2(c). The complex impedance  $Z(\omega_s)$  in Fig. 2(c) can be expressed as follows:

$$Z(\omega_s) = j\omega_s L + (R_1 - j\omega_s R_1^2 C) / (1 + \omega_s^2 R_1^2 C^2). \quad (2)$$

To make the equivalent circuit become a pure-resistance circuit, the imaginary part of  $Z(\omega_s)$  should be zero. Thus, the values of  $L$  and  $C$

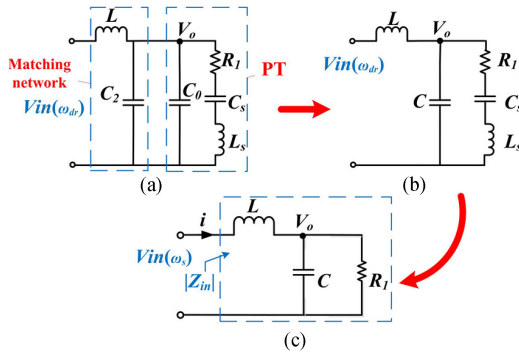


Fig. 2. (a) Equivalent circuit model of a PT after  $LC$  matching, (b) simplified circuit, and (c) simplified circuit when mechanical resonance happens.

should satisfy the following relationship:

$$L = R_1^2 C / (1 + \omega_s^2 R_1^2 C^2). \quad (3)$$

The piezoelectric transducers usually have high electric quality factors, and their resonance frequency often changes with environmental parameters such as temperature, humidification, and others [23], [24]. Meanwhile,  $R_1$  varies dramatically from several ohms under no-load conditions to hundreds of ohms or more under heavy-load conditions [25]. According to (3), the matching will fail when the piezoelectric transducer's resonance frequency  $f_s$  or acoustic load  $R_1$  changes [22], [26], which denote the two main problems affecting the output stability of an ultrasonic system. Nevertheless, the output stability is one of the most paramount performance indicators that have a significant effect on cleaning, welding, and machining results [7], [27]. The transient response is also crucial to the power system performance. Besides, a surge current can cause system damage and overpower output can destroy the operating objects.

To address the first mentioned problem, many resonance frequency tracking (RFT) methods have been proposed [13], [14], [23]–[25], [27]–[33], and the accuracy of RFT methods has been continuously improving. As for the second mentioned problem, generally, there are two ways to solve it. One is by using the feedback control systems [15], [27]–[30], [34] that maintain a constant output power or amplitude by a closed-loop (adaptive) voltage or current scaling [4]. The other is by adding the adjustable components [5], [22], [35]. Although both these ways are effective, they can solve the load fluctuation problem only to a certain extent. Also, the operating range is limited by their high cost, slow response time, and relatively low stability. In [36], an adjustable inductor array was used to achieve the adaptive matching of load change. However, this solution includes a large number of components which is not suitable for high-power applications. Besides, in the matching network design, the goal is mainly to achieve the broadband driving [6] or to improve the transmitting efficiency [17], [18], [21], [37], [38], by comparison, few are aimed to improve the tolerance against load fluctuation.

Different from the aforementioned solutions, improving the tolerance against the load fluctuation is considered as the primary design objective in this paper. We aim to achieve a stable, high-performance matching result and solve the second problem using the simplest  $LC$  matching network, which is shown in Fig. 2(a). Although there are many studies or schemes concerning  $LC$  matching networks, the optimal values for the matching components have not been given yet.

In this paper, we first conduct a careful analysis of the variables related to the input and output signals of an ultrasonic system, and

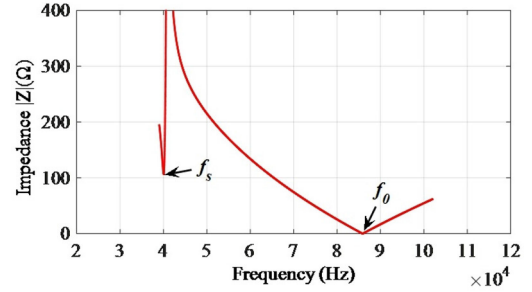


Fig. 3. Impedance-frequency curve after  $LC$  matching, a new resonance frequency  $f_0$  is brought in, where  $R_1 = 200.96 \Omega$ ,  $C_s = 0.276 \text{ nF}$ ,  $L_s = 56.54 \text{ mH}$ ,  $C_2 = C_0 = 5.24 \text{ nF}$ , and  $L = 32.97 \text{ mH}$ .

then we develop a mathematical model based on the matching network that is displayed in Fig. 2(a). A resonance frequency of the newly formed circuit, wherein the matching components are added, is found and defined to help solving the model. By using the optimum analysis method, a high-tolerance matching method against load fluctuation is developed. The conducted analysis shows that the transducer matched by the proposed method has excellent electrical properties which can be summarized as follows:

- 1) At the mechanical resonance frequency, the impedance  $|Z_{in}|$  of the circuit is constant regardless of the load changes, so that the current  $i$  and the apparent power  $S$  are also constant.
- 2) The transducer's output power  $P$  is the most stable and reaches the maximum at a typical load.
- 3) Under the transient conditions, the current and output power of a system do not rise dramatically, thus protecting the system and operating objects.

This paper is organized as follows. Section II details the derivation process of the proposed method. Section III discusses the sensitivity of the proposed method and explores the possibilities of high-order matching networks. In Section IV, experiments are carried out using an ultrasonic driving and control system to verify the performance of the proposed matching method, and Section V provides a conclusion.

## II. MODELING ANALYSIS AND THE PROPOSED METHOD

Modeling is the bridge to solve various practical problems by mathematical methods. The detailed modeling process includes determining variables and their relationships, modeling, model solving, and model evaluation. In this paper, the matching network shown in Fig. 2(a) with one capacitor and one inductor is our primary modeling focus.

### A. Variables Determination

Generally, the final output of an ultrasonic system is high-frequency power ultrasound consisted of the active power  $P$  and the apparent power  $S$ . The former denotes the actual output power, and the latter denotes the power the system undertakes. Some of the relevant-dependent variables are the impedance  $|Z|$  and power factor  $\mu$ .

After matching, the impedance-frequency curve of the circuit shown in Fig. 2(a) is as illustrated in Fig. 3. Fig. 3 shows that a new resonance frequency is newly brought by the matching inductor and capacitor. We named it as the  $LC$  resonance frequency  $f_{LC}$ , and it is expressed as follows:

$$f_{LC} = 1/2\pi\sqrt{LC}. \quad (4)$$

Note that  $f_{LC}$  is a very critical frequency for the circuit because, at that frequency, the impedance is zero so that adding even a small

amount of power can cause circuit damage. Therefore, the value of  $f_{LC}$  must be taken into account when selecting matching parameters.

In practice, the RFT algorithms track the real-time frequency of  $f_s$ , but the difference between the frequency  $f_{dr}$  of driving voltage and actual resonance frequency  $f_s$  is unavoidable. Furthermore, there are also errors in calculating the matching parameters by using (3). Suppose the actual values of  $f_s$  and  $R_1$  in (3) are  $f_{ma}$  (the corresponding angular frequency is  $\omega_{ma}$ ) and  $R_0$ , where  $R_0$  denotes the load resistance under typical working condition.  $R_0$  can be selected according to the actual conditions.

To demonstrate how the above-mentioned errors affect the matching results, we define several new variables as follows:

$$\rho = f_s / f_{LC} \quad (5)$$

$$\rho_1 = f_{dr} / f_s \quad (6)$$

$$\rho_2 = f_{ma} / f_s \quad (7)$$

$$\rho_3 = R_1 / R_0 \quad (8)$$

where  $\rho$  denotes the ratio of  $f_s$  to  $f_{LC}$ , we denoted it as a matching parameter,  $\rho_1$  represents the error of resonance frequency tracking,  $\rho_2$  represents the change in matching inductor  $L$  and capacitor  $C$ , and  $\rho_3$  represents the fluctuation of acoustic load  $R_1$ .

The values of  $L$  and  $C$  are constrained by (3) and (4), so when  $\rho$  is determined, the values of  $L$  and  $C$  are unique. By using (3)–(5), the values of  $L$  and  $C$  can be respectively obtained by

$$L = \rho \sqrt{\omega_s^2 - \rho^2 \omega_{ma}^2} R_0 / \omega_s^2 = \rho \sqrt{1 - \rho^2 \rho_1^2} R_0 / \omega_s \quad (9)$$

$$C = \rho / \left( \sqrt{\omega_s^2 - \rho^2 \omega_{ma}^2} R_0 \right) = \rho / \left( \sqrt{1 - \rho^2 \rho_1^2} \omega_s R_0 \right). \quad (10)$$

Meanwhile, according to (3)–(5), the value range of  $\rho$  is limited by  $C$ , and  $\rho$  can be expressed by

$$\rho = \frac{f_s}{f_{LC}} = \frac{\omega_s}{\omega_{LC}} = \omega_s \sqrt{LC} = \frac{\omega_s R_1}{\sqrt{1/C^2 + \omega_s^2 R_1^2}}. \quad (11)$$

Equation (11) shows that  $\rho$  reaches the maximum value of 1 when  $C$  is infinitely large, and it reaches the minimum value when  $C = C_0$ . Thus, the value range of  $\rho$  is defined as  $(\frac{\omega_s R_1}{\sqrt{\frac{1}{C_0^2} + \omega_s^2 R_1^2}}, 1)$ .

Another crucial parameter of a PT is the mechanical quality factor  $Q_m$ , which is defined by

$$Q_m = \sqrt{L_s / C_s} / R_1 = 1 / \omega_s R_1 C_s. \quad (12)$$

According to (1) and (12),  $L_s$  and  $C_s$  can be expressed in terms of  $R_1$ ,  $Q_m$ , and  $f_s$ , as follows:

$$C_s = 1 / Q_m \rho_3 R_0 \omega_s, L_s = Q_m \rho_3 R_0 / \omega_s. \quad (13)$$

## B. Modeling of the Matched Circuit

Modeling of the matched circuit is carried out under two basic assumptions given in the following. 1) The equivalent circuit model of a PT after  $LC$  matching is as presented in Fig. 2(b), wherein all the inductors, capacitors, and resistances are ideal. 2) The driving voltage  $v_{in}$  can be approximated by a sinusoidal waveform with the frequency  $f_{dr}$ , i.e.  $v_{in} = V_{in} \sin(2\pi f_{dr} t)$ .

In Fig. 2(b), the total complex impedance  $Z_{in}$  can be expressed by

$$Z_{in} = j\omega_{dr} L + \frac{1}{j\omega_{dr} C} \left\| \left( R_1 + \frac{1}{j\omega_{dr} C_s} + j\omega_{dr} L_s \right) \right. \quad (14)$$

According to (5)–(13), (14) can be further rewritten as follows:

$$Z_{in} = j\rho_2 \rho \sqrt{1 - \rho^2 \rho_1^2} R_0 + \frac{\sqrt{1 - \rho^2 \rho_1^2} R_0}{j\rho\rho_2} \left\| \left( \rho_3 R_0 + \frac{Q_m \rho_3 R_0}{j\rho_2} + j\rho_2 Q_m \rho_3 R_0 \right) \right. \quad (15)$$

The apparent power  $S$ , the power factor  $\mu$ , and the total active power  $P$  of an ultrasonic system can be respectively calculated by

$$S = V_{in}^2 / |Z_{in}| \quad (16)$$

$$\mu = \text{real}(Z_{in}) / |Z_{in}| \quad (17)$$

$$P = S \cdot \mu = V_{in}^2 \text{real}(Z_{in}) / |Z_{in}|^2. \quad (18)$$

The output power of an ultrasonic system represents the power obtained by mechanical loss resistance  $R_1$ . Since  $R_1$  is the only active component of the PT, the total active power  $P$  is the actual output power of the circuit. Furthermore, the model can be defined as follows:

$$(Z_{in}, Q_m, P, \mu) = f(\rho, \rho_1, \rho_2, \rho_3) \quad (19)$$

where  $f$  is illustrated in (15)–(18),  $\rho$  indicates the matching parameter to be determined, and  $\rho_1$ ,  $\rho_2$ , and  $\rho_3$  are the input variables.

## C. Model Solving

The load's fluctuation is taken as the main influence factor for the circuit's performance, so the RFT error is ignored first, i.e.,  $\rho_2 = 1$ ; meanwhile,  $\rho_1$  is equal to 1 too. And  $\rho_2$  and  $\rho_1$  will be used in validating the feasibility of the proposed method.

Substituting  $\rho_2 = 1$  and  $\rho_1 = 1$  into (15),  $Z_{in}$  can be further expressed by

$$Z_{in} = j \left[ \rho \sqrt{1 - \rho^2} R_0 - \frac{\rho_3^2 \rho \sqrt{1 - \rho^2} R_0}{1 - \rho^2 + \rho^2 \rho_3^2} \right] + \frac{\rho_3 (1 - \rho^2) R_0}{1 - \rho^2 + \rho^2 \rho_3^2}. \quad (20)$$

The impedance  $|Z_{in}|$  can be deduced as follows:

$$|Z_{in}| = (1 - \rho^2) R_0 \sqrt{\frac{\rho_3^2 + \rho^2 - \rho^2 \rho_3^2}{1 - \rho^2 + \rho^2 \rho_3^2}}. \quad (21)$$

The real part of  $Z_{in}$ ,  $\text{real}(Z_{in})$  is defined by

$$\text{real}(Z_{in}) = \frac{\rho_3 (1 - \rho^2) R_0}{1 - \rho^2 + \rho^2 \rho_3^2}. \quad (22)$$

By substituting (21) and (22) into (16)–(18), we obtain

$$S(\rho, 1, 1, \rho_3) = V_{in}^2 / \left[ (1 - \rho^2) R_0 \sqrt{\frac{\rho_3^2 + \rho^2 - \rho^2 \rho_3^2}{1 - \rho^2 + \rho^2 \rho_3^2}} \right] \quad (23)$$

$$\mu(\rho, 1, 1, \rho_3) = 1 / \sqrt{(1 - \rho^2 + \rho^2 / \rho_3^2)} (1 - \rho^2 + \rho^2 \rho_3^2) \quad (24)$$

$$P(\rho, 1, 1, \rho_3) = \frac{V_{in}^2}{(1 - \rho^2) R_0 [(1 - \rho^2) \rho_3 + \rho^2 / \rho_3]}. \quad (25)$$

Under typical load, i.e.,  $R_1 = R_0$ ,  $\rho_3 = 1$ , and the typical value of  $S$  and  $P$  are given

$$S(\rho, 1, 1, 1) = V_{in}^2 / [(1 - \rho^2) R_0] \quad (26)$$

$$P(\rho, 1, 1, 1) = V_0^2 / R_1 = V_{in}^2 / [(1 - \rho^2) R_0]. \quad (27)$$

To express the change in the values of  $S$  and  $P$ , we define  $\alpha_1$  as the ratio of the actual apparent  $S(\rho, 1, 1, \rho_3)$  power to the typical apparent power  $S(\rho, 1, 1, 1)$ , and  $\alpha_2$  as the ratio of the actual active power  $P(\rho, 1, 1, \rho_3)$  to the typical active power  $P(\rho, 1, 1, 1)$

$$\alpha_1 = \frac{S(\rho, 1, 1, \rho_3)}{S(\rho, 1, 1, 1)} = 1 / \sqrt{\frac{1}{(1 - 2\rho^2)/(\rho_3^2 + 1) + \rho^2}} - 1 \quad (28)$$

$$\alpha_2 = \frac{P(\rho, 1, 1, \rho_3)}{P(\rho, 1, 1, 1)} = \frac{1}{(1 - \rho^2)\rho_3 + \rho^2/\rho_3}$$

$$= \frac{1}{\rho_3 + 1/(\rho_3 - \rho_3)\rho^2}. \quad (29)$$

With the aim to reduce the impact of the fluctuant load on the output power as much as possible, both  $\alpha_1$  and  $\alpha_2$  should be as close as possible to 1. Based on (28), at  $\rho = 1/\sqrt{2}$ ,  $\alpha_1$  is equal to 1, which indicates the apparent power is always constant under variable-load conditions; thus, it can be written that

$$S(1/\sqrt{2}, 1, 1, 1) = V_{in}^2 / [(1 - \rho^2)R_0] = 2V_{in}^2 / R_0. \quad (30)$$

Accordingly,  $1/\sqrt{2}$  is taken as a candidate value of  $\rho$ . Based on (29),  $\alpha_2$  is positively correlated to  $\rho$  when  $\rho_3 > 1$ , and negatively correlated to  $\rho$  when  $\rho_3 < 1$ . This means it is contradictory in the two conditions,  $\rho_3 > 1$  and  $\rho_3 < 1$ , when selecting the value of  $\rho$ , so a compromised method is needed. Hence, for the denominator of (25), we have

$$(1 - \rho^2)R_0 [(1 - \rho^2)\rho_3 + \rho^2/\rho_3] \geq 2\rho(1 - \rho^2)^{\frac{3}{2}}R_0. \quad (31)$$

Therefore, a maximum value  $P_{max}$  exists, and it is given by

$$P_{max} = \frac{V_{in}^2}{2\rho(1 - \rho^2)^{\frac{3}{2}}R_0}. \quad (32)$$

When  $P$  is max, we obtain the following:

$$\rho_{3max p} = \rho / \sqrt{1 - \rho^2}. \quad (33)$$

In general, the transducer is expected to reach the maximum power at the typical load so as to improve its power efficiency. Thus, the active power could reach the maximum value at  $\rho_3 = 1$ . Applying  $\rho_3 = 1$  to (33), we obtain the following:

$$\rho = 1/\sqrt{2}. \quad (34)$$

The obtained value is the same as the above candidate value, so we have

$$\alpha_2 = \frac{2}{1/\rho_3 + \rho_3}. \quad (35)$$

Equation (35) indicates that the active power has the same changing trend both when the load increases and decreases. Substituting  $\rho = 1/\sqrt{2}$  to (21), the impedance is obtained as follows:

$$\left| Z_{in}(1/\sqrt{2}, 1, 1, \rho_3) \right| = \frac{R_0}{2} \sqrt{\frac{\rho_3^2 + 1/2 - \rho_3^2/2}{1 - 1/2 + \rho_3^2/2}} = \frac{R_0}{2}. \quad (36)$$

According to (30), (35), and (36), at  $\rho = 1/\sqrt{2}$ , the impedance and apparent power are constant under variable load; thus, the current of the main loop does not change either. Besides, according to (35), at  $\rho = 1/\sqrt{2}$ , the active power  $P$  has the least fluctuation and achieves the maximum value at the typical load. Therefore, the system tolerance of load fluctuation can be improved. Thus,  $\rho = 1/\sqrt{2}$  is adopted as an optimum value in the solution of the proposed model. Substituting  $\rho = 1/\sqrt{2}$  into (9) and (10), the optimal values of matching inductor

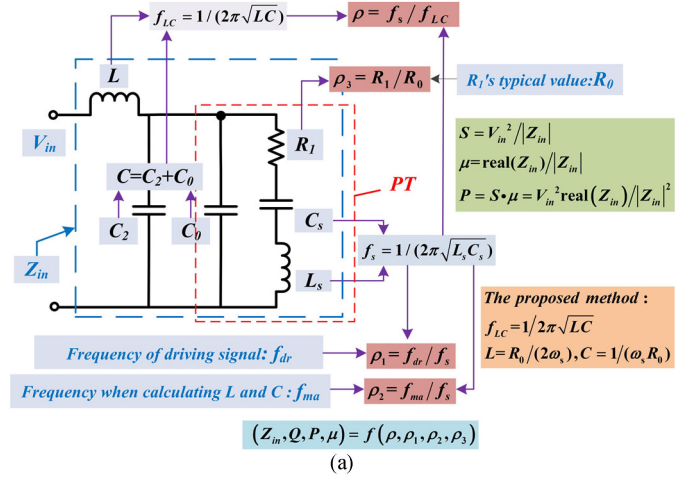


Fig. 4. (a) Schematic diagram of the built model. (b) Power-load curves of the proposed method.

and capacitor can be respectively calculated by

$$L = R_0 / (2\omega_s), C = 1/(\omega_s R_0) \quad (37)$$

$$C_2 = C - C_0 = 1/(\omega_s R_0) - C_0. \quad (38)$$

Moreover, a schematic diagram is provided to help understanding the model, as shown in Fig. 4(a).

#### D. Modeling Results Evaluation

Considering the minimum power fluctuation as the main objective, we derive the optimum values of matching components based on the developed model. The optimal values of matching inductor and capacitor are given in (37) and (38).

To describe the actual effect of the model solution results more intuitively, a virtual PT's power response over the load value is calculated by (23)–(25), using the following parameters:  $C_0 = 4.97$  nF,  $L_s = 39.7$  mH,  $C_s = 0.401$  nF (hence,  $f_s = 40056$  Hz), and the typical mechanical loss resistance  $R_0$  is 200  $\Omega$ . The voltage of driving signal  $V_{in} = 200$  V. Using (30), both the typical apparent power and active power are calculated to 400 W. Using (37) and (38), we have  $L = 0.3973$  mH and  $C = 19.867$  nF. Supposing the loss resistance  $R_1$  varies from 140 to 300  $\Omega$ , and the frequency of driving signal is  $f_{dr} = f_s = 40056$  Hz, then the power-load curves are as shown in Fig. 4(b). Besides, using to (15)–(18), the power-load curves in other cases ( $\rho = 1/4, 1/3, 1/2, 1/\sqrt{3}, 1/\sqrt{2}$ , and  $4/5$ ) are also calculated, and they are depicted in Fig. 5 where it can be seen that the virtual transducer performs much better at  $\rho = 1/\sqrt{2}$  than the other conditions. The excellent characteristics at  $\rho = 1/\sqrt{2}$  can be summarized as follows.

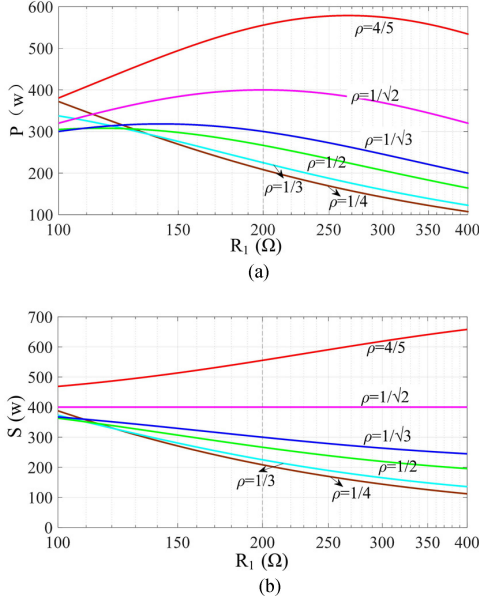


Fig. 5. Power-load curves of a virtual PT with different value of  $\rho$ . (a) Active power change curves over load. (b) Apparent power change curves over load.

- 1) The active power  $P$  reaches the maximum value of 400 W under the typical load of 200  $\Omega$ , and at that load value, the active power fluctuates the least.
- 2) The apparent power  $S$  is an absolute constant regardless of the changing trend of load  $R_1$ , and the current flowing through the whole system is constant too; thus, the transient impact can be well restrained which ensures system safety.

Note that all the results were obtained by the simulations in the MATLAB R2016b simulation platform.

### III. SENSITIVITY ANALYSIS AND HIGH-ORDER $LC$ MATCHING NETWORKS

The excellent characteristics of the proposed method are presented in Section II. However, the above analysis is based on the assumption that errors of both RTF and matching frequency are zero ( $\rho_2 = 1$ ,  $\rho_1 = 1$ ), which is impossible in practice, where the change in environmental parameters, such as temperature, causes the change in values of capacitance and inductance. Accordingly, it is necessary to discuss the feasibility of the proposed method when  $\rho_1$  and  $\rho_2$  differ from 1. This analysis is also known as a sensitivity analysis.

Additionally, a kind of high-order  $LC$  matching network with special characteristics is provided which in some cases can provide more possibilities or better performances.

#### A. Sensitivity Analysis of the Proposed Method

The environmental factors cause a change in the values of  $f_s$  and matching components, thereby  $f_{dr}$  is no longer equal to  $f_s$ . The RFT algorithms can be used to track  $f_s$ . Also, the error between  $f_s$  and  $f_{ma}$  cannot be ignored. The driving frequency error  $\Delta f$  and the matching frequency error  $\Delta f_1$  are respectively defined by

$$\Delta f = f_{dr} - f_s \quad (39)$$

$$\Delta f_1 = f_{ma} - f_s \quad (40)$$

where  $\Delta f$  depends on the accuracy of the RFT algorithm, and  $\Delta f_1$  is decided by the shift of  $f_s$  and the error of matching components. Generally, the value of  $\Delta f$  is tens Hz or less, while the value of  $\Delta f_1$

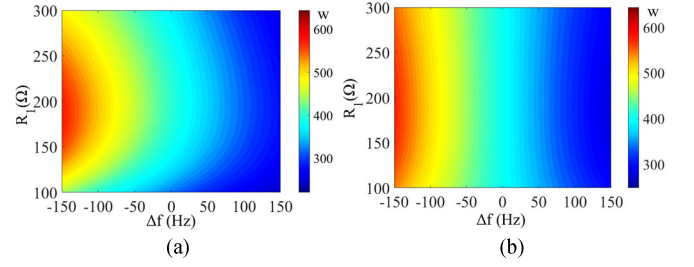


Fig. 6. Effects of  $\Delta f$  on the output power of the ultrasonic system matching by the proposed method. (a) Active power. (b) Apparent power.

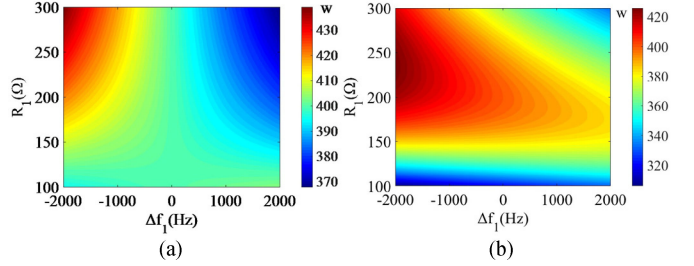


Fig. 7. Effects of  $\Delta f_1$  on the output power of the ultrasonic system matching by the proposed method. (a) Active power. (b) Apparent power.

is hundreds or thousands. To demonstrate the impact of  $\Delta f$  and  $\Delta f_1$  on the model, the simulations were performed on the same virtual PT as that used in Section II-D. The results are shown in Figs. 6 and 7, wherein  $\Delta f$  is in the range from  $-150$  to  $150$  Hz,  $\Delta f_1$  is in the range from  $-2000$  to  $2000$  Hz, and  $R_1$  is in the range from  $100$  to  $300$   $\Omega$ . Using (30), both the typical apparent power and active power were calculated to be 400 W.

As shown in Figs. 6 and 7, the output power was more sensitive to  $\Delta f$  than  $\Delta f_1$ . When  $\Delta f$  was zero, the apparent power was constant (0%), and the active power varied within 60 W ( $-15\%$ – $0\%$ ). When the RTF error was within  $\pm 40$  Hz, the maximum fluctuation ranges of the apparent power and active power were from 320 to 450 W ( $-20\%$ – $+12.5\%$ ). However, when  $\Delta f$  was greater than 100 Hz, the maximal output power difference was almost 300 W ( $+75\%$ ), which indicates that when the driving frequency varied largely, the matching was invalid. Fortunately, most RFT algorithms can provide an accuracy of  $\pm 40$  Hz or higher [13], [14], [25].

On the other hand,  $\Delta f_1$  had a little effect on the output power (less than 15%) in a very wide range ( $\pm 1$  kHz). In practice, the stability of the matching inductor and capacitor is better than 1%, and the variation of  $f_s$  is less than 500 Hz; thus, the value of  $\Delta f_1$  is rarely above 1 kHz even under extreme conditions.

In conclusion, the simulation results indicate that even though the proposed matching method is influenced by the fluctuations in environmental conditions and RFT error, it is still valid in most conditions.

#### B. High-Order $LC$ Matching Networks

Among various network topologies, two kinds of high-order  $LC$  matching networks [39], which are also known as multistage L-section matching networks, are typically the most efficient, and they are shown in Fig. 8. The second kind of circuit shown in Fig. 8(b), which is suitable for stepping-up voltage, is discussed in this paper.

As shown in Fig. 8(b), the relationship between  $Z_n$  and  $Z_{n-1}$  can be expressed as follows:

$$Z_n = 1 / (1/Z_{n-1} + j\omega C_n) + j\omega L_n. \quad (41)$$

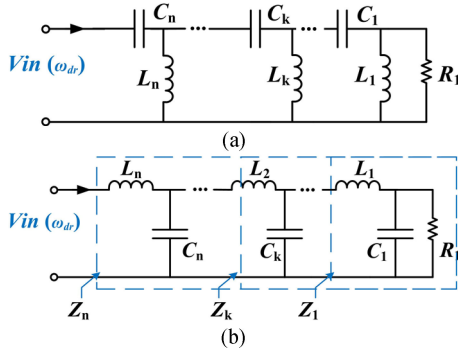


Fig. 8. Two kinds of high-order matching networks. (a) Stepping-up current. (b) Stepping-up voltage.

Considering the condition that the ultrasonic system is under the typically load, i.e.,  $R_1 = R_0$ , to achieve similar functionality as the proposed one-order matching method, we can get  $Z_1 = R_0/2$ ,  $Z_2 = R_0/2^2$ , according to (36), and by analogy  $Z_k = R_0/2^k$  ( $k = 1, 2, \dots, n$ ). Accordingly, the values of  $L_k$  and  $C_k$  in Fig. 8(b) could be determined by (37)

$$L_k = R_0/(2^k \omega_s), C_k = 2^{k-1}/(\omega_s R_0). \quad (42)$$

Substituting (42) and (41) into (16)–(18), and using the same parameters as in part A, the power/ $2^n - 1$ -load curves (including  $S$  and  $P$ ) and the power factor-load curves, for different  $n$ , are depicted in Fig. 9. In Fig. 9, it can be seen that the curves are all periodic and have excellent properties. For the power/ $2^n - 1$ -load curves, the cycle value is 4, and when  $n$  is odd, the curves have the same shape with the one-order network, i.e.,  $S$  is constant. More significantly, Fig. 9(c) reveals a new excellent feature of the circuit presented in Fig. 8(b), that is, when  $n$  is even, the system power factor is always constant and equal to 1. We name the special circuit shown in Fig. 8(b) [with the components' values given by (42)] the *Wang's matching network*. The proposed method against load fluctuation represents a one-order *Wang's matching network*.

In conclusion, due to its special characteristics, *Wang's matching network* has great potential for development. The odd-order networks can improve ultrasonic system tolerance against load fluctuation, thus protecting the system. The even-order networks can keep the power factor at 1, and thereby improve the power transmission efficiency. Besides, high-order matching circuits have the function of increasing power output so that the output power grows exponentially with the order of  $n$ . In practice, the order should be selected based on the requirements and actual conditions.

#### IV. EXPERIMENTS

##### A. Transducers Used in the Experiments

In the verification experiments, an ultrasonic cleaning transducer with the rated power of 100 W was used, and it is shown in Fig. 10(a). Before the experiments, it was installed under a cleaning sink, as shown in Fig. 10(b).

##### B. Ultrasonic Driving and Control System

An ultrasonic driving and control system was built to verify the proposed matching method. The system's schematic diagram of the system is shown in Fig. 11(a). A sinusoidal signal generated by a direct digital synthesis (DDS) chip was amplified by a class D power amplifier

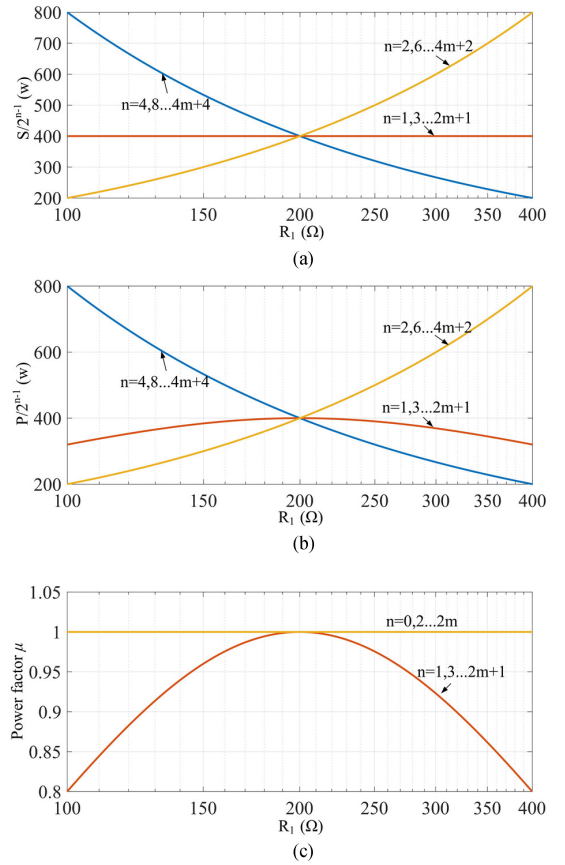


Fig. 9. Power/ $2^n - 1$ -load curves (including  $S$  and  $P$ ) and the power factor-load curves, with different  $n$ , of the proposed high-order matching circuit, where  $m$  are natural numbers. (a) Apparent power/ $2^n - 1$ -load curves. (b) Active power/ $2^n - 1$ -load curves. (c) Power factor-load curve.

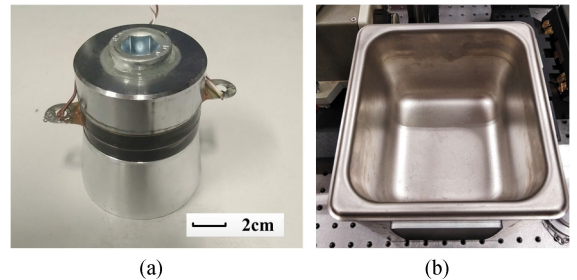


Fig. 10. (a) Transducer and (b) the cleaning sink used in the experiments.

to drive the transducer after matching. Unlike the traditional methods, we used two current sensors to collect two groups of current signals rather than collecting the voltage and current signals. One sensor was installed in the branch of the matching capacitor to sample current signal  $i_2$ , and the other one was installed in the branch of the transducer to sample current signal  $i_L$ . Then,  $i_2$  and  $i_L$  were amplified and filtered by the signal processing circuit. Furthermore, the phase detection circuit and peak detection circuit detected the phase difference  $\theta$ , and the peak values  $I_2$  and  $I_L$  of  $i_2$  and  $i_L$ , respectively. Signals  $I_2$ ,  $I_L$ , and  $\theta$  were gathered by an Advanced RISC Machine (ARM) microprocessor.

According to the equivalent circuit shown in Fig. 11(b), the voltage  $u$  across the transducer was expressed as follows:

$$u = \frac{i_2}{j\omega_{dr} C_2} = \frac{i_0}{j\omega_{dr} C_0}. \quad (43)$$

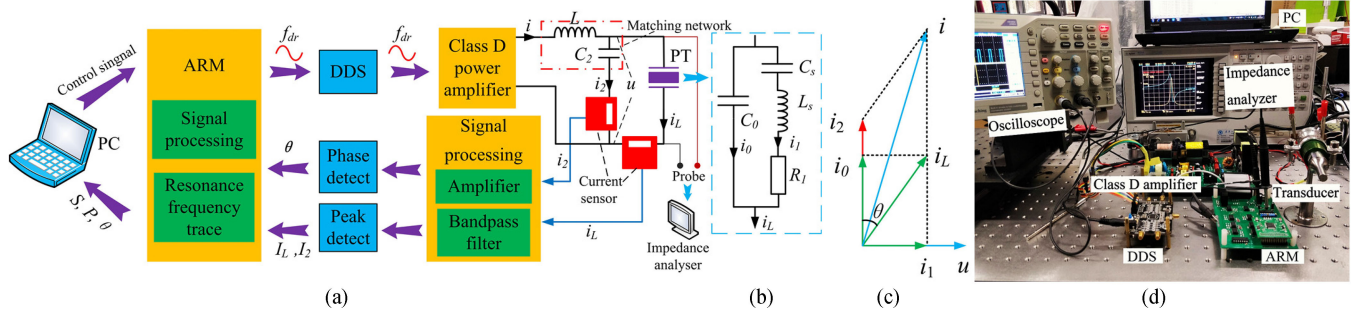


Fig. 11. Ultrasonic driving and control system. (a) System schematic diagram of the system. (b) Equivalent circuit of PT. (c) Vector diagram of the relevant parameters. (d) The physical experimental system.

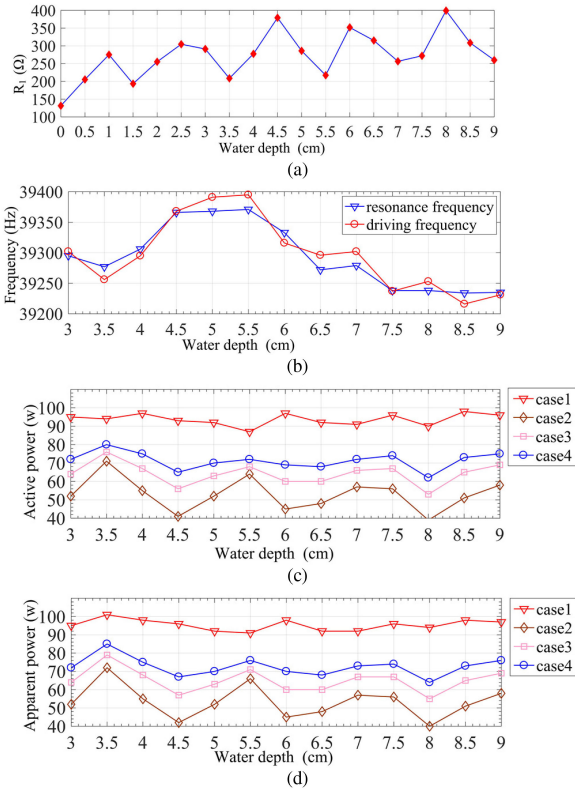


Fig. 12. Experimental results. (a) Change of  $R_1$  over water depth. (b) Change of  $f_s$  over water depth and the driving frequency. (c) Apparent power  $S$  change curves over the water level under different cases. (d) Active power  $P$  change curves over the water level under different cases.

The current  $i_1$  flowing through the series arm of the transducer was defined as follows:

$$i_1 = i_L - i_0 = i_L - i_2 C_0 / C_2. \quad (44)$$

When  $f_{s2}$  was equal to the mechanical resonance frequency  $f_s$ , the series arm was pure resistance, and the phase of  $i_1$  was the same as that of  $u$ . Defining the phase of  $u$  as zero, the vector diagram of the above variables was obtained, and it is illustrated in Fig. 11(b). According to Fig. 11(b), in the experiments, (44) could be expressed in a complex form as follows:

$$i_1 = I_L \sin \theta + j(I_L \cos \theta - I_2 C_0 / C_2). \quad (45)$$

The total current  $i$  was expressed as follows:

$$i = i_L + i_2 = I_L \sin \theta + j(I_2 + I_L \cos \theta). \quad (46)$$

When the phase difference between  $i_1$  and  $u$  was zero, the imaginary component  $M$  of  $i_1$  should have been zero too, which is given by

$$M = I_L \cos \theta - I_2 C_0 / C_2 = 0 \quad (47)$$

where  $M$  denoted the discriminant used in the RFT algorithm. After obtaining  $\theta$ ,  $I_2$ , and  $I_L$ , the ARM microprocessor will calculate the discriminant  $M$  in the following way.

- 1) When  $-\Delta < M < \Delta$  ( $\Delta$  was the given tolerance), the algorithm kept the driving frequency and terminated the searching process.
- 2) When  $M > \Delta$ , the series arm was capacitive, and thus the ARM controlled the DDS to decrease the driving frequency.
- 3) When  $M < -\Delta$ , the series arm was inductive, and the ARM controlled the DDS to increase the driving frequency.

Using a classic algorithm, the binary search, based on the above processes, the RFT algorithm could track the mechanical resonance frequency  $f_s$ . Nonetheless, due to the unavoidable error in signal sampling, when  $\Delta$  was set to a very small value, the algorithm could not converge. After carefully selecting through experiments,  $\Delta$  was set to 0.012, and the search range was set from 38 to 42 kHz. When our developed system, shown in Fig. 11(d), was used, the RFT error was within  $\pm 20$  Hz.

Furthermore, the apparent power  $S$  and active power  $P$  were calculated by

$$S = |u| \cdot |i| = \frac{I_2}{\omega_{dr} C_2} \cdot \sqrt{(I_L \sin \theta)^2 + (I_2 + I_L \cos \theta)^2}, \quad (48)$$

$$P = |u| |i| \cdot \cos \theta_{u,i} = |u| |i_1| = \frac{I_2}{\omega_{dr} C_2} \cdot I_L \sin \theta \quad (49)$$

where  $\theta_{u,i}$  denoted the phase difference between  $u$  and  $i$ . Because the calculations were too complex,  $S$  and  $P$  were calculated in the top computer (PC).

### C. Experimental Results and Discussion

The mechanical loss resistance  $R_1$  of the ultrasonic cleaning transducer was closely associated with the water level, and the relationship between  $R_1$  and the water level was not linear. Because of the effect of a standing wave,  $R_1$  had an obvious cyclical fluctuation as the water level increased.

Water was slowly poured into the ultrasonic cleaning sink that is shown in Fig. 10(b). Note that when the water depth is less than 3 cm, the ultrasonic system cannot reach a stable state, thus we did not record data under 3 cm.

Furthermore,  $R_0$  was set to 300  $\Omega$ . The driving voltage was set to 120 V. As measured by the impedance analyzer,  $R_1$  varied within the range 200–400  $\Omega$ , as shown in Fig. 12(a), which represented the load fluctuation. The resonance frequency  $f_s$  varied in a 200 Hz range, as shown in Fig. 12(b); also, the RFT accuracy was higher than 25 Hz.

TABLE I  
PARAMETERS OF DIFFERENT CASES

Parameters	Case1	Case2	Case3	Case4
Ideal $\rho$	$\sqrt{2}/2$	1/4	1/2	$1/\sqrt{3}$
Actual $\rho$	0.70	0.26	0.5	0.58

TABLE II  
ANALYSIS RESULTS OF EXPERIMENTAL DATA

Parameters	Average value (W)		Mean square error (W)		Maximum fluctuation (W)	
	$P$	$S$	$P$	$S$	$P$	$S$
Case1	93.7	95.3	3.2	3.0	11	10
Case2	53	53.4	8.8	8.9	32	32
Case3	64.1	65	6.0	6.4	23	24
Case4	71.3	72.5	4.6	5.2	18	21

Driven by the ultrasonic driving and control system, the real-time apparent power and active power of the ultrasonic transducer were collected at every 0.5 cm for all the four cases, whose matching parameter  $\rho$  are listed in Table I. The actual matching parameters were slightly different from the ideal matching parameters. Fig. 12(c) and (d) show the power change with the increase in water depth in different cases. The values of relevant parameters after data processing are listed in Table II.

Table II shows that system performance was closely related to the matching parameter  $\rho$ . When  $\rho = \sqrt{2}/2$ , the system performed much better than in other cases. The experimental results were consistent with the simulation results. After matching by using the proposed method, there were still small fluctuations in the output power which was because of the environmental parameters change. However, the degree of these fluctuations was acceptable. In practice, the power fluctuation can severely weaken system performance, and reduce the machining quality. Besides, excessive power can even damage an ultrasonic system. The experimental results show that despite its simple structure the proposed matching method can address these problems well.

Compared with the closed-loop based methods and the adjustable components-based methods, there are only two components included in the proposed method. Thus, in terms of cost and implementation difficulty, the proposed method is much better than closed-loop-based methods and adjustable components-based methods. But apparently, our method is limited in a highly fluctuating environment that the absolute constant power cannot be achieved simply by using such a simple circuit. In high-performance scenarios, to achieve better constant power control, combining the proposed matching circuit and closed-loop control would be a good choice.

## V. CONCLUSION

In this paper, a mathematical model has been developed to analyze the LC matching network for power ultrasonic transducer. A new parameter  $f_0$  was defined to help solving the problem. And keeping the ultrasonic system's output power stable was considered as the primary design objective. According to the model, a high-tolerance matching method against load fluctuation was proposed, where the optimal value of  $f_{LC}$  is determined as  $\sqrt{2}f_s$  ( $\rho = \sqrt{2}/2$ ). The advantages and the sensitivity of the proposed method including the driving frequency error ( $\Delta f$ ) and the matching frequency error ( $\Delta f_1$ ) were analyzed in the simulations. The simulation results suggest that when  $\rho = \sqrt{2}/2$  the transducer performs much better than the others, and the proposed matching method is still valid even if there are some environmental

fluctuations and some error in RFT algorithms. And the experimental results show that the proposed matching method can indeed increase the system's tolerance of load fluctuation dramatically.

Owing to its simple structure and high performance, the proposed matching method can be widely applied in most ultrasonic systems, especially the power ultrasonic systems. For simple cost-effective systems, this method can be applied directly. For complex, high-performance ultrasonic systems, this method could be integrated with other solutions. While using closed-loop systems or adjustable components might make sense in specific systems, they cannot change the transducer's electrical properties radically. Although the proposed method cannot ensure absolute stability of the output, it can be used complementarily to improve the transducer's electrical properties and protect the system.

It is worth mentioning that the high-order matching circuits were also researched and a high-order matching circuit, denominated as *Wang's matching circuit*, was given, which may have much better application potential. When the driving frequency was fixed, the odd-order networks and the even-order networks could keep the apparent power and power factor constant no matter how the resistance changed. This special circuit can be used in other fields, and further research is still needed.

## APPENDIX

See Table III.

TABLE III  
VARIABLES INVOLVED AND THEIR MEANINGS

Variables	Meaning
$C_0$	Statistic Capacitor of PT
$R_p$	Dielectric loss resistance of PT
$C_s$	Dynamic capacitor of PT
$R_1$	Mechanical loss resistance of PT
$L$	Matching inductor
$C$	Matching capacitor
$V_{in}$	Voltage of driving signal
$f_s (\omega_s)$	Mechanical resonance frequency
$f_{ma} (\omega_{ma})$	Frequency used in calculating matching parameters
$f_{dr} (\omega_{dr})$	Frequency of driving signal
$f_{LC}$	LC resonance frequency
$R_0$	Typical load resistance
$\rho$	Ratio of $f_s$ to $f_{LC}$
$\rho_1$	Ratio of $f_{ma}$ to $f_s$
$\rho_2$	Ratio of $f_{dr}$ to $f_s$
$\rho_3$	Ratio of $R_1$ to $R_0$
$Q_m$	Mechanical quality factor
$Z$	Complex impedance of matched PT
$ Z $	Impedance of matched PT
$S$	Apparent power
$P$	Active power
$\mu$	Power factor
$\alpha_1$	Ratio of actual $S$ to typical $S$
$\alpha_2$	Ratio of actual $P$ to typical $P$
$\Delta f$	Driving frequency error (RFT error)
$\Delta f_1$	Matching frequency error
$L_k$	Inductance of the kth matching inductor
$C_k$	Capacitance of the kth matching capacitor
$Z_n$	Complex impedance of PT matched by n-order LC matching network
$M$	Discriminant in the RFT algorithm
$\Delta$	Given tolerance in the RFT algorithm

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