

# Increase in Power Conversion Efficiency of Bidirectional DC–DC Converter Using 1:1 Transformer and Pulse-Frequency Modulation Control

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**Abstract**—This paper proposes a circuit structure of bidirectional dc–dc converter. This structure uses two switches, two capacitors, a 1:1 transformer, and a control circuit for pulse-frequency modulation. The windings of the transformer are connected in a series-aiding configuration to reduce current ripples and to increase power conversion efficiency  $\eta_e$ . The capacitors and the leakage inductance of the transformer provide soft-switching conditions. When the proposed converter was designed to operate at a switching frequency of 110–240 kHz, input/output voltages of 100–400 V, and output power  $P_o$  of 30–300 W,  $\eta_e$  was  $\geq 97\%$  for  $P_o \geq 90$  W and 93.5% at  $P_o = 30$  W. Experimental results show that the proposed converter is suitable for use in photovoltaic power conversion systems and energy storage systems.

**Index Terms**—DC–DC power conversion, photovoltaic power, pulse-frequency modulation (PFM).

## I. INTRODUCTION

CONCEPTUALLY, the bidirectional dc–dc converter (BDC) is a dc–dc power transformer that matches dc voltage levels between two different systems. BDCs have been used in many applications including between the inverter and the battery pack in energy storage system, between the battery pack and the power train in plug-in hybrid electric vehicles, and between the inverter and the photovoltaic panel in solar power systems [1]–[7].

BDCs have been categorized into isolated and nonisolated types. Isolated BDCs [8]–[16] use a transformer to achieve galvanic isolation and to obtain high voltage conversion ratio.

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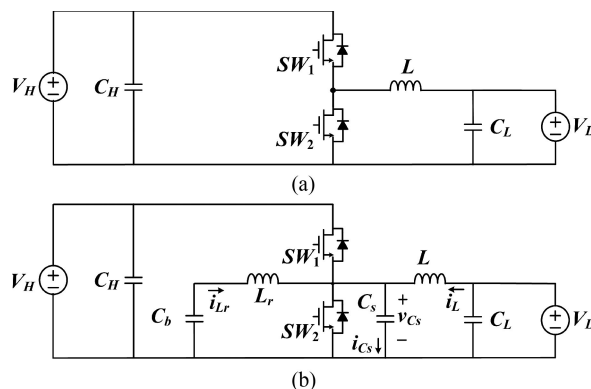


Fig. 1. Schematic diagrams. (a) Conventional nonisolated BDC. (b) Converter of [28].

However, they require more components than the nonisolated BDCs do. Nonisolated BDCs [17]–[32] are relatively simple in structure, have high power conversion efficiency  $\eta_e$ , and can be controlled using simple control circuit, so these BDCs can be small and inexpensive.

The conventional nonisolated BDC [see Fig. 1(a)] is very simple in structure. It can be operated either as a boost converter by using the switch  $SW_2$  and the body diode of  $SW_1$ , or as a buck converter by using  $SW_1$  and the body diode of  $SW_2$ . This converter has some disadvantages; the switches undergo a hard switching and the body diodes are subject to a reverse recovery, that decreases  $\eta_e$ , causes high current stress on switches, hinders the converter from increasing the power density, and produces electromagnetic interference [17].

Many nonisolated BDCs use the conventional nonisolated BDC as a basic structure, and adopt an auxiliary circuit (either active or passive) to obtain a soft-switching condition. Active auxiliary circuits use either a clamping circuit [22], [23], or a zero-voltage transition circuit [24], [25]. These circuits operate just before the turn-ON of main switches to generate a circulation current that turns on the body diode of the main switch, so zero-voltage turn-ON of switches is ensured. The converter of [26] uses a coupled inductor that has high turn ratio, in conjunction with the active clamp circuit in [22], to achieve both high voltage conversion ratio and zero voltage switching (ZVS). BDCs that use an active auxiliary circuit can increase  $\eta_e$ , but

require additional components and a complex control circuit for the auxiliary switches.

Passive auxiliary circuits achieve the ZVS condition using either an inductor-capacitor ( $LC$ ) resonance [27] or an auxiliary inductor current [28]–[30]; the switch in the converter of [27] turns ON at the zero-crossing point of the resonance voltage, and the auxiliary inductor current in the converters of [28]–[30] turns ON the body diode of the switch; these arrangement provides a zero-voltage turning-ON of switch. Passive auxiliary circuits can have simpler circuit structure than active ones because passive auxiliary circuits do not use any additional switches and diodes, but the auxiliary inductor current increases the conduction loss and core loss, and increases the current stress on the switch.

The passive auxiliary circuit in the converter of [28] [see Fig. 1(b)] is composed only of one inductor  $L_r$ , a dc-blocking capacitor  $C_b$  and a snubber capacitor  $C_s$ . The converter operates like the conventional nonisolated BDC [see Fig. 1(a)]. The voltage  $V_{C_s}$  of  $C_s$  is  $V_H$  when  $SW_1$  is turned ON for buck conversion, and it is 0 V when  $SW_2$  is turned ON for boost conversion. When the switch is turned OFF, the inductor currents  $i_L$  and  $i_{L_r}$  charge or discharge  $C_s$ , so the slope of  $V_{C_s}$  variation is controlled by the inductor currents; because of this relationship, the switch turns OFF with zero voltage.  $i_L$  and  $i_{L_r}$  flow through the body diode of switch after charging or discharging  $C_s$  fully, so the switch also turns ON with zero voltage. The converter increases the switching frequency  $f_s$  to decrease the load current; this change also decreases the auxiliary inductor current, so this converter has high  $\eta_e$  even when load is light.

Although the converter of [28] has excellent  $\eta_e$  over a wide range of load variation, the converter has two demerits: first,  $i_L + i_{L_r}$  does not change its sign because of the body diode, so full discharge of  $C_s$  takes a long time, and  $V_{C_s}$  does not drop to 0 V for some voltage conversion ratios  $V_H/V_L$ ; therefore, the converter operates under ZVS condition only for a limited range of  $V_H/V_L$ , and second, the ripples of  $i_L$  and  $i_{L_r}$  are fairly high; if these ripples could be reduced, the inductors would have less core loss and conduction loss. The converters of [31] and [32] use a coupled inductor to reduce the ripple of  $i_L$ , but they require additional switches, diodes, and an auxiliary inductor.

The converter proposed in this paper uses the same circuit structure as the converter of [28], minimizes the inductor current ripples using a 1:1 transformer, and allows  $i_L + i_{L_r}$  to change its sign using synchronous switching. The proposed converter uses pulse-frequency modulation (PFM) to accommodate load variation, and uses a pulse-duty control to vary the voltage conversion ratio. The circuit structure, principle of operation, and design considerations of the proposed converter are given in Section II. The control circuit is described in Section III. Experimental results and discussions are given in Section IV-A and conclusion is given in Section V.

## II. PROPOSED BDC

Under the proposed BDC (see Fig. 2) consists of a transformer, a dc blocking capacitor  $C_b$ , a snubber capacitor  $C_s$ , and

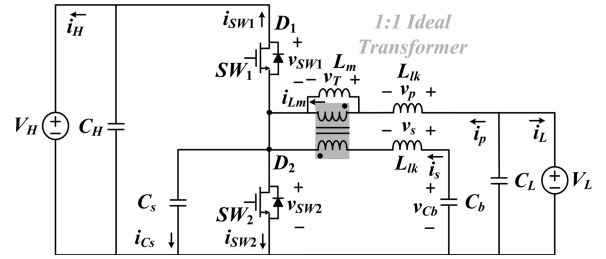


Fig. 2. Schematic diagram of the proposed nonisolated BDC.

two switches  $SW_1$  and  $SW_2$ . The transformer has two windings that have turns-ratio 1:1, and it is represented using a 1:1 ideal transformer, a magnetizing inductance  $L_m$ , and two leakage inductances  $L_{lk}$ . The windings of the transformer are connected in a series-aiding configuration to decrease core loss and core magnetic flux [34].  $C_s$  reduces the switching loss by controlling the turn-ON and turn-OFF slopes of the switch voltages  $v_{SW1}$  and  $v_{SW2}$ . To charge and discharge  $C_s$ ,  $SW_1$  and  $SW_2$  are turned ON alternately with a dead time  $t_d$ .  $C_b$  acts as a dc blocking capacitor and its voltage  $v_{Cb}$  equals to  $V_L$  when the converter operates under a steady-state condition. The primary current  $i_p$  of the transformer is the sum of the secondary current  $i_s$  and the magnetizing current  $i_{L_m}$ . The ripple of  $i_{L_m}$  is small because the windings are connected in a series-aiding configuration that makes the core magnetic flux almost constant.

The advantages of the proposed converter can be summarized as follows.

- 1) It uses one transformer and two capacitors to achieve soft switching, so the circuit structure is very simple.
- 2) It can operate at a high frequency because the switching loss is reduced significantly, so small components can be used.
- 3) It can use PFM to achieve high  $\eta_e$  over a wide range of load.
- 4) It reduces the ripple of  $i_{L_m}$  significantly by connecting the transformer windings in a series-aiding configuration that reduces the power loss and peak magnetic field in the transformer core.

The converter is assumed to operate at a constant  $f_s$  to simplify analysis, although it uses PFM to accommodate for the load variance. Also, the following assumptions have been made to obtain the theoretical waveforms (see Fig. 3:  $L_{lkp} = L_{lks} = L_{lk}$ ; all switches are ideal; and capacitors and inductors are loss free. Then, the voltage and current laws of the circuit give the following equations:

$$v_{Cb} + v_T - v_{SW2} = L_{lk} \frac{di_s}{dt} \quad (1)$$

$$V_L - v_T - v_{SW2} = L_{lk} \frac{di_p}{dt} \quad (2)$$

$$\frac{di_m}{dt} = \frac{di_p}{dt} - \frac{di_s}{dt}. \quad (3)$$

The time averages of inductor voltages  $v_p$ ,  $v_s$ , and  $v_T$  are zero, so  $v_{Cb} \approx V_L$ . Using this information and solving (1) and

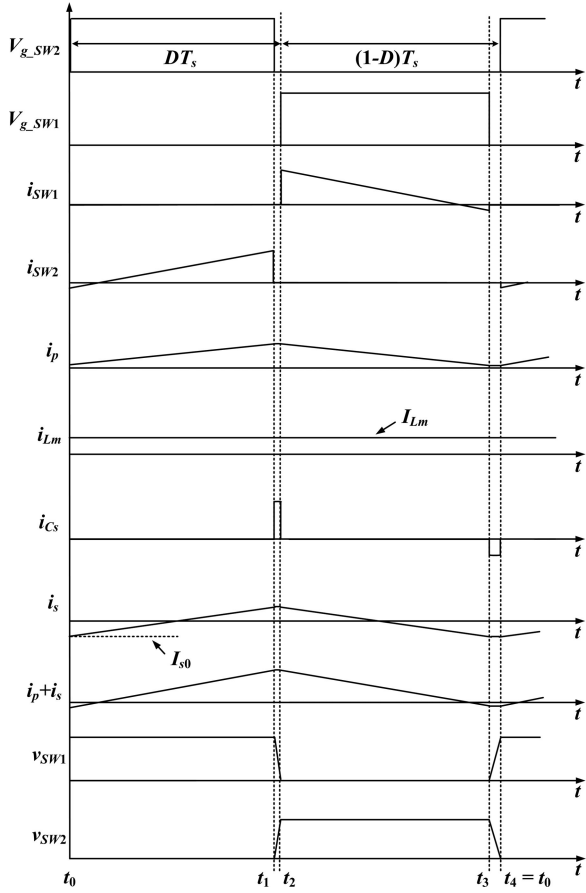


Fig. 3. Theoretical waveforms of currents and voltages of the proposed converter for boost conversion.

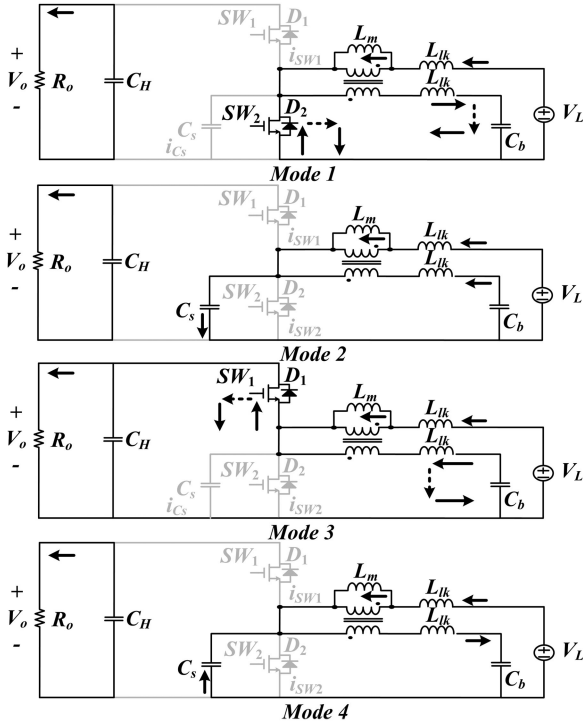


Fig. 4. Equivalent circuits of the proposed converter for boost conversion.

(2) for  $v_T$  yields

$$v_T = L_m \frac{di_m}{dt} \cong -\frac{L_{lk}}{2} \frac{di_m}{dt}. \quad (4)$$

Equations (1)–(4) demonstrate that  $v_T \approx 0$  V for any  $v_{SW2}$ .

#### A. Boost Conversion

Without Initially,  $v_{SW2} = 0$  V,  $i_{SW2} < 0$  A, and diode  $D_2$  is turned ON. For each switching period  $T_s = 1/f_s$ , the converter operates in four sequential modes (see Fig. 4).

The first mode (*Mode 1*, Fig. 4) starts when  $SW_2$  is turned ON at  $t = t_0$ , and ends when  $SW_2$  is turned OFF at  $t = t_1$ . During this period

$$i_s(t) = I_{s0} + \frac{V_L}{L_{lk}}(t - t_0) \quad (5)$$

and

$$i_p(t) = I_{Lm} + I_{s0} + \frac{V_L}{L_{lk}}(t - t_0)$$

because  $v_p = v_s = V_L$ , where  $I_{s0}$  and  $I_{Lm}$  are the initial currents flowing through  $L_{lk}$  and  $L_m$ , respectively.

The second mode (*Mode 2*, Fig. 4) starts when  $SW_2$  is turned OFF at  $t = t_1$ . During this mode,  $C_s$  charged from 0 V to the output voltage  $V_H$  by the current

$$i_{Cs}(t_1) = i_p(t_1) + i_s(t_1) = I_{Lm} + 2I_{s0} + \frac{2V_L}{L_{lk}}(t_1 - t_0).$$

This mode ends at  $t = t_2$  when  $v_{SW2} = V_H$ , so

$$t_2 - t_1 = \frac{C_s V_H}{I_{Lm} + 2I_{s0} + 2V_L(t_1 - t_0)/L_{lk}}$$

the oscillations in  $i_p$  and  $i_s$  are prevented by setting  $t_2 - t_1 \ll 2\pi\sqrt{C_s L_{lk}}$ .

The third mode (*Mode 3*, Fig. 4) starts when the body diode of  $SW_1$  turns ON at  $t = t_2$  and  $SW_1$  is turned ON subsequently. During this mode

$$i_s(t) \approx i_s(t_1) - \frac{(V_H - V_L)}{L_{lk}}(t - t_2) \quad (6)$$

and

$$i_p(t) \approx I_{Lm} + i_s(t_1) - \frac{(V_H - V_L)}{L_{lk}}(t - t_2)$$

because  $t_s(t_1) \approx i_s(t_1)$ ,  $v_{SW2} = V_H$  and  $v_p = v_s = V_L - V_H$ . The energy stored in the transformer is transferred to the load.  $i_p + i_s$  decreases from  $I_{Lm} + 2i_s(t_1)$  to zero, as  $t$  increases. Then,  $i_p + i_s$  changes its sign at  $t = t_3$ , at which time  $SW_1$  is turned OFF and *Mode 3* ends.

The last mode (*Mode 4*, Fig. 4) starts at  $t = t_3$ .  $C_s$  discharges quickly from  $V_H$  to 0 V at a rate of

$$\begin{aligned} i_{Cs}(t_3) &= i_p(t_3) + i_s(t_3) \\ &= I_{Lm} + 2i_s(t_1) - \frac{2(V_H - V_L)}{L_{lk}}(t_3 - t_2) \end{aligned} \quad (7)$$

because  $v_{SW2} = V_H$  at  $t = t_3$ ,  $i_p + i_s < 0$  A, and all current paths through switches are turned OFF. This mode ends when

$v_{SW2} = 0$  V at  $t = t_4$ , so

$$t_4 - t_3 = \frac{-C_s V_H}{I_{Lm} + 2i_s(t_1) - 2(V_H - V_L)(t_3 - t_2)/L_{lk}} \quad (8)$$

the oscillations in  $i_p$  and  $i_s$  are prevented by setting  $t_4 - t_3 \ll 2\pi\sqrt{C_s L_{lk}}$ .

The time average of  $i_s$  is zero, so the following equations are obtained using (5) and (6) after setting  $t_2 - t_1 \ll T_s$  and  $t_4 - t_3 \ll T_s$

$$I_{s0} \approx -\frac{V_L}{2L_{lk}}DT_s = -\frac{(V_H - V_L)}{2L_{lk}}(1-D)T_s \quad (9)$$

$$\frac{V_H}{V_L} \cong \frac{1}{1-D}. \quad (10)$$

The output current  $I_o$  equals the time average of  $i_{SW1}$ , i.e.,

$$I_o = \int_{t_2}^{t_3} (i_p(t) + i_s(t))dt \approx (1-D)I_{Lm}.$$

Accordingly,  $I_{Lm}$  is given by

$$I_{Lm} \cong \frac{I_o}{(1-D)}. \quad (11)$$

## B. Buck Conversion

The  $V_H$  is input and  $V_L$  is output for the buck conversion. The theoretical waveforms (see Fig. 5) were calculated for the equivalent circuits (see Fig. 6). Initially,  $i_s(t_0) = I_{s0}$ ,  $i_p(t_0) = -I_{Lm} + I_{s0}$ , and  $v_{SW2} = V_H$  because the body diode  $D_1$  has been turned ON.

The first mode (*Mode 1*, Fig. 6) starts when  $SW_1$  is turned ON at  $t = t_0$ , and ends when  $SW_1$  is turned OFF at  $t = t_1$ . During this period,  $v_p = v_s = V_H - V_L$ , so

$$i_s(t) = I_{s0} - \frac{(V_H - V_L)}{L_{lk}}(t - t_0) \quad (12)$$

and

$$i_p(t) = -I_{Lm} + I_{s0} - \frac{(V_H - V_L)}{L_{lk}}(t - t_0).$$

The second mode (*Mode 2*, Fig. 6) starts when  $SW_1$  is turned OFF at  $t = t_1$ . During this mode,  $C_s$  discharges from  $V_H$  to 0 V by the current

$$\begin{aligned} i_{C_s}(t_1) &= i_p(t_1) + i_s(t_1) \\ &= -I_{Lm} + 2I_{s0} - \frac{2(V_H - V_L)}{L_{lk}}(t_1 - t_0). \end{aligned}$$

This mode ends at  $t = t_2$  when  $v_{SW2} = 0$  V, so

$$t_2 - t_1 = \frac{-C_s V_H}{-I_{Lm} + 2I_{s0} - 2(V_H - V_L)(t_1 - t_0)/L_{lk}}.$$

The third mode (*Mode 3*, Fig. 6) starts at  $t = t_2$  when the body diode of  $SW_2$  is turned ON and  $SW_2$  is turned ON subsequently. During this mode

$$i_s(t) \approx i_s(t_1) + \frac{V_L}{L_{lk}}(t - t_2) \quad (13)$$

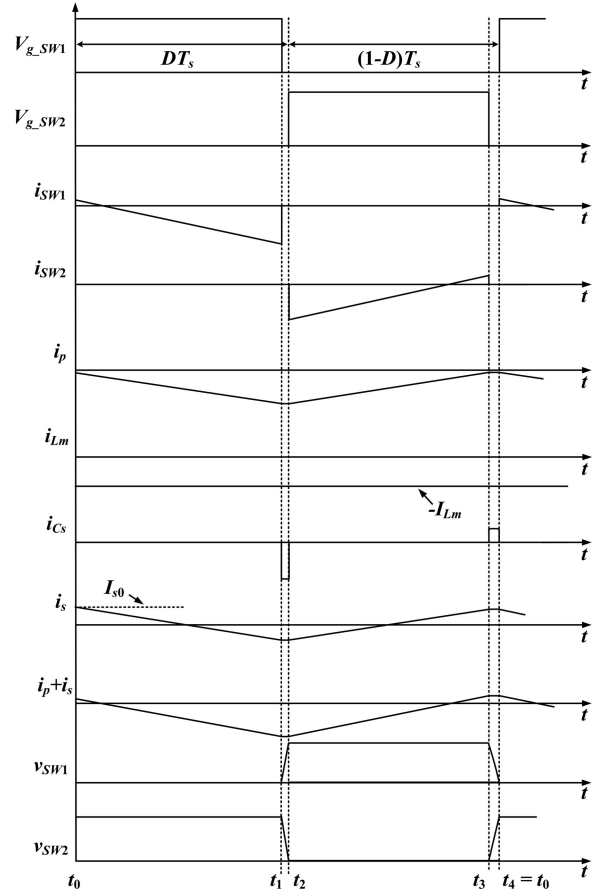


Fig. 5. Theoretical waveforms of currents and voltages of the proposed converter for buck conversion.

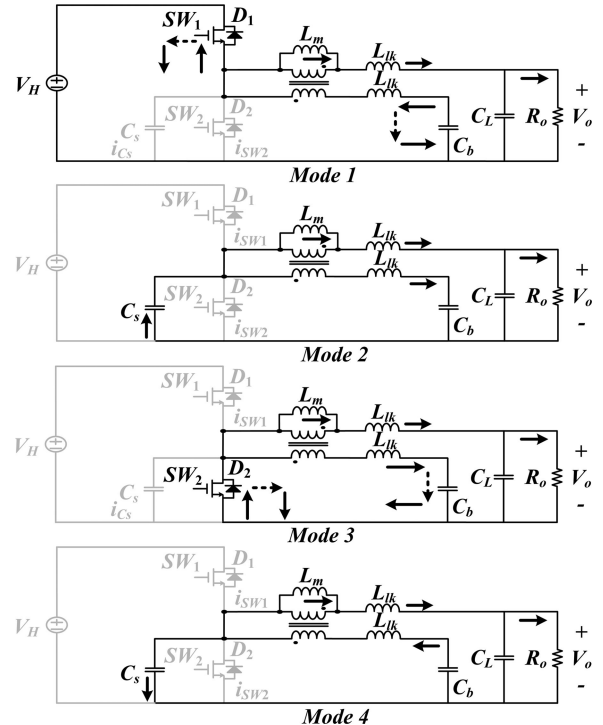


Fig. 6. Equivalent circuits of the proposed converter for buck conversion.

and

$$i_p(t) \approx -I_{Lm} + i_s(t_1) + \frac{V_L}{L_{lk}}(t - t_2)$$

because  $i_s(t_2) \approx i_s(t_1)$ ,  $v_{SW2} = 0$  V and  $v_p = v_s = V_L$ . As  $t$  increases,  $i_p + i_s$  increases from  $-I_{Lm} + 2i_s(t_1)$  to 0. Then,  $i_p + i_s$  changes its sign at  $t = t_3$ , at which time SW<sub>2</sub> is turned OFF and *Mode 3* ends.

The last mode (*Mode 4*, Fig. 6) starts at  $t = t_3$ .  $C_s$  charges quickly from 0 V to  $V_H$  at a rate of

$$i_{C_s}(t_3) = i_p(t_3) + i_s(t_3) = -I_{Lm} + 2i_s(t_1) + \frac{V_L}{L_{lk}}(t_3 - t_2)$$

because  $v_{SW2} = 0$  V at  $t = t_3$ ,  $i_p + i_s > 0$  A, and all current paths through switches are turned OFF. This mode ends at  $t = t_4$  when  $v_{SW2} = V_H$ , so

$$t_4 - t_3 = \frac{C_s V_H}{-I_{Lm} + 2i_s(t_2) + 2V_L(t_3 - t_2)/L_{lk}}.$$

Using (12) and (13), and the fact that the time average of  $i_s$  is zero, the following equations are obtained after setting  $t_2 - t_1 \ll T_s$  and  $t_4 - t_3 \ll T_s$

$$I_{s0} \approx \frac{(V_H - V_L)DT_s}{2L_{lk}} = \frac{V_L}{2L_{lk}}(1 - D)T_s$$

$$\frac{V_L}{V_H} \cong D. \quad (14)$$

As the time average value of  $i_p$  equals  $I_o$ , and the time average of  $i_s$  is zero, the magnetizing current  $I_{Lm}$  is obtained as

$$I_o \cong \frac{1}{T_s} \int_{t_0}^{t_1} -(i_p(t) - i_s(t))dt - \int_{t_2}^{t_3} (i_p(t) - i_s(t))dt \cong I_{Lm}.$$

### C. Design Considerations

Under light load, to achieve zero-voltage turn-ON of switches for boost conversion,  $i_{C_s}(t_1) > 0$  and  $i_{C_s}(t_3) < 0$  are required to charge/discharge  $C_s$  fully. From (5), (7), (9), and (11), the condition  $i_{C_s}(t_1) > 0$  is satisfied because

$$i_p(t_1) + i_s(t_1) = I_{Lm} + V_L DT_s / L_{lk} > 0$$

and the condition  $i_{C_s}(t_3) < 0$  is represented for  $t_2 - t_1 \ll 2\pi\sqrt{C_s L_{lk}}$  as

$$i_p(t_3) + i_s(t_3) \approx I_{Lm} - \frac{V_L}{L_{lk}} DT_s < 0.$$

The requirement of  $L_{lk}$  for zero-voltage turning-ON of switches is obtained using (11) as

$$L_{lk} < \frac{V_L}{I_o} D(1 - D)T_s. \quad (15)$$

This condition results in  $L_{lk} < 102 \mu\text{H}$  when the converter operates at  $V_L = 100$  V,  $150 \leq V_H \leq 400$  V,  $0.34 \leq D \leq 0.75$ ,  $110 \leq f_s \leq 240$  kHz, and  $0.075 \leq I_o \leq 2$  A.

The value of  $L_{lk}$  should be determined after considering  $I_{s0}$  and the structure of the core. An increase of  $L_{lk}$  decreases  $I_{s0}$  so the conduction loss of switches decreases, but dead time

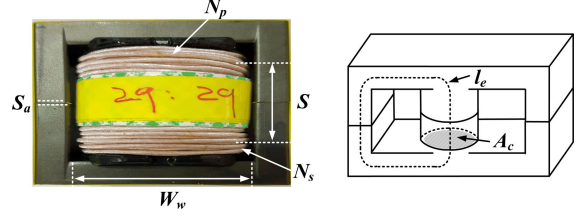


Fig. 7. Core and winding structures of the 1:1 transformer.

increases so the available  $D$  decreases. Transformer  $T_1$  (see Fig. 7) was fabricated using an EER 4042 ferrite core that has a window width  $W_w = 3.15$  cm, turns-number  $N = 29$ , a space  $S = 2$  cm between two windings, an air-gap length  $S_a = 0.12$  mm, a relative permeability  $\mu_r = 3000$ , and a mean-length-per-turn  $MLT = 9$  cm.  $L_{lk}$  for  $T_1$  was obtained as [35]

$$L_{lk} = \frac{\mu_0 \mu_a N^2 (MLT)(S + S_a)}{W_w} = 60.7 \mu\text{H}$$

where  $\mu_0$  is the vacuum permeability and  $\mu_a = 1$  is the relative permeability of air gap; the fabricated  $T_1$  had  $L_{lk} = 56.5 \mu\text{H}$ , which resulted in  $-6.02 < i_p(t_4) + i_s(t_4) < -2.40$  A.

The self-inductance  $L$  for a given  $S_a$  is given by [36] and [37]

$$L = L_m + L_{lk} = \frac{\mu_0 N^2 A_c}{2S_a + l_e / \mu_r} = 677.7 \mu\text{H}$$

where  $A_c = 1.75$  cm<sup>2</sup> is the effective cross-sectional area of the core and  $l_e = 9.87$  cm is the mean magnetic path length. To prevent core saturation,  $L_m$  should satisfy

$$kL = L_m < \frac{2S_a A_c B_{\text{sat}}^2}{I_{Lm, \text{max}}^2 \mu_0}$$

where  $I_{Lm, \text{max}}$  is the highest value of magnetizing current, and  $0 < k < 1$  is the coupling coefficient. The above equation gives  $L_m < 820 \mu\text{H}$  for  $I_{Lm, \text{max}} = 3$  A,  $S_a = 0.12$  mm,  $A_c = 1.75$  cm<sup>2</sup>, and the saturation magnetic field  $B_{\text{sat}} = 0.47$  T;  $L_m = 510 \mu\text{H}$  was used in the experiment to prevent core saturation.

The proposed converter is assumed to operate under the condition

$$f_s \gg f_r = \frac{1}{2\pi\sqrt{C_b L_{lk}}}$$

so that  $i_p$  and  $i_s$  vary linearly in time. The ripple voltage  $\Delta v_{C_b}$  of  $C_b$  is estimated using (5) as

$$\Delta v_{C_b} = \frac{1}{2} \frac{V_L}{C_b L_{lk}} (DT_s)^2.$$

Allowing  $\Delta v_{C_b} < 0.02V_L$ ,  $C_b$  should satisfy

$$C_b > \frac{25}{L_{lk}} (DT_s)^2.$$

From (10), the longest  $D = 0.75$  when  $V_L = 100$  V and  $150 \leq V_H \leq 400$  V, so  $C_b > 13 \mu\text{F}$  for  $L_{lk} = 56.5 \mu\text{H}$ , and  $110 \leq f_s \leq 240$  kHz; the experimental converter used  $C_b = 20 \mu\text{F}$ .

When the switches have a rising time  $t_r$  and a falling time  $t_f$ , the condition  $t_4 - t_3 > t_r + t_f$  is required to reduce the

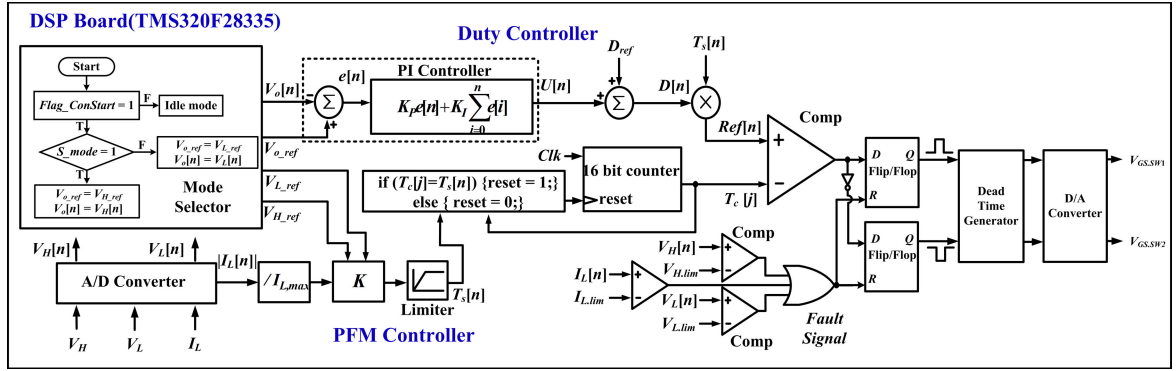


Fig. 8. Block diagram of the digital controller for the proposed converter.

switching loss. Also, to increase the available duty  $D$ ,  $C_s$  should have a discharging time  $t_4 - t_3 < T_s/10$ . Using (8), (9), and (11) yields

$$\left| \frac{I_o}{V_L} - \frac{(1-D)DT_s}{L_{lk}} \right| (t_r + t_f) < C_s < \left| \frac{I_o}{V_L} - \frac{(1-D)DT_s}{L_{lk}} \right| \frac{T_s}{10}. \quad (16)$$

Considering that the experimental switches (FCH110N65F nMOSFET from Fairchild) have  $t_r + t_f = 26.7$  ns, condition (16) results in  $0.45 \leq C_s \leq 5.44$  nF for  $V_L = 100$  V,  $150 \leq V_H \leq 400$  V,  $0.34 \leq D \leq 0.75$ ,  $110 \leq f_s \leq 240$  kHz, and  $0.075 \leq I_o \leq 2$  A; the converter had  $C_s = 2.2$  nF to shorten the duration of *Mode 4*.

### III. DIGITAL CONTROLLER

The main switch and output voltage for the boost conversion are  $SW_2$  and  $V_H$ , respectively, and those for the buck conversion are  $SW_1$  and  $V_L$ . The digital controller for the proposed converter (see Fig. 8) was implemented using a 32-b digital signal processor (TMS320F28335 from Texas Instruments with 150-MHz clock, 12-b ADC, and 12-ch PWM). The inputs for the controller are the low-side current  $I_L$ , the reference voltages  $V_{H,ref}$  and  $V_{L,ref}$ , the measured voltages  $V_H$  and  $V_L$ , and the type of operation (buck or boost). The voltage selector selects  $V_{H,ref}$  and  $V_H$  when the type of operation is boost (Flag\_ConStart = 1, S\_mode = 1), and selects  $V_{L,ref}$  and  $V_L$  otherwise. After calculating the error signal  $V_{H,ref} - V_H$  or  $V_{L,ref} - V_L$  and obtaining the proportional–integral (PI) controller output  $U[n]$ , a PWM reference duty Ref[n] is obtained by multiplying  $U[n]$  with the time-base switching period  $T_s[n]$ .

The low-side current  $I_L$  was measured and normalized with the low-side maximum current  $I_{L,max}$ . Then, the normalized current was multiplied with a constant  $K$  to obtain a signal that determines the switching period

$$T_s[n] = \frac{f_{clk}}{f_s} - 1$$

where  $f_{clk} = 150$  MHz is the clock frequency for the 16-b counter; PFM is achieved by resetting the 16-b counter when the counter output  $T_c(j)$  reaches to  $T_s[n]$ ;  $f_s$  decreases as  $I_L$

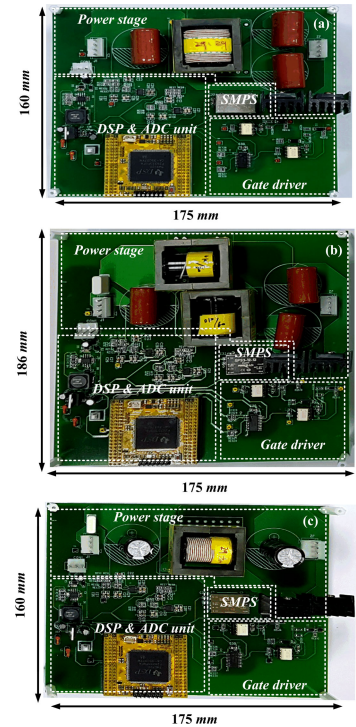


Fig. 9. Photographs of the (a) proposed BDC, (b) converter of [28], and (c) conventional bidirectional converter.

increases. The switch control pulses are obtained by comparing  $T_c(j)$  with Ref[n] and using the flip/flops and the dead time generator.

### IV. EXPERIMENTAL RESULTS

The proposed converter [see Fig. 9(a)] was built using the circuit parameters in Table I, and tested. It was designed to operate at  $V_L = 100$  V,  $150 \leq V_H \leq 400$  V,  $0.34 \leq D \leq 0.75$ ,  $110 \leq f_s \leq 240$  kHz, and  $0.075 \leq I_o \leq 2$  A. The PI coefficients of the controller were  $k_p = 0.02$  and  $k_i = 0.2$ , and the data sampling rate was 20 kHz. The switching frequency  $f_s$  was varied in the range of 140–240 kHz as  $P_o$  varied in the range of 30–300 W at  $V_L = 100$  V,  $V_H = 200$  V. The dead time was  $0.266$   $\mu$ s.

TABLE I  
POWER LOSSES IN THE LLC RESONANT CONVERTER UNDER DIFFERENT CONTROLS

Parameter	Symbol	Value
N-MOSFET	$SW_1, SW_2$	FCH110N65F
Magnetizing inductance	$L_m$	510 $\mu$ H
Leakage inductance	$L_{lk}$	56.5 $\mu$ H
DC blocking capacitor	$C_b$	20 $\mu$ F
Snubber capacitor	$C_s$	2.2 nF
Filter capacitor	$C_H, C_L$	4.4 $\mu$ F

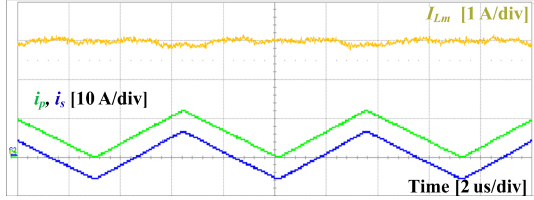


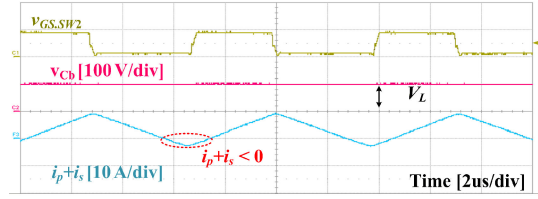
Fig. 10. Waveforms for  $i_{Lm}$ ,  $i_p$ , and  $i_s$ ; measured at  $V_L = 100$  V,  $V_H = 200$  V, and  $P_o = 300$  W, while the converter operated in boost mode.

For a comparison, the converter of [28] [see Fig. 9(b)] and the conventional bidirectional converter [17] [see Fig. 9(c)] were also built and tested. To ensure fair comparison, these converters used the same switches (FCH110N65F nMOSFET from Fairchild) as the proposed one. The inductor  $L$  for the conventional converter was fabricated using the EER 4042 ferrite core with  $N = 29$ , which resulted in an inductance of 1.2 mH. The conventional converter was operated in continuous conduction mode (CCM) to reduce the current ripple; it operated at  $f_s = 140$  kHz to ensure CCM operation at  $P_o = 30$  W. The converter of [28] used two identical inductors, instead of the 1:1 transformer in the proposed converter. These inductors were also fabricated using the EER 4042 ferrite cores with  $N = 12$ , that resulted in  $L_r = 56$   $\mu$ H.  $C_b = 20$   $\mu$ F and  $L_r = 56$   $\mu$ H for the converter of [28]; these are the same as the  $C_b$  and  $L_{lk}$  in the proposed converter.

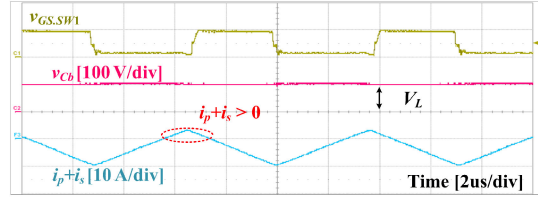
The waveforms for  $i_{Lm}$ ,  $i_p$ , and  $i_s$  (see Fig. 10) were measured at  $V_L = 100$  V,  $V_H = 200$  V, and  $P_o = 300$  W, while operating the converter in boost mode; they show that  $i_{Lm}$  varied much less than  $i_p$  and  $i_s$ . Considering that the core loss decreases as the ripple of  $i_{Lm}$  decreases, the proposed converter is expected to minimize core loss.

The waveforms for  $v_{GS,SW1}$ ,  $v_{GS,SW2}$ ,  $v_{Cb}$ , and  $i_p + i_s$  were measured at  $V_L = 100$  V,  $V_H = 200$  V, and  $P_o = 300$  W, while operating the converter either in boost mode [see Fig. 11(a)], or in buck mode [see Fig. 11(b)]. The waveforms show that  $v_{Cb} \approx V_L$ ,  $i_p + i_s < 0$  A at the turn-ON instance of  $SW_2$  for the boost conversion, and  $i_p + i_s > 0$  A at the turn-ON instance of  $SW_1$  for the buck conversion; these are required to operate the converter properly.

The waveforms for  $v_{SW1}$ ,  $v_{SW2}$ ,  $i_{SW1}$ , and  $i_{SW2}$  (see Fig. 12) were measured at  $V_L = 100$  V,  $V_H = 200$  V, and  $P_o = 30 - 300$  W, while operating the converter either in boost mode or in buck mode. The waveforms for boost mode [see Fig. 12(a)] show the following.

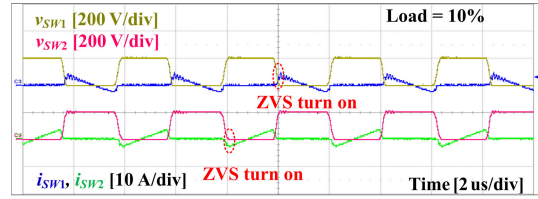


(a)

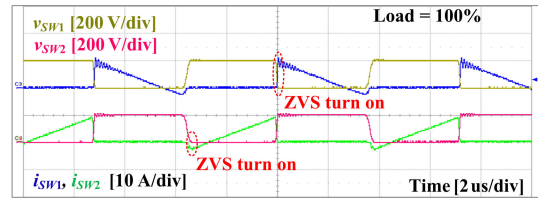


(b)

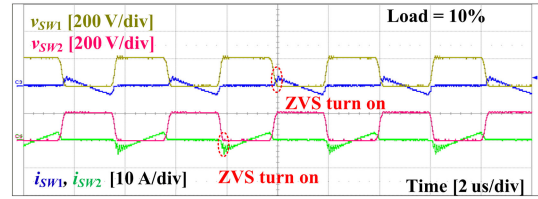
Fig. 11. Waveforms for  $v_{GS,SW1}$ ,  $v_{GS,SW2}$ ,  $v_{Cb}$ , and  $i_p + i_s$ ; measured at  $V_L = 100$  V,  $V_H = 200$  V, and  $P_o = 300$  W, while the converter operated in (a) boost mode and (b) buck mode.



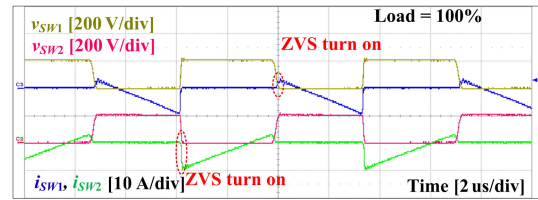
(a)



(a)



(b)



(b)

Fig. 12. Waveforms for  $v_{SW1}$ ,  $v_{SW2}$ ,  $i_{SW1}$ , and  $i_{SW2}$ ; measured at  $V_L = 100$  V,  $V_H = 200$  V, and  $P_o = 30 \sim 300$  W, while the converter operated in (a) boost mode and (b) buck mode.

- 1)  $C_s$  discharged fully and  $i_{SW2} < 0$  A ( $i_{SW2}$  flowed through  $D_2$ ) before the turn-ON of  $SW_2$ ; this behaviors indicate that  $SW_2$  had ZVS turn-ON for Mode 1 of boost operation.

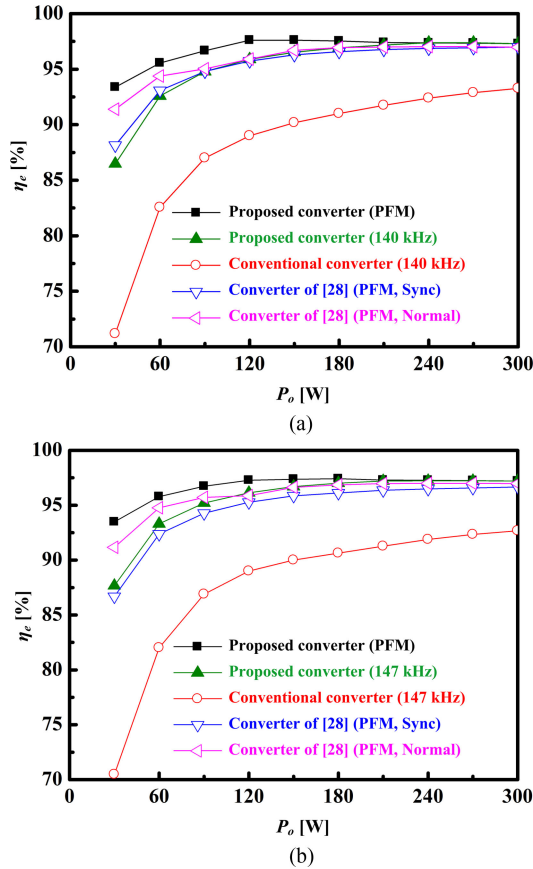


Fig. 13.  $\eta_e$  versus  $P_o$  at  $V_L = 100$  V and  $V_H = 200$  V. (a) Boost. (b) Buck conversions.

- 2)  $V_{C_s}$  increased to  $V_H$  when  $SW_2$  was turned OFF; this result indicates that  $SW_1$  had ZVS turn-ON for *Mode 3* of boost operation.
- 3)  $f_s$  decreased as the load increased. The waveforms for buck mode [see Fig. 12(b)] also show that  $SW_1$  and  $SW_2$  had ZVS turn-ON.

$\eta_e$  versus  $P_o$  for the boost conversion [see Fig. 13(a)] was measured at  $V_L = 100$  V and  $V_H = 200$  V while the proposed converter was operated either in the PFM mode with a synchronous switching, or was operated at  $f_s = 140$  kHz; for comparison,  $\eta_e$  versus  $P_o$  was also measured for the conventional bidirectional converter [17] and the converter of [28] under the same measurement condition. When the proposed converter was operated in PFM mode,  $\eta_e \geq 97\%$  for  $P_o > 120$  W, but decreased as  $P_o$  decreased from 120 to 30 W ( $\eta_e = 93.5\%$  at  $P_o = 30$  W). When the proposed converter was operated at  $f_s = 140$  kHz,  $\eta_e \geq 97\%$  for  $P_o > 210$  W, but decreased to 87.7% at  $P_o = 30$  W. The conventional bidirectional converter had  $\eta_e = 93.3\%$  at  $P_o = 300$  W, but its  $\eta_e$  decreased gradually to 71.2% at  $P_o = 30$  W because the switching loss was independent of  $P_o$ . The converter of [28] had  $\eta_e$  vs.  $P_o$  that was very close to one of the proposed converter operating at  $f_s = 140$  kHz:  $\eta_e = 97\%$  at  $P_o = 300$  W, and 88.1% at  $P_o = 30$  W. When the converter of [28] was operated in PFM mode without synchronous switching (the converter of [28]: normal-switching, using  $SW_2$  and the body diode of  $SW_1$ ), com-

plete discharge of  $C_s$  took a fairly long time. The available duty cycle was reduced and the range of  $f_s$  was  $170 \leq f_s \leq 380$  kHz at  $V_L = 100$  V,  $V_H = 200$  V and  $30 \leq P_o \leq 300$  W. This type of operation had  $\eta_e$  slightly lower than that of the proposed converter:  $\eta_e = 97\%$  at  $P_o = 300$  W, and 91.4% at  $P_o = 30$  W. When the converter of [28] was operated in PFM mode with synchronous switching (the converter of [28]: sync-switching, using both  $SW_2$  and  $SW_1$ ),  $C_s$  discharged fully in a short time, but  $\eta_e$  decreased further when load was light.  $\eta_e$  versus  $P_o$  for the buck conversion [see Fig. 13(b)] was quite similar to that for the boost conversion.

The switching loss could be minimized by operating the proposed converter at  $f_s = 140$  kHz, which was the lowest  $f_s$  for PFM operation, but the current through switches and transformer increased, so the conduction loss increased. Because of this,  $\eta_e$  at  $f_s = 140$  kHz was lower than that of PFM operation.  $\eta_e$  of the converter of [28]: sync-switching was lower than that of the proposed converter operating in PFM mode, because the converter of [28] had higher inductor current ripples than the proposed one, which increased the core and conduction losses. The core loss influenced  $\eta_e$  less at  $P_o = 300$  W for which  $f_s = 140$  kHz, than that at  $P_o = 30$  W for which  $f_s = 240$  kHz;  $\eta_e$  of the converter of [28]: sync-switching was lower than that of the proposed converter by 5.2% at  $P_o = 30$  W and by 0.2% at  $P_o = 300$  W. Without synchronous switching,  $f_s$  of the converter of [28] increased, but the circulating current was minimized at  $V_H/V_L = 2$ , so  $\eta_e$  was lower than that of the proposed converter by 2% at  $P_o = 30$  W, and by 0.3% at  $P_o = 300$  W.

$\eta_e$  versus  $P_o$  for boost conversion was measured also at  $V_L = 100$  V and  $V_H = 400$  V [see Fig. 14(a)]. The proposed converter under PFM control had  $\eta_e \geq 95\%$  at  $P_o > 210$  W, but  $\eta_e$  decreased as  $P_o$  decreased ( $\eta_e = 81\%$  at  $P_o = 30$  W). When the proposed converter was operated at  $f_s = 147$  kHz,  $\eta_e < 95\%$  for  $P_o < 300$  W and decreased to 74.25% at  $P_o = 30$  W.  $\eta_e$  of the converter of [28]: normal-switching was very close to that of the proposed converter operating at  $f_s = 147$  kHz:  $\eta_e = 94.63\%$  at  $P_o = 300$  W, and 76.5% at  $P_o = 30$  W.  $\eta_e$  of the converter of [28]: sync-switching was 93.44% at  $P_o = 300$  W and 67.29% at  $P_o = 30$  W.  $\eta_e$  of the conventional bidirectional converter was 73.7% at  $P_o = 120$  W, but it decreased rapidly to 42.52% at  $P_o = 30$  W; this converter failed at  $P_o > 120$  W.  $\eta_e$  versus  $P_o$  for the buck conversion at  $V_H = 400$  V [see Fig. 14(b)] was also similar to that for the boost conversion, except that the converter of [28]: normal-switching failed at any  $P_o$  because  $V_H/V_L = 4$ .

$\eta_e$  versus  $V_H$  at  $P_o = 300$  W and  $V_L = 100$  V (see Fig. 15) shows that  $\eta_e$ s for the boost and buck conversions decreased slightly as the voltage conversion ratio  $V_H/V_L$  increased.  $D$  increased as  $V_H/V_L$  increased, as given in (10) and (14), so both  $i_p$  and  $i_s$  increased as  $V_H/V_L$  increased.  $\eta_e$  for boost conversion was 97.9% at  $V_H = 150$  V, 97.3% at  $V_H = 200$  V, 96.1% at  $V_H = 300$  V, and 95% at  $V_H = 400$  V, whereas that for buck conversion was 97.7%, 97.2%, 96%, and 95.1%, respectively. the converter of [28]: normal-switching could not be operated for  $V_H < 190$  V in boost mode conversion, and only for  $V_H < 210$  V in buck mode conversion, because  $v_{SW1}$  and  $v_{SW2}$  did not reach to 0 V; switches were destroyed by the current peak due to

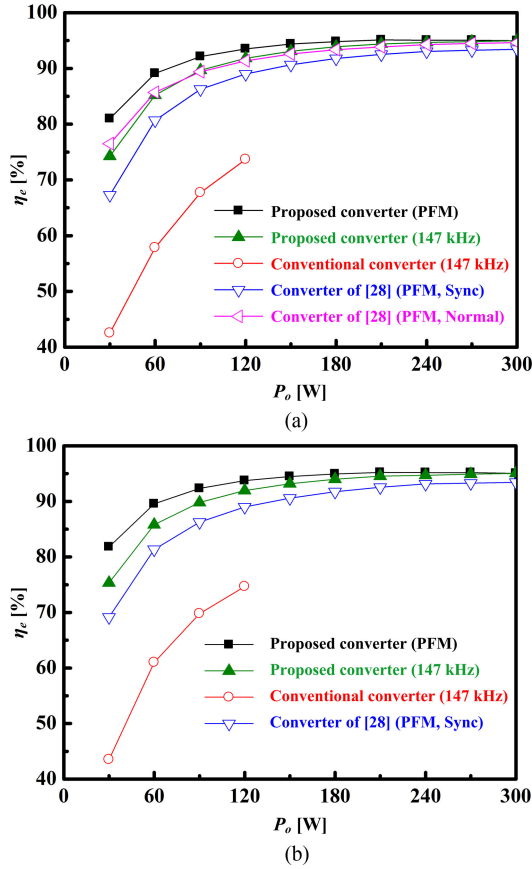


Fig. 14.  $\eta_e$  versus  $P_o$  at  $V_L = 100$  V and  $V_H = 400$  V. (a) Boost. (b) Buck conversions.

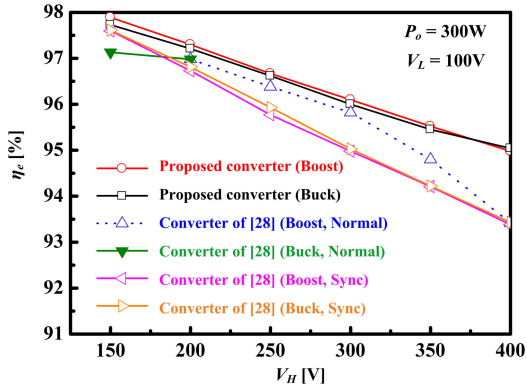


Fig. 15.  $\eta_e$  versus  $V_H$  for boost and buck mode conversions.

the hard switching, so the converter could operate bidirectionally only for  $V_H/V_L \approx 2$ . With synchronous switching, the converter of [28] operated properly at  $150 \leq V_H \leq 400$  V;  $\eta_e$  for the boost conversion was 97.6% at  $V_H = 150$  V, 96.7% at  $V_H = 200$  V, 95% at  $V_H = 300$  V, and 93.4% at  $V_H = 400$  V, whereas that for the buck conversion was 97.6%, 96.8%, 95%, and 93.4%, respectively.

the converter of [28]: normal-switching could not be operated for  $V_H < 190$  V in boost mode conversion, and only for  $V_H < 210$  V in buck mode conversion. The cause of failure could be understood from the waveforms at  $V_L = 100$  V,

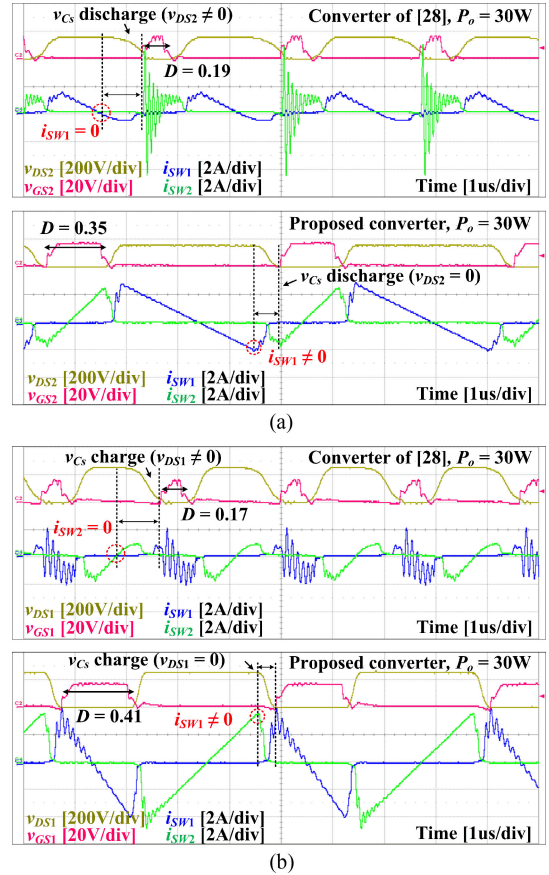


Fig. 16. Waveforms of  $i_{SW1}$ ,  $i_{SW2}$ ,  $v_{DS1}$ ,  $v_{DS2}$ ,  $v_{GS1}$ , and  $v_{GS2}$  at  $V_L = 100$  V and  $P_o = 30$  W. (a) Boost conversion for  $V_H = 150$  V. (b) Buck conversion for  $V_H = 250$  V.

$V_H = 150$  V, and  $P_o = 30$  W for boost conversion, and at  $V_L = 100$  V,  $V_H = 250$  V, and  $P_o = 30$  W for buck conversion. For boost conversion at  $V_H/V_L = 1.5$  [see Fig. 16(a)], the converter of [28]: normal-switching could not discharge  $C_s$  fully. This remnant charge in  $C_s$  produced high-frequency ringing in  $i_{SW2}$ , because  $C_s$  and the parasitic inductance of  $SW_2$  formed a resonance circuit when  $SW_2$  was turned ON. This high frequency ringing caused a switch failure at  $P_o = 300$  W; the high frequency ringing in  $i_{SW2}$  was reduced significantly in the proposed converter. For buck conversion at  $V_H/V_L = 2.5$  and  $P_o = 30$  W [see Fig. 16(b)], the converter of [28]: normal-switching could not charge  $C_s$  to  $V_H$ . In this case, the remnant charge in the output capacitor of  $SW_1$  produced high frequency ringing in  $i_{SW1}$  and caused a switch failure at  $P_o = 300$  W; the proposed converters achieved ZVS condition, although they also had some ringing in  $i_{SW1}$ .

The power losses in the proposed converter, the converter of [28]: normal-switching, and the conventional converter were analyzed at  $V_L = 100$  V,  $V_H = 200$  V, and  $P_o = 300$  W using a circuit simulator. The total power losses for boost conversion (see Fig. 17) were 5.76 W (proposed), 9.53 W (converter of [28]), and 18.82 W (conventional). The percentile core, winding, switch, and capacitor losses in the proposed converter were 3.5%, 51.2%, 43.9%, and 1.4%, respectively, and the ones in

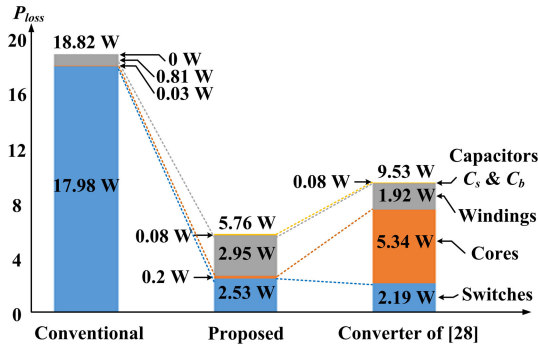


Fig. 17. Power losses in the proposed converter, the converter of [28], and the conventional converter for boost conversion at  $V_L = 100$  V,  $V_H = 200$  V, and  $P_o = 300$  W.

the converter of [28] were 56%, 20.2%, 23%, and 0.8%, respectively. In contrast to these, the switch loss took 95% of the power loss in the conventional converter. (The lower losses for buck conversion were similar to those for boost conversion.)

The switch loss in the conventional converter was highest, because the switches were subjected to a hard switching. The switch loss in the proposed converter was slightly higher than that in the converter of [28] because the synchronous switching allowed the reverse current of switch. The core loss in the proposed converter was as low as 0.2 W because the ripple of magnetic flux was minimized by connecting the windings of transformer in a series-aiding configuration, but the winding loss was 1.5 times higher than that in the converter of [28] because the number of turns for the transformer was increased. The core loss in the converter of [28] was 5.34 W, which is 27 times higher than the core loss in the proposed converter.

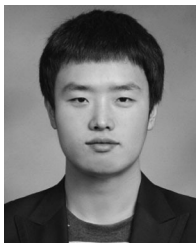
## V. CONCLUSION

This paper has proposed a circuit structure of a BDC that can achieve high power conversion efficiency over a wide range of output power. The proposed converter uses a 1:1 transformer, two switches, two capacitors, and a control circuit for PFM. The windings of transformer are connected in a series-aiding configuration to reduce inductor current ripples and to improve power conversion efficiency  $\eta_e$ . The capacitors and the leakage inductance of transformer provide soft-switching conditions. Experimental results at a switching frequency of 110–240 kHz, an input/output voltages of 100–400 V, and an output power  $P_o$  of 30–300 W, achieved  $\eta_e \geq 97\%$  for  $P_o \geq 90$  W, and  $\eta_e = 93.5\%$  even at  $P_o = 30$  W; these results demonstrate that the proposed converter is well suited to photovoltaic power-conversion and energy-storage systems.

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