

# Parallel of Two Unidirectional AC–DC–AC Three-Leg Converters to Improve Power Quality

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**Abstract**—A unidirectional single-phase ac–dc–ac converter is proposed and analyzed in this paper. It is composed of paralleled rectifiers and inverters sharing two legs with two dc-link capacitor voltages. Models and a control strategy of the systems including circulating currents are developed. Compared to the conventional four-leg and three-leg (4L and 3L) single-phase ac–dc–ac converters, the proposed topology allows the decreasing of the current and power ratings of the power switches, the harmonic distortion, and semiconductors power losses. And compared to the unidirectional three-leg ac–dc–ac converter, it allows us to decrease the current and power ratings of the power switches and the harmonic distortion. In addition, a modified interleaved pulse width modulation (PWM) strategy using four-carrier PWM is presented, ensuring five-level voltage at the input and output sides of the converter. Simulation and experimental results are also presented to demonstrate the viability of the proposed circuit.

**Index Terms**—AC–DC–AC converter, circulating current, single-phase converter, unidirectional converter.

## I. INTRODUCTION

IN ORDER to reduce the cost of the converter, topologies in which the front-end rectifier is half-controlled has been proposed in the technical literature. These converters replace controlled switches with uncontrolled switches, for instance, insulated gate bipolar transistor (IGBT) by diodes. Half-controlled or semicontrolled rectifiers may be used for dc-motor drives, dc-power supplies, for generating the dc-link of inverters, in telecommunications, aerospace, industrial, commercial, and military applications [1]–[7]. In the last decade the interest of half-controlled rectifiers have been increased for applications in wind generation systems and battery charging for plug-in hybrid electric vehicles [8]–[13]. The main features of the topologies with semicontrolled rectifier are as follows:

- 1) cheaper switches and less amount of gate drives, lower cost of the converter when compared to full controlled rectifier;

- 2) reduction in switching losses, resulting in more efficient converters when compared to the full controlled rectifier;
- 3) high power density and low conduction losses when compared with boost rectifier converter;
- 4) a better performance and dc-link voltage control when compared to a diode rectifier;
- 5) the front-end rectifiers are unidirectional.

In some applications, the grid and load belong to the single-phase type, such as universal active power filters and uninterruptible power supplies (UPS) that can be built from single-phase ac–dc–ac converters. In this case, it is possible to utilize single-phase ac–dc–ac full-bridge topology with four legs as shown in Fig. 1(a) [14], [15]. Other interesting single-phase to single-phase topology is based on a three-leg converter, in which one of the legs is shared between grid and load sides, as illustrated in Fig. 1(b) [16]–[18].

A unidirectional single-phase ac–dc–ac component minimized converter was discussed in [19]. It is composed of one unidirectional rectifier and one bidirectional inverter, using only four controlled switches, as shown in Fig. 1(c). Compared to a three-leg converter (3L converter) and a four-leg converter (4L converter), the unidirectional three-leg converter (denominated here as 3L2D converter) is more efficient and cheaper. Its weakness is the increase in harmonic distortion. In [20], three unidirectional 3L2D converters are combined in series to three-phase application. This converter ensures reduction in harmonic distortion in the grid currents and load voltages, due to multilevel voltage in input and output of the converter. While in [21] proposes a single-phase ac–dc–ac converter composed of two unidirectional 3L2D converters connected in series, reducing the voltage on the switches and the harmonic distortion. A bridgeless three-level rectifier, with a diode leg and a neutral-point clamped leg, was proposed in [22]. This rectifier reduces the power losses and harmonic distortion in the grid currents.

A common solution to improve the reliability and high-quality power source is to adopt the use of parallel converters with interleaved technique, the parallel converters not only satisfy the two initial requirements, but also reduce converter power losses and the size and loss of the inductor filter without increasing the harmonic content [23], [24]. Several novel topologies and control strategies have been presented to improve the performance of parallel converters [25]–[47]. In [43], a control scheme for parallel three-phase rectifiers under unbalanced operating conditions with the suppression of the circulating currents between the parallel modules was

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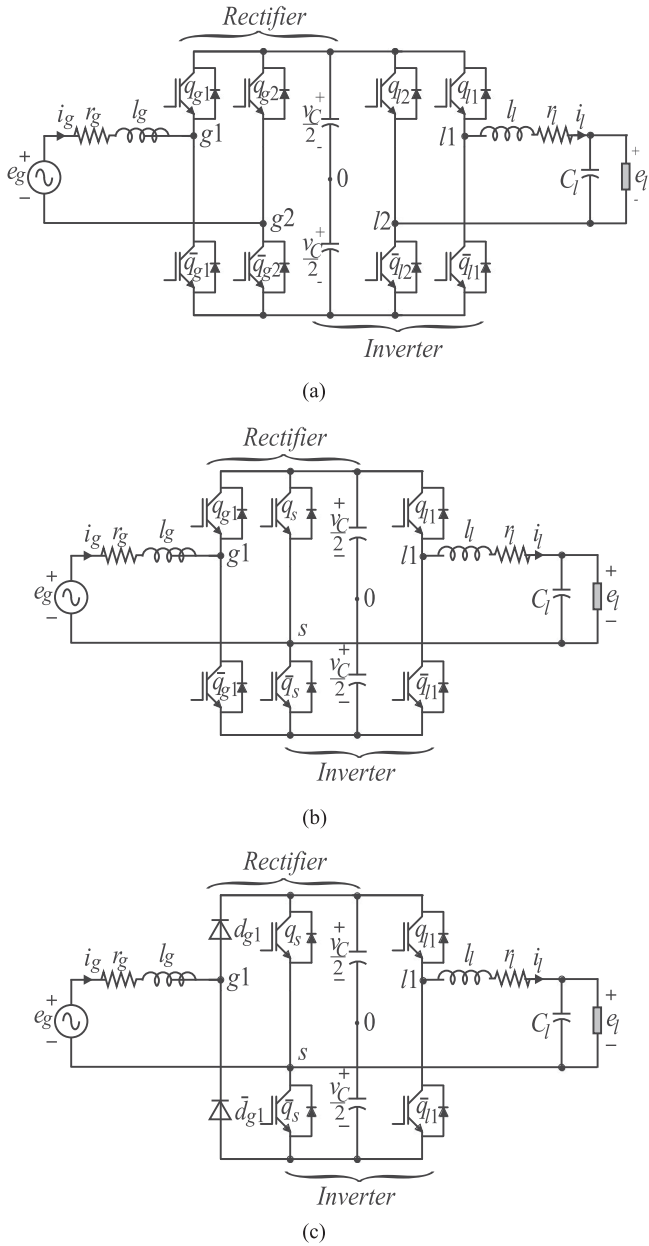


Fig. 1. Conventional single-phase to single-phase ac-dc-ac converters. (a) Four-leg converter (4L). (b) Three-leg converter (3L). (c) Unidirectional three-leg converter (3L2D).

presented. In [44], two parallel converters are used to achieve high current rating in MW-level wind energy conversion system. In this paper, different modulation schemes were evaluated in order to reduce the size and loss of the inductance filters.

In this paper, one parallel single-phase to single-phase dc-link converter is proposed. This topology has been conceived from two three-leg converters with an uncontrolled leg connected in parallel without an isolation transformer, as shown in Fig. 2(a). The proposed converter is suitable for applications addressing single phase ac-dc-ac converters in a wide range of power levels. Generally, single-phase systems require load with power less than 10 kVA [48]. Compared to 4L and 3L converters [see Fig. 1(a) and (b)], proposed configuration, called 6L4D

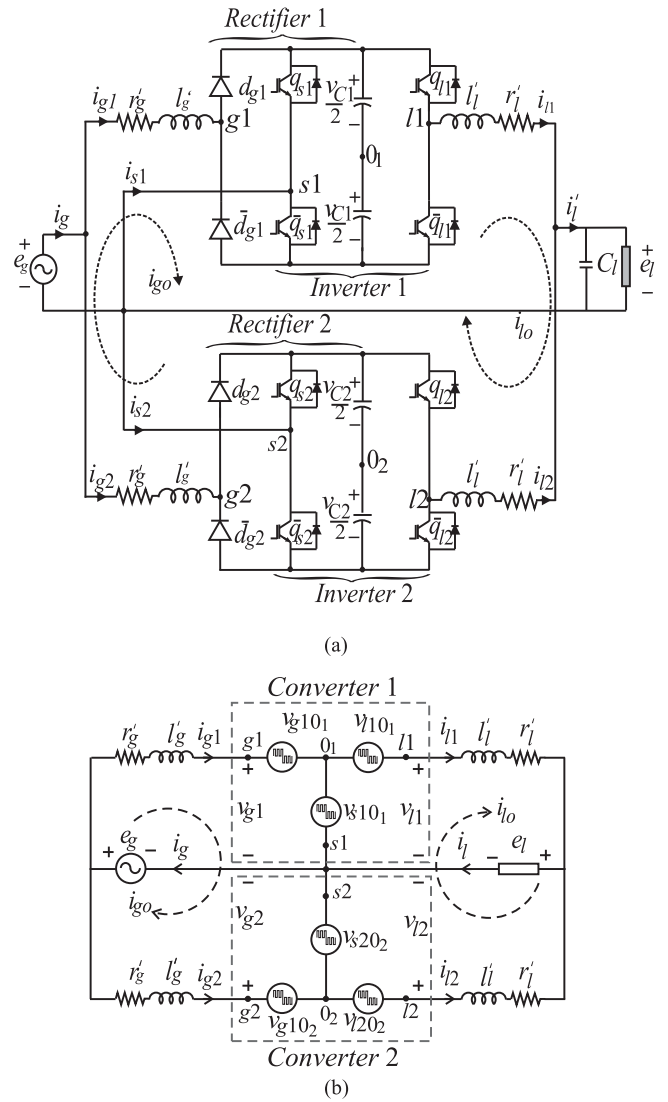


Fig. 2. Proposed unidirectional single-phase to single-phase converters with two dc-link voltages (6L4D). (a) System configuration. (b) Simplified model.

[see Fig. 2(a)], permits the decreasing of the current and the power rating processed by the rectifier and inverter switches, the harmonic content at the input and output sides of the proposed converter and the total switch losses. When compared with 3L2D converter, the proposed configuration permits the decreasing of the power rating processed by the switches and the total harmonic distortion (THD). A synchronization technique between input and output converter voltages is proposed for the 6L4D converter. The technique allows the reduction of the shared-leg current and eliminates the distortion of the grid current in the zero-crossing distortion without hysteresis control. Besides the proposed topology, another important contribution of this paper is a modified interleaved pulse width modulation (PWM) technique that ensures five-level voltage at the input and at output side of the proposed converter, which decrease the inductance filter size and the THD. Simulation and experimental results show the viability of the proposed parallel unidirectional ac-dc-ac converter.

The paper introduces the system model of the proposed topology in Section II. Then, Section III presents the control strategies, including PWM strategy and control scheme, while Section IV shows the analysis of the harmonic distortion, including the modified carrier interleaved PWM. The study of dc-link voltage is presented in Section V. The semiconductor power loss is presented in Section VI. Simulation and experimental results to illustrate the correct operation of the proposed converter are presented in Sections VII and VIII, respectively. Finally, Section IX presents the conclusions with the main advantages of the proposed configuration.

## II. SYSTEM MODEL

The proposed configuration is made up of the parallelism of two unidirectional three-leg converters, inductor and capacitor filters ( $C_l$ ,  $r'_g$ ,  $l'_g$ ,  $r'_l$ , and  $l'_l$ ) and two dc-link capacitor voltages. The controlled legs of the converters are composed of top controlled switches  $q_{sk}$  and  $q_{lk}$ , with  $k = 1, 2$  and complementary bottom controlled switches  $\bar{q}_{sk}$  and  $\bar{q}_{lk}$  and the two uncontrolled legs are constituted by top diodes  $d_{gk}$  and complementary bottom diodes  $\bar{d}_{gk}$ .

From the simplified model of the system, shown in Fig. 2(b), the following equations can be derived:

$$e_g = r'_g i_{gk} + l'_g \frac{di_{gk}}{dt} + v_{gk} \quad (1)$$

$$i_g = i_{g1} + i_{g2} \quad (2)$$

$$e_l = -r'_l i_{lk} - l'_l \frac{di_{lk}}{dt} + v_{lk} \quad (3)$$

$$i_l = i_{l1} + i_{l2} \quad (4)$$

with

$$v_{gk} = v_{gk0k} - v_{sk0k} \quad (5)$$

$$v_{lk} = v_{lk0k} - v_{sk0k} \quad (6)$$

where  $e_g$  is the grid voltage,  $i_g$  is the grid current,  $i_{gk}$  are the input currents of the rectifiers,  $v_{gk}$  are the voltages generated by the rectifiers,  $v_{lk}$  are the voltages generated by the inverters,  $e_l$  is the load voltage,  $i_l$  is the load current,  $i_{lk}$  are the output currents of the inverters,  $v_{gk0k}$ ,  $v_{lk0k}$ , and  $v_{sk0k}$  are the converter pole voltages,  $r'_g$  and  $l'_g$  represent the resistances and inductances of the input filter, respectively,  $r'_l$  and  $l'_l$  represent the resistances and inductances of the output filter, respectively.

The mathematics model of rectifiers 1 and 2 is represented by (1). Summing the equations of the rectifier 1 ( $k = 1$ ) with that of the rectifier 2 ( $k = 2$ ) and using (2), the equivalent model for the grid voltage (rectifiers 1 and 2) can be obtained as following:

$$e_g = \frac{r'_g}{2} i_g + \frac{l'_g}{2} \frac{di_g}{dt} + v_g \quad (7)$$

$$v_g = \frac{v_{g1} + v_{g2}}{2}. \quad (8)$$

In like manner, from (3) and (4), the equivalent load voltage is written as

$$e_l = -\frac{r'_l}{2} i_l - \frac{l'_l}{2} \frac{di_l}{dt} + v_l \quad (9)$$

$$v_l = \frac{v_{l1} + v_{l2}}{2}. \quad (10)$$

From (7) and (9), neglecting the coil resistance and considering  $l_g$  the filter inductance of the conventional 3L converter, it should be notice that in order to achieve the same harmonic distortion, without interleaved operation, the inductance  $l'_g$  of the unidirectional 6L4D converter is two times the inductance  $l_g$  of the 3L converter. However, (8) and (10) show that the voltages  $v_g$  and  $v_l$  are three-level voltages when interleaved technique is used, which can reduce the inductance value, as shown in [44].

The previous model can also be expressed by using the circulating currents  $i_{go}$  and  $i_{lo}$  (rectifier and inverter sides, respectively) introduced by

$$i_{g1} = \frac{i_g}{2} + i_{go} \quad (11)$$

$$i_{g2} = \frac{i_g}{2} - i_{go} \quad (12)$$

$$i_{l1} = \frac{i_l}{2} + i_{lo} \quad (13)$$

$$i_{l2} = \frac{i_l}{2} - i_{lo}. \quad (14)$$

From (1) and (3), it turns out that

$$0 = 2r'_g i_{go} + 2l'_g \frac{di_{go}}{dt} + v_{g1} - v_{g2} \quad (15)$$

$$0 = -2r'_l i_{lo} - 2l'_l \frac{di_{lo}}{dt} + v_{l1} - v_{l2}. \quad (16)$$

In this case, the complete system model is given by (7), (9), and

$$v_{go} = 2r'_g i_{go} + 2l'_g \frac{di_{go}}{dt} \quad (17)$$

$$v_{lo} = -2r'_l i_{lo} - 2l'_l \frac{di_{lo}}{dt} \quad (18)$$

with

$$v_{go} = -v_{g1} + v_{g2} \quad (19)$$

$$v_{lo} = -v_{l1} + v_{l2} \quad (20)$$

where  $v_{go}$  and  $v_{lo}$  are the circulating voltages at the rectifier and inverter side, respectively. The negative effects of the circulation currents can be minimized through coupled inductor, which provides a high inductance to the circulating current. The advantage of this solution is the possibility to reduce the inductor filter size and thus, improving efficiency. The design of inductor can be made in similar way as presented in [44] and [49].

### A. System Model to Eliminate Zero-Crossing Distortion

From Fig. 2(a), two operation conditions can be highlighted to obtain a sinusoidal grid current without zero crossover distortion:

Case 1:  $i_g \geq 0$

In this case, the diodes  $d_{g1}$  and  $d_{g2}$  conduct the currents  $i_{g1}$  and  $i_{g2}$ , respectively, and then

$$v_{gk0k} = \frac{v_{Ck}}{2}. \quad (21)$$

From (5), (6), and (21), the pole voltages of controlled legs are defined by

$$v_{sk0k} = \frac{v_{Ck}}{2} - v_{gk} \quad (22)$$

$$v_{lk0k} = \frac{v_{Ck}}{2} + v_{lk} - v_{gk}. \quad (23)$$

Since  $v_{C1} = v_{C2} = v_C$ , the rectifier pole voltages  $v_{sk0k}$  and  $v_{lk0k}$  have two limit values  $-v_C/2$  and  $v_C/2$ , from (22) and (23), it can be obtained that  $0 \leq v_{gk} \leq v_C$ , and  $v_{lk} \leq v_{gk}$ .

Case 2:  $i_g < 0$

In this case, the diodes  $\bar{d}_{g1}$  and  $\bar{d}_{g2}$  conduct the currents  $i_{g1}$  and  $i_{g2}$ , respectively, and then

$$v_{gk0k} = -\frac{v_{Ck}}{2}. \quad (24)$$

From (5), (6), and (24), the pole voltages of controlled legs are defined by

$$v_{sk0k} = -\frac{v_{Ck}}{2} - v_{gk} \quad (25)$$

$$v_{lk0k} = -\frac{v_{Ck}}{2} + v_{lk} - v_{gk}. \quad (26)$$

From (25) and (26), it can be obtained that  $-v_C \leq v_{gk} \leq 0$  and  $v_{lk} \geq v_{gk}$ .

The operation of the converter without low order harmonics must satisfy the limit voltage restrictions and the grid current  $i_g$  and inverter voltage  $v_l$  must have the same phase angle as voltage  $v_g$ .

### III. CONTROL STRATEGIES

#### A. PWM Strategy

The circulation current at the rectifier side ( $i_{go}$ ) can be controlled in two ways: 1) from the control of rectifier currents ( $i_{g1}$  and  $i_{g2}$ ) or 2) from the control of grid current ( $i_g$ ) and its own circulating current  $i_{go}$ . Fig. 3 exemplifies these two possibilities. First option (Scheme 1), the control of the currents  $i_{g1}$  and  $i_{g2}$  determines the reference voltages  $v_{g1}^*$  and  $v_{g2}^*$ , as shown in Fig. 3(a). On the other hand, in the second option (Scheme 2), the grid current  $i_g^*$  and circulating current  $i_{go}^*$  are controlled. At the output of these controllers, the voltages  $v_g^*$  and  $v_{go}^*$  are defined, respectively.

Considering the first option (Scheme 1), the voltages  $v_{g1}^*$ ,  $v_{g2}^*$ ,  $v_l^*$ , and  $v_{lo}^*$  are the reference voltages provided by the controllers (see Section III-B). Then from (5), (10), and (20), it follows that

$$v_{gk}^* = v_{gk0k}^* - v_{sk0k}^* \quad (27)$$

$$v_l^* = \frac{1}{2} (v_{l10_1}^* - v_{s10_1}^* + v_{l20_2}^* - v_{s20_2}^*) \quad (28)$$

$$v_{lo}^* = -v_{l10_1}^* + v_{s10_1}^* + v_{l20_2}^* - v_{s20_2}^*. \quad (29)$$

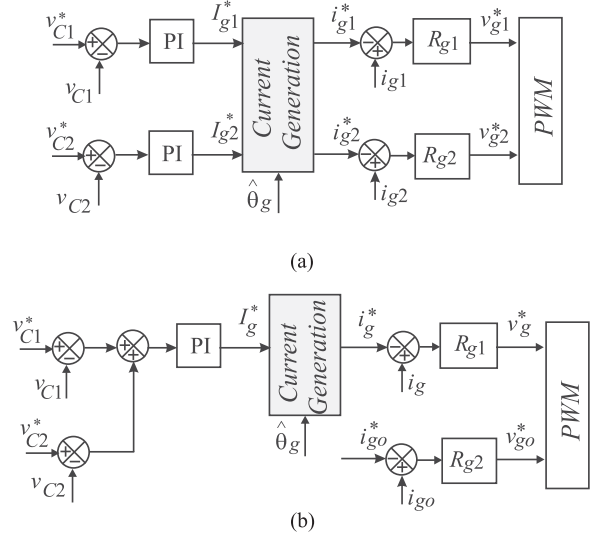


Fig. 3. Circulating current control. (a) Scheme 1. (b) Scheme 2.

There are four reference voltages  $v_{g1}^*$ ,  $v_{g2}^*$ ,  $v_l^*$ , and  $v_{lo}^*$ , and only four reference pole voltages  $v_{s10_1}^*$ ,  $v_{s20_2}^*$ ,  $v_{l10_1}^*$ , and  $v_{l20_2}^*$  to be determined, since the pole voltages  $v_{g10_1}^*$  and  $v_{g20_2}^*$  depend on the current direction.

From (27) to (29), the reference pole voltages can be written

$$v_{sk0k}^* = -v_{gk}^* + v_{gk0k}^* \quad (30)$$

$$v_{l10_1}^* = v_l^* + v_{g10_1}^* - v_{g1}^* + \frac{1}{2} v_{lo}^* \quad (31)$$

$$v_{l20_2}^* = v_l^* + v_{g20_2}^* - v_{g2}^* - \frac{1}{2} v_{lo}^*. \quad (32)$$

The voltages  $v_{gk0k}^*$  are defined from (33) and (34)

Case 1:  $i_g \geq 0$

$$v_{gk0k}^* = \frac{v_{Ck}}{2}. \quad (33)$$

Case 2:  $i_g < 0$

$$v_{gk0k}^* = -\frac{v_{Ck}}{2}. \quad (34)$$

When  $v_{gk}^*$  is not synchronized to  $v_{lk}$  the reference pole voltages  $v_{sk0k}^*$  and  $v_{lk0k}^*$  are higher than the maximum and minimum limits ( $v_C/2$  and  $-v_C/2$ ). This operation condition causes over-modulation and introduces low-order harmonic in the grid current and in the load voltage, consequently increases the harmonic distortion. In order to obtain the gating signals, a comparison of the pole voltages with one, two, or four high-frequency triangular carrier signals (called here single, double, or four carrier-based PWM) is performed [50]–[53].

#### B. Control Strategy

Fig. 4 presents the control block diagram for the proposed configuration. The dc-link capacitor voltages  $v_{C1}$  and  $v_{C2}$  are adjusted to their reference values  $v_{C1}^*$  and  $v_{C2}^*$  by using the conventional proportional–integral type controllers. The reference dc-link voltages are equal to  $v_C^*$ , i.e.,  $v_{C1}^* = v_{C2}^* = v_C^*$ .

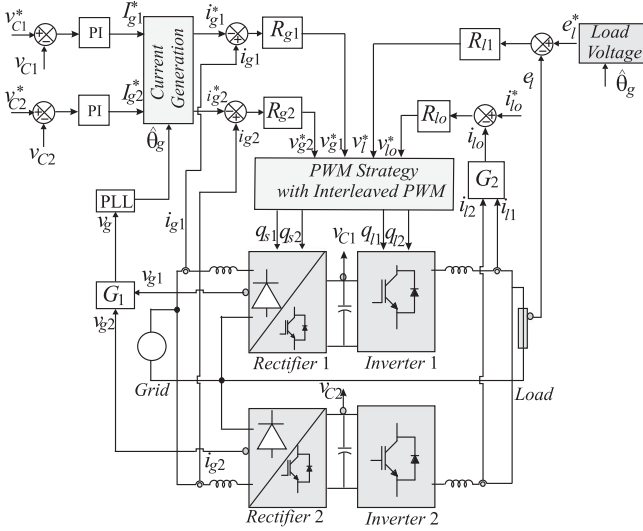


Fig. 4. System block control diagram for proposed configuration.

Such controllers provide the amplitude of the reference of the rectifier currents  $i_{g1}^*$  and  $i_{g2}^*$ , respectively. To control the input currents, the instantaneous reference currents  $i_{g1}^*$  and  $i_{g2}^*$  are synchronized (via blocks phase lock loop scheme) with the rectifier voltage  $v_g$  ensuring the elimination of zero crossover distortion in grid current. From the measurements of the voltages  $v_{g1}$  and  $v_{g2}$ , the rectifier voltage  $v_g$  is obtained from (8), represented by block  $G_1$ .

The control of the rectifier currents ( $i_{g1}$  and  $i_{g2}$ ) are implemented by using the controllers  $R_{g1}$  and  $R_{g2}$ . This paper used double-sequence controller [54]. The current controllers define the input reference voltages  $v_{g1}^*$  and  $v_{g2}^*$ .

Despite the dc-link capacitor voltage and rectifier current controls, another control goal of the proposed converters is the regulation of the circulating current. The circulating current at the inverter side is obtained from (13) and (14), represented in Fig. 4 by block  $G_2$ . The control of the  $i_{l0}$  is implemented with the controller indicated by block  $R_{l0}$  that determines the voltage  $v_{l0}^*$ . The load voltage control defines the reference voltage  $v_l^*$ . In this block,  $v_l^*$  must be synchronized with  $v_g$  to eliminate the zero crossover distortion in the grid current. In addition, this synchronization also causes a decrease of current in the shared leg.

#### IV. HARMONIC DISTORTION

##### A. Weighted THD (WTHD)

The WTHD factor has been used to evaluate the distortion of the voltages generated at the input and output of the converter [55]. In order to calculate the value of the WTHD, the harmonics of the converter voltages are weighted with the number of harmonics frequency, hence the WTHD is more interesting than the THD in the analysis of the modulated voltages [56]. The WTHD was computed by using

$$\text{WTHD} = \frac{100}{a_1} \sqrt{\sum_{h=2}^{N_h} \left(\frac{a_h}{h}\right)^2} \quad (35)$$

TABLE I  
PARAMETER OF WTHD ANALYSES

Parameter	Value	Parameter	Value
Grid voltage	1.0 p.u.	DC-link voltage	1.55 p.u.
Inductor ( $l_g/l'_g$ )	0.1 p.u./0.2 p.u.	Load power	1.0 p.u.
Resistor ( $r_g/r'_g$ )	0.01 p.u./0.02 p.u.	Load voltage	0.9 p.u.
Switching frequency	10 kHz	$N_h$	1000

TABLE II  
WTHD OF THE VOLTAGES  $v_g$  AND  $v_l$  FOR ALL TOPOLOGIES ANALYZED

Voltage	WTHD				
	4L	3L	3L2D	Proposed	
				SC	DC
$v_g$	0.155%	0.155%	0.308%	0.308%	0.082%
$v_l$	0.204%	0.248%	0.290%	0.290%	0.163%

where  $a_1$  is the amplitude of the fundamental component,  $a_h$  is the amplitude of  $h$ th component harmonic and  $N_h$  is the number of harmonics taken into consideration.

The WTHD was evaluated considering the parameters and conditions shown in Table I. In this paper, four topologies are compared: conventional 4L and 3L converters, unidirectional 3L2D converter, and proposed unidirectional converter (6L4D). In order to ensure the proper operation of the unidirectional converter, the load voltage must be less than the grid voltage. Then, all the topologies were evaluated considering that the grid voltage equals 1.0 p.u. and the load voltage equals 0.9 p.u. To ensure the same dc-link voltage among all topologies, the output voltage was synchronized with the input voltage in the converters with shared-leg (3L, 3L2D, and 6L4D converters).

Table II shows the WTHD of the voltages generated by the rectifier [ $v_g = (v_{g1} + v_{g2})/2$  for the proposed configuration,  $v_g = v_{g10} - v_{g20}$  for the conventional 4L converter, and  $v_g = v_{g10} - v_{s0}$  for the 3L and unidirectional 3L2D converters] and voltages generated by the inverter [ $v_l = (v_{l1} + v_{l2})/2$  for the proposed configuration,  $v_l = v_{l10} - v_{l20}$  for the conventional 4L converter and  $v_l = v_{l10} - v_{s0}$  for the 3L and the unidirectional 3L2D converters]. The switching frequency is equal to 10 kHz. Voltages  $v_g$  and  $v_l$  are responsible for controlling  $i_g$  and  $e_l$ . This means that the WTHD of these voltages determines the harmonic distortion of the utility grid current and load voltage. In this paper, the study of harmonic distortion for the proposed topology is carried out by using the interleaved technique in the PWM strategy. The results with single-carrier-based PWM (SC) and double-carrier-based PWM (DC) are presented in Table II.

Applying a single-carrier-based PWM (SC) the WTHD of the voltages  $v_g$  and  $v_l$  are equal to WTHD of unidirectional 3L2D topology and greater than the conventional 4L and 3L converters. However, by using a double-carrier-based PWM (DC) the proposed system guarantees the lowest value of WTHD for input and output voltage. Considering the proposed topology with double-carrier-based PWM the improvement of WTHD of the

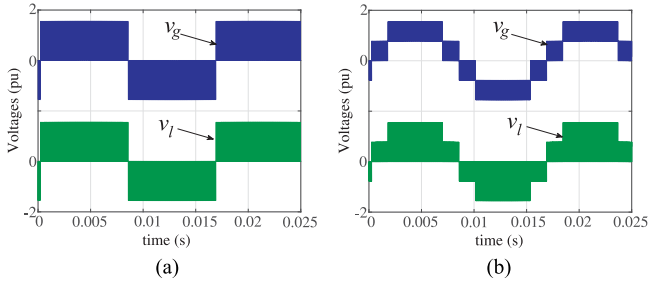


Fig. 5. Voltages  $v_g$  and  $v_l$ . (a) 4L, 3L, and 3L2D topologies and 6L4D topology with single-carrier PWM. (b) 6L4D topology with double-carrier PWM.

voltages  $v_g$  and  $v_l$  is, respectively, close to 47% and 20%, when compared to the conventional 4L topology, 47% and 35% when compared to the conventional 3L topology and 73% and 44% when compared to the unidirectional 3L2D topology.

The explanation for the WTHD behavior in Table II can be obtained from Fig. 5, which depicts the rectifier and inverter voltages ( $v_g$  and  $v_l$ ) obtained considering the cases presented in Table II. It is worth mentioning that, using single-carrier-based PWM the voltage  $v_g$  generated by the proposed topology is similar to voltages  $v_g$  and  $v_l$  of the conventional topologies [see Fig. 5(a)]. However, using double-carrier-based PWM [see Fig. 5(b)], the number of levels of voltage  $v_g$  is higher. On the other hand, the outline of the voltage  $v_l$  is not distributed as well because the five levels are not well defined, which means a higher value of WTHD.

### B. Modifier-Carrier Interleaved PWM (MC)

As shown in Fig. 5(b), the waveform of the output voltage  $v_l$  of the proposed topology has not the five levels of voltages well defined. In this section, a modifier interleaved-carrier PWM is presented in order to improve the harmonic distortion in the load voltage, while ensuring the same harmonic distortion in the front-end converter.

In this strategy, the gating signals of the two shared legs are obtained by comparison of the pole voltages with the double-carrier PWM  $v_{t1}$  and  $v_{t2}$ . The interleaving angle of the triangular carrier signals is  $180^\circ$  [see Fig. 6(a)]. On the other hand, the gating signals of the two inverter legs are obtained by comparison of the pole voltages ( $v_{l10_1}$  and  $v_{l20_2}$ ) with the four-carrier PWM. The triangular carrier signals  $v_{t3}$  and  $v_{t4}$  have the interleaving angle equal to  $90^\circ$  and  $270^\circ$ , respectively [see Fig. 6(a)]. When the gating signals of the two inverter legs ( $l_1$  and  $l_2$ ) are obtained from  $v_{t3}$  and  $v_{t4}$ , there is a change in the vector switching sequences applied to generate the gate signals, as shown in Fig. 7(a). Notice that from 7(a), the voltage levels are well defined when  $v_l > v_C/2$  or  $v_l < -v_C/2$ , but for  $-v_C/2 < v_l < v_C/2$ , the voltage levels are not well defined. This happens because for  $v_l > v_C/2$  or  $v_l < -v_C/2$  the nearest switching vector sequences are applied to generate the gate signals, otherwise more distant vector switching sequences are used. In this way, in the proposed strategy, when  $v_l^*$  is higher or equal to  $v_C/2$  or less than or equal to  $-v_C/2$ , the pole voltages  $v_{l10_1}$  and  $v_{l20_2}$  are compared to  $v_{t3}$  and  $v_{t4}$ , respectively.

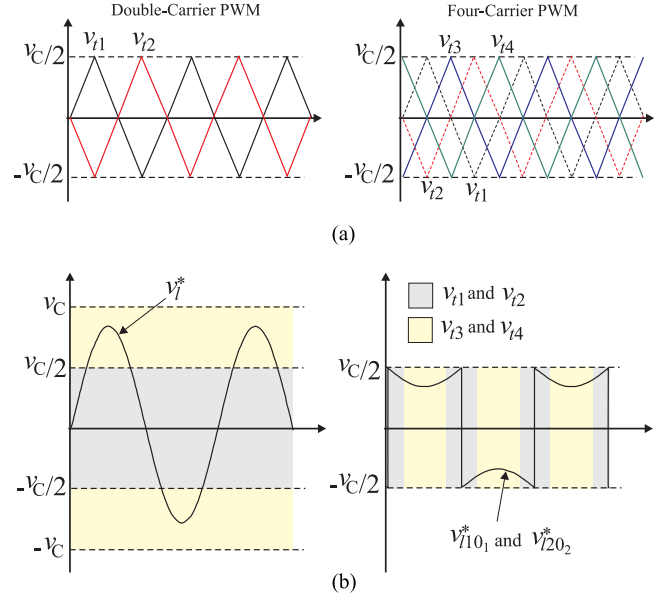


Fig. 6. Modified PWM strategy. (a) Double-carrier PWM (left) and four-carrier PWM (right). (b) Choice of carrier based on  $v_l^*$  (left) and reference pole voltages (right).

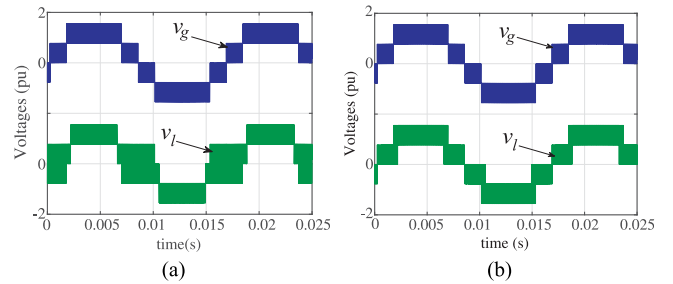


Fig. 7. Voltages  $v_g$  and  $v_l$ . (a) 6L4D topology with four-carrier PWM. (b) 6L4D topology with modified PWM strategy.

Otherwise, the pole voltages  $v_{l10_1}$  and  $v_{l20_2}$  are compared to  $v_{t1}$  and  $v_{t2}$ , respectively. This PWM strategy is illustrated in Fig. 6(b). This ensures that the switching vector sequence to generate the voltage  $v_l$  will be carried out by nearest vectors, which allows a reduction of the harmonic distortion.

Fig. 7(b) presents the waveform of voltages  $v_g$  and  $v_l$  of the investigated converter with the proposed modified-carrier interleaved PWM strategy. It can be seen from the figure that the voltages  $v_g$  and  $v_l$  have the five well-defined voltage levels. The WTHD of voltages  $v_g$  and  $v_l$  are equal to 0.082% and 0.088%, respectively. The decreased value of WTHD in output voltage ( $v_l$ ) is nearly 46% when compared to proposed topology with double-carrier PWM. Whereas, compared to conventional 4L, 3L, and 3L2D topologies the reduction is about 57%, 65%, and 70%, respectively.

### C. Total Harmonic Distortion

This section presents the harmonic distortion analysis of the grid, load, and internal currents ( $i_g$ ,  $i_l$ , and  $i_{g1}$ ). In this case, the

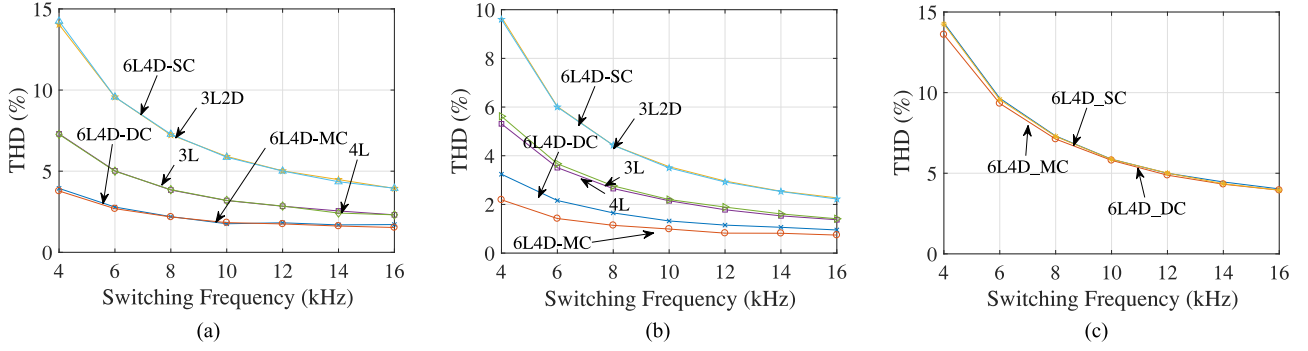


Fig. 8. THD comparison for different topologies and switching frequencies. (a) Grid current ( $i_g$ ). (b) Load current ( $i_l$ ). (c) Internal current ( $i_{g1}$ ).

THD is better than the WTHD. It can be defined as

$$\text{THD} = \frac{\sqrt{\sum_{h=2}^{N_h} a_h^2}}{a_1}. \quad (36)$$

The same conditions and parameter were considered in the THD analysis. The THD value curves of the currents  $i_g$ ,  $i_l$ , and  $i_{g1}$  across the switching frequency range are plotted in Fig. 8. The results have been obtained with parameters shown in Table V. The inductance  $l_g$  of the conventional converters was half of the proposed topology to achieve the same equivalent inductance. From Fig. 8, it can be seen that the THD values of the grid and load currents of the proposed topology with single-carrier PWM (6L4D-SC) are equal to the unidirectional 3L2D topology. On the other hand, the THD values of the grid and load currents of the proposed topology with modifier-carrier interleaved PWM (6L4D-MC) are much lower than the conventional 3L2D. The THD improvement of the proposed topology with modifier-carrier PWM is due to the multilevel input and output converter voltages. As a result of the comparison, it is clear that using the modifier-carrier PWM, the proposed converter has the lowest value of THD in the grid and load currents among all the conventional topologies. In order to achieve the same THD value, when compared to 4L and 3L topologies the switching frequency of the 6L4D-MC topology can be reduced by half, while compared with 3L2D topology the switching frequency can be reduced by one-quarter.

Fig. 8(c) illustrates the THD value of the internal currents of the unidirectional 6L4D converter ( $i_{g1}$ ). The THD value of the  $i_{g2}$  is equal to  $i_{g1}$ , and is also equal to the THD value of the grid current ( $i_g$ ) when the single-carrier PWM is applied. Moreover, the internal current ( $i_{g1}$ ) has the same value of the harmonic distortion, independent of the PWM strategies applied (single, double, or modified-carrier PWM), as shown in Fig. 8(c). Similar analysis is found with currents  $i_{l1}$  and  $i_{l2}$ .

## V. DC-LINK CAPACITOR VOLTAGE SPECIFICATION

Neglecting the oscillations on the dc-link capacitor voltage and considering the balanced system, i.e., inductors with same values, from pole voltages (30)–(32), the minimum voltage

$v_C^* = v_{C1}^* = v_{C2}^*$  is given by

$$v_C^* \geq |\hat{V}_g| \quad (37)$$

$$v_C^* \geq |\hat{V}_l| \quad (38)$$

$$v_C^* \geq |\hat{V}_g - \hat{V}_l|. \quad (39)$$

In order to apply the input voltage with amplitude  $V_g$  and the output voltage with amplitude  $V_l$ , the minimum dc-link voltage must satisfy (37)–(39). Considering that  $\hat{V}_g = V_g e^{-j\theta_g}$  and  $\hat{V}_l = V_l e^{-j(\theta_g + \varepsilon)}$  and  $\varepsilon$  the angle between the voltages  $\hat{V}_g$  and  $\hat{V}_l$ ,

Jacobina *et al.* [57] shows that the three-leg converter can have the same dc-link voltage of the 4L converter when  $-60^\circ \leq \varepsilon \leq 60^\circ$ . The amplitude of  $\hat{V}_g - \hat{V}_l$  has a value near the amplitude of  $\hat{V}_g$  when  $\varepsilon = 60^\circ$ . On the other hand, whenever  $\varepsilon > 60^\circ$  the dc-link voltage of the three-leg converters is higher than that of the 4L converter, once the minimum dc-link voltage is determined by (39), as shown in [57].

However, to insure proper function of the proposed topology, the voltage  $v_g^*$  must be synchronized with  $v_l^*$  and grid and the load frequencies must be equal (e.g., line voltage regulators and uninterruptible power supplies). These conditions define the minimum dc-link voltage  $v_C^*$  demanded by proposed topology, which is equal to the conventional 4L converter. For different grid and load frequency, the dc-link voltages of the 3L converter is twice the value of conventional 4L converter.

The capacitance of the dc-link voltage can be obtained using the procedure outlined in [23] and [58]. Since the flux power through converter is half of total power flux, the capacitor size can be reduced to half, when compared to conventional 4L converter [58].

## VI. CONVERTER LOSSES

The loss estimation is obtained by using the technique presented in [59] and [60]. The following losses are included in the model: IGBT and diode conduction, IGBT turn-on energy losses, IGBT turn-off energy losses, and diode turn-off energy losses (reverse recovery).

Fig. 9 shows the semiconductor power losses (normalized by load power) in each leg of the converter. The results have been obtained by using the same switching frequency (10 kHz), load

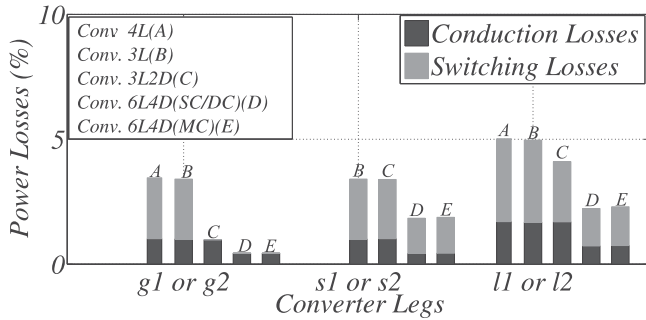


Fig. 9. Power losses of the converter legs.

TABLE III  
SEMICONDUCTOR LOSSES ESTIMATION WITH SAME SWITCHING FREQUENCY

Load Power	4L	3L	3L2D	6L4D	
				SC/DC	MC
500 VA	18.94%	13.52%	9.44%	11.33%	11.55%
1000 VA	16.96%	11.77%	8.46%	9.02%	9.15%
2000 VA	15.27%	9.95%	7.51%	8.11%	8.07%

TABLE IV  
RMS CURRENT THROUGH THE SEMICONDUCTOR OF TOPOLOGIES 4L, 3L, 3L2D, AND 6L4D

Switch current	4L	3L	3L2D	6L4D
				SC/DC/MC
$i_{d_{gk}}$ or $i_{q_{gk}}$	0.67	0.67	0.67	0.337
$i_{q_{sk}}$	–	0.67	0.67	0.33
$i_{q_{lk}}$	1.0	1.0	1.0	0.499

power equal to 1000 VA and power factor equal to 0.8. Notice that, because of the reduction of currents, for the proposed configuration the power loss of each leg is always lower than that of the conventional 4L, 3L, and 3L2D converters, as shown in Fig. 9. Although the power loss of each leg of the proposed topology are lower than the 3L2D topology, the total power loss is still high, as shown in Table III. In addition, notice that, the proposed topology has the same performance for different carrier PWM (single, double, and modified-carrier PWM).

Table IV shows the root-mean-square (rms) current through the semiconductor of topologies 4L, 3L, 3L2D, and 6L4D with output power equal to 1000 VA normalized across the load current of the 4L converter. Topologies 4L, 3L, and 3L2D have the same current stress, which is expected since all of them operate with same values of  $i_g$  and  $i_l$ . The semiconductors of the proposed converter have the lowest current stress, because the legs operate with reduced amplitude of the grid ( $i_g/2$ ) and load current ( $i_l/2$ ). Consequently, the proposed topology allows the use of low-cost semiconductors. The losses of the 3L2D topology are smaller than those of the 3L and 4L topologies, because of the diode leg and of the PWM strategy. Despite the fact that the current in each leg of the 6L4D topology has been

TABLE V  
PARAMETERS EMPLOYED ON THE SIMULATION RESULTS

Parameter	Value	Parameter	Value
$r_g$ and $r_l$	0.2 $\Omega$	$V_g$ (rms)	127 V
$l_g$ and $l_l$	5 mH	$v_{C1}$ and $v_{C2}$	221.3 V
$f_{sh}$	10 kHz	$C$	2200 $\mu$ F
$V_l$ (RMS)	114 V	$Cl$	5 $\mu$ F

reduced about 50%, the reduction of the power loss was less than 50%, this is due to the nonlinear model of loss [61]. Since the proposed topology has double of switches of the 3L2D topology, the power losses are slightly greater.

Table III lists the total semiconductor power losses obtained with all topologies with three different power loads (500 VA, 1000 VA, and 2000 VA and power factor equal to 0.8) and same switching frequency. The 3L2D topology is more efficient than the proposed 6L4D topology for all cases analyzed; however, the performance in harmonic distortion is much worse than the proposed 6L4D topology. This means that for the same value of THD the 6L4D topology will be more efficient than 3L2D. On the other hand, the power losses of the proposed 6L4D topology are lower than conventional 3L and 4L topologies. The total power loss, for the proposed topology, is almost 45% and 22% lower than the conventional 4L and 3L topologies, respectively.

## VII. SIMULATIONS RESULTS

The proposed system was simulated by using the parameters presented in Table V. Steady-state operation mode was considered in the simulation tests. Simulation results using single, double, and modifier-carrier PWM are shown in Figs. 10–12.

Notice that all control requirements demanded by the configuration were established, i.e., the control guarantees sinusoidal grid current waveform without zero crossover distortion and grid current synchronized by voltage  $v_g$  [see Figs. 10(a), 11(a), and 12(a)], dc-link voltages under control [see Figs. 10(e), 11(e), and 12(e)] and load voltage sinusoidal and under control [see Figs. 10(f), 11(f), and 12(f)]. Also, the control guarantees the output circulating currents close to zero [see Figs. 10(d), 11(d), and 12(d)]. Furthermore, as expected, the proposed configuration provides current reduction in the shared-leg since  $i_{s1}$  is lower than the  $i_{g1}$ ,  $i_{g2}$ ,  $i_{l1}$ , and  $i_{l2}$ . Compared to single and double-carrier PWM, the modified-carrier PWM ensures five-level voltages at the input and output side of the proposed converter ( $v_g$  and  $v_l$ ) as shown in Fig. 12(a) and (b).

## VIII. EXPERIMENTAL RESULTS

The proposed system was implemented in the laboratory. Steady-state operation mode was considered in the experimental tests. The experimental setup used in the experimental tests is based on a digital signal processor TMS320F28335 equipped with appropriate plug-in boards and sensors as illustrated in Fig. 13. In the experimental tests, the load was obtained using an  $RL$  load plus a single-phase motor (127 V, 60 Hz, 1 kW, and

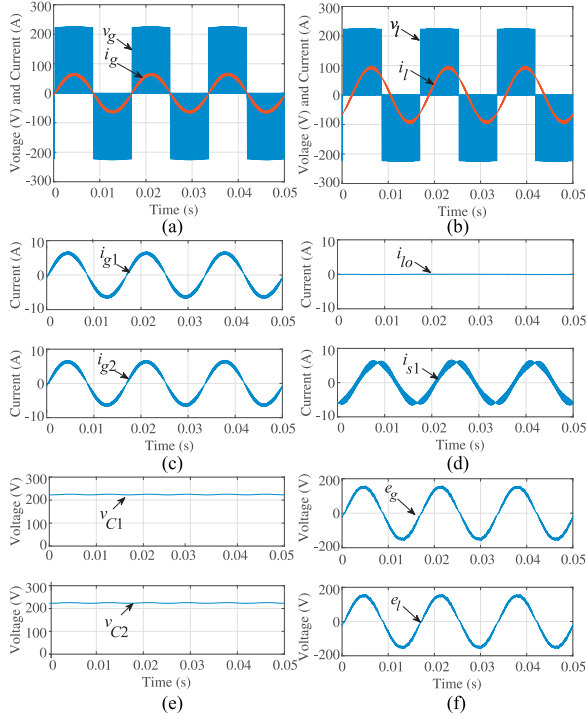


Fig. 10. Simulation results using single-carrier PWM. (a) Voltage of the rectifier ( $v_g$ ) and current of the grid ( $i_g$ ). (b) Voltage of the inverter ( $v_l$ ) and current of the load ( $i_l$ ). (c) Input currents in rectifiers 1 and 2 ( $i_{g1}$  and  $i_{g2}$ ). (d) Circulating current ( $i_{l0}$ ) and shared-leg current ( $i_{s1}$ ). (e) DC-link voltages ( $v_{C1}$  and  $v_{C2}$ ). (f) Grid and load voltages ( $e_g$  and  $e_l$ ).

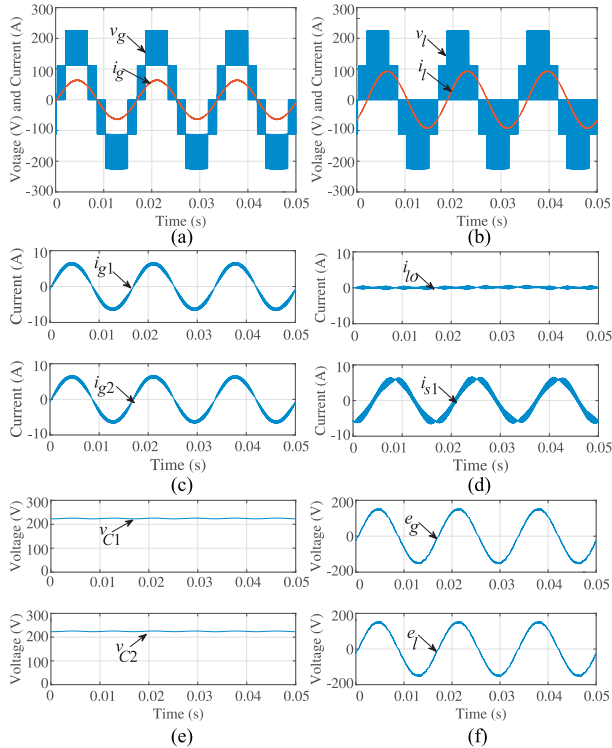


Fig. 11. Simulation results using double-carrier PWM. (a) Voltage of the rectifier ( $v_g$ ) and current of the grid ( $i_g$ ). (b) Voltage of the inverter ( $v_l$ ) and current of the load ( $i_l$ ). (c) Input currents in rectifiers 1 and 2 ( $i_{g1}$  and  $i_{g2}$ ). (d) Circulating current ( $i_{l0}$ ) and shared-leg current ( $i_{s1}$ ). (e) DC-link voltages ( $v_{C1}$  and  $v_{C2}$ ). (f) Grid and load voltages ( $e_g$  and  $e_l$ ).

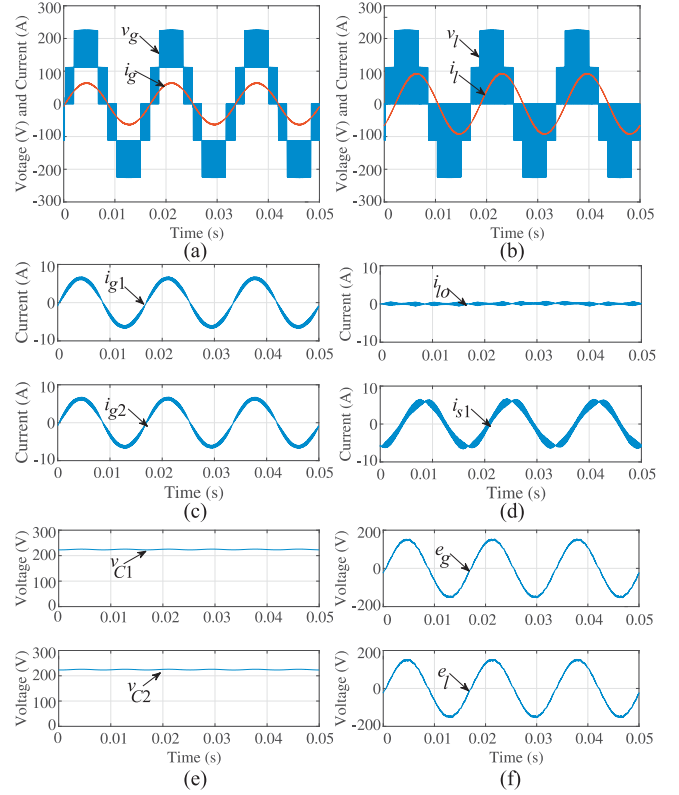


Fig. 12. Simulation results using modifier-carrier PWM. (a) Voltage of the rectifier ( $v_g$ ) and current of the grid ( $i_g$ ). (b) Voltage of the inverter ( $v_l$ ) and current of the load ( $i_l$ ). (c) Input currents in rectifiers 1 and 2 ( $i_{g1}$  and  $i_{g2}$ ). (d) Circulating current ( $i_{l0}$ ) and shared-leg current ( $i_{s1}$ ). (e) DC-link voltages ( $v_{C1}$  and  $v_{C2}$ ). (f) Grid and load voltages ( $e_g$  and  $e_l$ ).

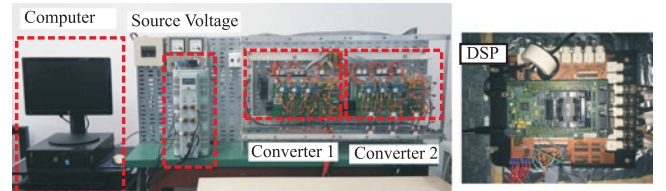


Fig. 13. Photo of the experimental setup.

TABLE VI  
PARAMETERS EMPLOYED ON THE EXPERIMENTAL TESTS

Parameter	Value	Parameter	Value
$r'_g$ and $r'_l$	$0.33 \Omega$	$V_g$ (rms)	120 V
$l'_g$ and $l'_l$	6 mH	$v_C$	210 V
$f_{sh}$	10 kHz	$C$	2200 $\mu\text{F}$
$V_l$ (rms)	108 V	$R$ and $L$	$8.54 \Omega$ and 12 mH

1750 r/min). The detailed system parameters employed on the experimental tests are listed in Table VI.

Experimental results using single-carrier PWM are shown in Fig. 14(a). Fig. 14(a)–(I) shows that the grid current is sinusoidal and it is synchronized with the rectifier voltage  $v_g$ , this guarantees grid current without zero-cross over distortion.

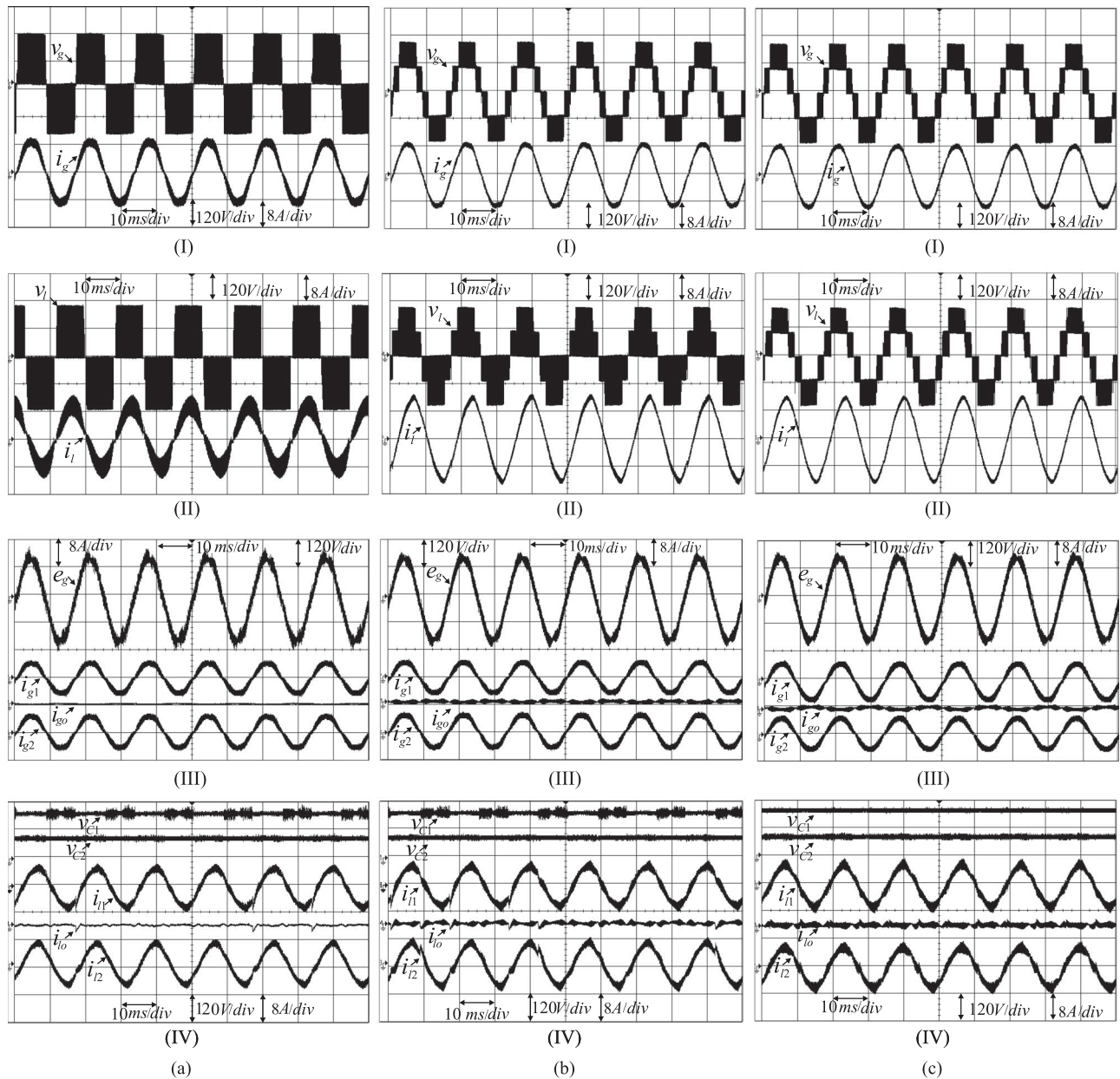


Fig. 14. Experimental results of the Conf. 6L4D. (a) Using single-carrier PWM. (b) Using double-carrier PWM. (c) Using Modified-Carrier PWM. (I) Input converter voltage ( $v_g$ ) and grid current ( $i_g$ ). (II) Output converter voltage ( $v_l$ ) and load current ( $i_l$ ). (III) Grid voltage ( $e_g$ ), input circulating current ( $i_{go}$ ) and internal currents ( $i_{g1}$  and  $i_{g2}$ ). (IV) Dc-link voltages ( $v_{C1}$  and  $v_{C2}$ ), internal currents ( $i_{l1}$  and  $i_{l2}$ ) and output circulating current ( $i_{lo}$ ).

The dc-link voltages are under control [see Fig. 14(a)–(I)] with voltages equal to 210 V. Fig. 14(a)-(I) and (a)-(II) shows the three-level voltages  $v_g$  and  $v_l$  because single-carrier PWM is applied. Fig. 14(a)-(III) and (a)-(IV) shows that the circulating currents ( $i_{go}$  and  $i_{lo}$ ) are close to zero. Furthermore, as expected, the parallel converter provides current reduction of each leg of the converter as shown in Fig. 14(a)-(III) and (a)-(IV).

Fig. 14(b) presents another set of experimental results using double-carrier PWM. Fig. 14(b)-(I) and (b)-(II) illustrates a reduction in the ripple of the grid and load currents when double-carrier PWM is applied. These figures also show the five-level voltages at the grid and load side, with the level of the voltage at the grid side well defined. On the other

hand, circulating currents are null and they have a larger ripple when compared to the circulating current with single-carrier PWM.

Fig. 14(c) presents the experimental results using the modified-carrier PWM. Fig. 14(c)-(I) and (c)-(II) shows the five-level voltages at the grid and load side well defined. Verify that the proposed converter with modified-carrier PWM strategy provides high power quality at the input and output voltage converter ( $v_g$  and  $v_l$ ). The behavior of voltages and currents is in agreement with the simulation results presented.

Table VII shows the THD measured of the proposed 6L4D topology and conventional 4L and 3L2D converters. The THD

TABLE VII  
EXPERIMENTAL THD FOR 4L, 3L2D, AND 6L4D TOPOLOGIES

	4L	3L2D	Proposed		
			SC	DC	MC
$i_g$	4.45%	5.15%	5.37%	3.04%	2.71%
$i_{g1}$	—	—	5.49%	5.29%	4.96%

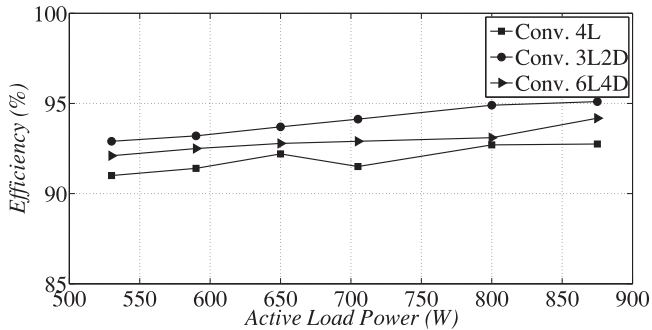


Fig. 15. Measured converter efficiencies of the 6L4D, 3L2D, and 4L configurations for different load conditions.

values are measured with  $l_g = l'_g/2$  and  $l_l = l'_l/2$ . For proposed topology, the three PWM strategies were applied. The best result was obtained for proposed topology with modified carrier PWM. The grid current ( $i_g$ ) and the internal current ( $i_{g1}$ ) THD values are noticed as 2.71% and 4.96%, respectively. As expected, the higher THD value was measured to unidirectional 3L2D and 6L4D (with single-carrier PWM) topologies, a similar value was calculated for these topologies. Compared to 4L and 3L2D topologies the improvement of harmonic distortion content was 39% and 48%, respectively.

The efficiency of converters 4L, 3L2D, and 6L4D for different load conditions can be seen in Fig. 15. In order to obtain these results, only  $RL$  load was applied. The efficiency was obtained considering the same conditions for all the converters. The highest efficiency was obtained for 3L2D converter and the lowest for converter 4L, as expected. The proposed converter presented the highest efficiency of 94.18% at full load conditions and the lowest efficiency of 92.30% at 60.6% of full load conditions. It should be noticed that if the same harmonic distortion is considered for topologies 4L, 3L2D, and 6L4D, the efficiency of the proposed converter will be the highest, since it is necessary to increase the switching frequency of 4L and 3L2D topologies to achieve the same harmonic distortion of the 6L4D topology.

## IX. CONCLUSION

A unidirectional parallel single-phase ac–dc–ac converter has been proposed in this paper. It is composed of a single-phase load fed by two parallel single-phase three-leg ac–dc–ac converters with minimized controlled switches without an isolation transformer. In order to improve the performance of the proposed configuration, a modified interleaved PWM technique is presented. The technique ensures five-level

voltages at the input and on the output side of the proposed converter, which decreases the THD. It has been demonstrated that, when compared to conventional 4L, 3L, and 3L2D, the proposed converter allows the reduction of the current and the power ratings processed by the power switches and the THD. Also, when compared with topologies 4L and 3L, the proposed topology has reduced semiconductors power losses and, consequently, has better efficiency. These characteristics make the proposed converter suitable for applications such as universal active power filters and UPS. Experimental and simulation results validate the operation of the proposed converter.

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