

Optimum Phase Shift in the Self-Oscillating Loop for Piezoelectric-Transformer-Based Power Converters

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Abstract—A new method is implemented in designing of self-oscillating loop for driving piezoelectric transformers (PT). The implemented method is based on combining both analog and digital control systems. Digitally controlled time delay through the self-oscillating loop results in very precise frequency control and ensures optimum operation of the PT in terms of gain and efficiency. Time delay is implemented digitally for the first time through a 16-b digital-to-analog converter in the self-oscillating loop. The new design of the delay circuit provides 45-ps time resolution, enabling fine-grained control of phase in the self-oscillating loop. This allows the control loop to dynamically follow frequency changes of the transformer in each resonant cycle. Ultimately, by selecting the optimum phase shift, maximum efficiency under the load and temperature condition is achievable.

Index Terms—Optimum delay line, phase shift, piezoelectric transformer (PT), self-oscillating loop, switch-mode power supply (SMPS), zero-voltage switching (ZVS).

NOMENCLATURE

ADL-CEZC	Adjustable delay line circuit added to the CEZC block.
C	Resonant capacitance of the PT.
C_{d1}	Input electrode capacitance of the PT.
C_{d2}	Output electrode capacitance of the PT.
CEZC	Current estimation zero crossing.
DDL	Dynamic delay line.
DDL_{in}	Input signal of the DDL block.
DDL_{out}	Output signal of the DDL block.
$EDDL_{in}$	Input signal of the digitized delay line block passed through the edge detector.
FF	Flip-flop.
FPGA	Field-programmable gate array.
FTD	Fixed time delay.
HS	High-side gate voltage.

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i_{res}	The resonant current of the PT.
L	Internal inductance of the PT.
LS	Low-side gate voltage.
MOSFET	Metal–oxide–semiconductor field-effect transistor.
ODL	Optimum delay line circuit block.
ODL_{out}	Output signal of the optimum delay line circuit block.
R	Dielectric losses inside the transformer.
R_m	Matched load for the PT.
v_F	Switching voltage.
ZCi_{res}	Zero-crossed signal of the estimated resonant current.
ZVS	Zero-voltage switching.
ω	Switching angular frequency.
ϕ_I	Phase shift of the resonant current with reference to the turn-off time of the low-side switch.

I. INTRODUCTION

PIEZOELECTRIC transformer (PT) based switch-mode power supplies (SMPS) have never seen wide-spread commercial success, but still see development and use in niche applications where their replacement is difficult [2]–[4]. Specifically, inductorless PT-based SMPS are being used because of their magnetic neutrality and immunity to high magnetic fields, where no substitute technology exists [5]–[8]. While these transformers exhibit some advantages over conventional-transformer-based converters, such as smaller size, lighter weight, and lower electromagnetic interference [9]–[12], their research interest has been hampered by their long prototype iteration times. The PT is normally operated in a narrow frequency band around its fundamental or primary resonance frequency with a matched load coupled to the output of the transformer. The optimum operating frequency shows strong dependence on parameters such as temperature, load, fixation, and age [9], [13]–[15]. In order to optimally operate a PT at a frequency slightly above resonance [16], where it exhibits inductive behavior, it is necessary to follow the parametrically dependent resonant peak [13], [17]–[19].

When an inductorless topology is employed for PT-based converters, the operating frequency of the transformer is reduced to a narrow band in which it exhibits an inductive behavior. Due to a very high Q factor, small variations in the resonant frequency can easily cause instability in the converter. However,

keeping operating frequency at a proper point slightly above resonant frequency is difficult through open-loop control. As a consequence, closed-loop control is indispensable for compensating influence of parameters such as load and temperature, in order to have stable transformer operation and thereby accurate converter performance [12], [20]–[22]. Nonetheless, despite their difficulty in implementation and higher cost, inductorless topologies are the only solution in applications where magnetic neutrality of the converter is of paramount importance. The converter presented herein is designed to be used inside of a magnetic resonance imaging (MRI) scanner, in the presence of magnetic fields in excess of 3 T. In such a high field, magnetic cores would fully saturate, while the uncontained magnetic fields of coreless inductors would negatively impact the scanner image quality.

Moreover, the intended final application of the converter is to drive a highly capacitive load at low frequencies, ranging from dc to 100 Hz in the form of a quasi-static piezoelectric motor. The motor is called piezoelectric actuator drive and is intended to be used inside an MRI chamber for precise positioning of the patient table within the bore of the scanner itself [23]. Therefore, the load range is narrow and quasi-static from the converter perspective as it essentially meant to operate in the tracking dc mode.

The options for closed-loop control are phase-locked loop (PLL) and self-oscillating loop control methods. The PLL approach, which is also a controlled oscillator, is not a good option for the inductorless PT-based converters, as their multiperiod lock-in delays do not allow for fast tracking of changes in converter operating point [16]. Thus, self-oscillating loop is used for the closed-loop control of the transformer's operating point, which enables cycle-by-cycle adjustments.

The self-oscillating loop is able to adjust its phase shift to follow the PT's resonant frequency. An implemented adjustable time delay compensates for the rest of the phase shift in the loop for frequency variations. This is more important when a PT-based converter is operating in a bidirectional mode for energy recovery [21]. As an example, when the energy transferred by the converter needs to be controlled to maintain dc output voltage at different voltage levels, the PT's load changes [19], [21]. Any change in the PT's load causes a change in its operating point [19]. In order to keep the PT operating efficiently, its driving frequency should follow resonance peak changes. This is performed by changing the converter's switching frequency. The switching frequency is controlled through a self-oscillating loop [20], [24]. Therefore, by changing the predesigned phase shift, the switching frequency follows variations in the PT's resonant current.

Phase shift compensation with high resolution becomes necessary, especially when the load of the converter is variable. Notably, the PT's transfer function also varies with temperature [13], [25]. These variations directly translate to the PT's load variations. If the total phase shift of the loop is not properly adjusted to an integer factor of 360° , it causes a damping of the resonant current. Therefore, closed-loop operation cannot be achieved and basically the converter will not start working. Therefore, very fine resolution for phase shift adjustment is

required. A more thorough explanation of the self-oscillating loop is provided in the Section II.

Several attempts have been made for closing the feedback loop in the PT-based SMPS [12], [20], [26]. In previous research, an adjustable time delay block that controls the total loop phase has been implemented for a bidirectional converter through an analog circuit. This was done by detecting peaks in the PT's resonant current [21], [27]. In a closed-loop operation, 360° phase difference cannot be ensured for the load or temperature variations of the PT, particularly in bidirectional operation [21]. The principle behind self-oscillation obtained in the prior art is explained in the Section II-A and experimental results are provided in the Section II-C.

The analog implementation becomes unstable when the phase error approaches zero [21]. To solve this problem, a mixed-signal phase shift compensation is applied in this paper. Changes in the PT's resonant frequency are compensated for by detecting and adding required phase shift in order to obtain a full loop phase shift of 360° . Furthermore, digital implementation allows for fine changes in time delay inside the loop for frequency tracking. Compensation is performed by adding a finely controlled time delay to the feedback chain. Resolution of the applied time delay is 45 ps. This ensures that the added time delay is finely controllable in order to precisely adapt the frequency of the self-oscillating loop and match changes in the PT's operating point [28]. More explanation about the proposed method is provided in the Section III-A and experimental results are provided in the Sections II-C and IV. This further ensures soft-switching operation of the PT and therefore the highest attainable efficiency.

II. SELF-OSCILLATING LOOP FOR PT-BASED CONVERTERS

A. Principle and Design Considerations

Any PT presents three distinct circuit behaviors with frequency from its impedance perspective. It is capacitive at low frequencies, behaves like a resistor at resonance and antiresonance, and presents inductive properties in between. This is illustrated in a simplified, qualitative fashion in Fig. 1(a). In order for a PT-based inductorless resonant converter to be able to both soft switch and oscillate, the PT needs to be operated in its inductive region. This is achieved by ensuring a phase lag between the transformer current and its corresponding voltage of up to $\pi/2$ radians. In a simplified fashion, this can be achieved in a switch-mode converter by using the PT resonant current to generate the corresponding switching waveforms while ensuring the right amount of time delay to maintain transformer inductive operation. Fig. 1(b) illustrates this process. Thereby, delays induced by circuitry in these types of converters are actually beneficial, as long as the total amount of circuit delay does not exceed the resonant period length of the transformer.

Essentially, two requirements need to be satisfied in order to be able to produce sustained oscillation in closed loop. One is that the phase angle of the entire loop should be an integer multiple of 360° ; the other requirement is that the loop gain should be greater than unity to start-up oscillation. This criterion is the Barkhausen oscillation criterion for feedback systems

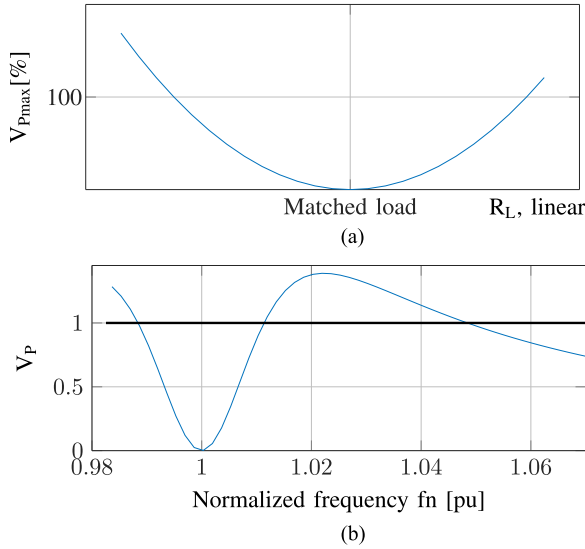


Fig. 4. (a) Soft-switching factor for PT as a function of load resistance and (b) normalized frequency versus normalized soft-switching factor for PT; $R = 98 \text{ m}\Omega$, $C = 11.27 \text{ nF}$, $L = 733 \text{ }\mu\text{H}$, $C_{d1} = 112 \text{ nF}$, $C_{d2} = 14.6 \text{ pF}$, $N = 112$.

where $\phi_I \in [0, \pi]$ is the current phase angle and ω is the same angular frequency defined above.

The amplitude of the fundamental resonant current grows with time, until its level is large enough compared to the self-induced oscillation. Since the amplitude of the sinusoidal waveform at the output of low-pass filter (LPF), shown in Fig. 2, is greater than the amplitude of the self-induced oscillation waveform, the oscillator operates as a comparator. This allows the comparator's behavior to change from that of an oscillator to that of a true comparator. Therefore, it compares resonant current with a dc level in order to mark the zero crossing of the current. The loop is designed for the case where the PT is connected to the resistive matched load [20] by considering Mason's equivalent parameters [33], [34]. The load resistance is obtained from

$$R_{\text{matched}} = \frac{1}{\omega C_{d2}}. \quad (4)$$

The reasoning behind this design choice is that a matched load is considered to be the worst case scenario for a PT, in terms of achieving soft switching [35]. Attaining zero-voltage switching (ZVS) is crucial for efficient converter operation. Fig. 4(a) shows the trend of load resistance versus ZVS factor [35]. The ZVS factor, denoted as V'_P , is a dimensionless, qualitative metric that provides a simplified measure of the soft-switching capability of a PT used as a resonant tank in an inductorless converter configuration. At the matched load, the energy transfer through the PT is maximum and therefore its efficiency is maximized as well. This results in a point of minimum on the ZVS factor axis [19], [34]. The role of the ZVS factor is to provide the worst case scenario for analyzing PTs in terms of soft-switching capability. Therefore, if ZVS is achieved for the matched load, it will be obtained for other loads as well [35]. The expression for the soft-switching factor is based on an analytic analysis of ZVS in

the following equation [36]:

$$V'_P = \frac{1}{n^2} \frac{C_{d2}}{C_{d1}} \frac{36\sqrt{6}}{9\pi^2} \eta \quad (5)$$

where η is the efficiency of the transformer and n is the transformation ratio. If the ZVS factor V'_P is above unity, then the PT is capable of achieving soft switching. The full mathematical derivation and proof of general validity for this factor are elaborated in [35] and [36]. For the sake of clarity, the proof will not be presented here. Therefore, the ZVS region is a narrow frequency range above resonance, where the PT behaves as an inductor and $V'_P > 1$. Furthermore, the ZVS bandwidth of the PT is a ratio of L/C for a constant resonance frequency [35]. Equation (5) and Fig. 4(b) are based on a primary analysis of ZVS in order to justify the necessity to design a driver and self-oscillating loop for the matched load, where Fig. 4(b) shows the soft-switching factor for a PT as an example [35].

B. Current Estimation Zero Crossing (CEZC)

The resonance current in the PT is not directly measurable and is therefore reconstructed using two measurement points, which successively capture the individual dynamics within either conduction or dead time. Voltage $v_B(t)$ across R_B , denoted as V_B in Fig. 3 measures the dynamics of the resonance current while the switches are ON. Voltage $v_A(t)$ across R_A , denoted as V_A in the same figure, measures the dynamics of the resonant current during dead time, while both switches are OFF. The current in this period is supplied by the Mason-equivalent inductor to the PT's input capacitor C_{d1} . This current can be measured by differentiating the voltage across C_{d1} . This is performed by using C_A and R_A as a differentiator. C_A should be at least ten times lower than C_{d1} in order to not affect the input capacitance of the PT and, subsequently, dead time and ZVS factor of the transformer. R_A and R_B are also chosen to have low values and in order to set a ratio between $v_A(t)$ and $v_B(t)$ for the input of the op-amp. Subtraction of these two voltage waveforms through the op-amp results in elimination of the steady-state current through both measurement points and therefore an approximate sine waveform representing the resonant current will be reconstructed in the output [16], [20], [37]. The voltage in the output of the op-amp is thus

$$\begin{aligned} v_{\text{out}|opamp}(t) &= G_1(v_A(t) - v_B(t)) \\ &= G_1(R_A C_A \frac{d}{dt} v_{C_{d1}}(t) - R_B i_{\text{res}}(t)) \end{aligned} \quad (6)$$

where G_1 represents the gain of the op-amp. The estimated current has a 180° phase shift compared to the resonance current, which results in the same zero-crossing points. Thereafter, the estimated resonance current is transmitted to a second-order low-pass filter (LPF), which provides an additional phase shift through the feedback loop. In order to have adequate phase shift through the LPF, its cutoff frequency is adjusted to be around the resonance frequency. The sole purpose of this additional phase shift is to adjust the control variable zero point to an initial, arbitrary phase shift. This is purely for ease of experimentation and the same effect can be achieved by initializing

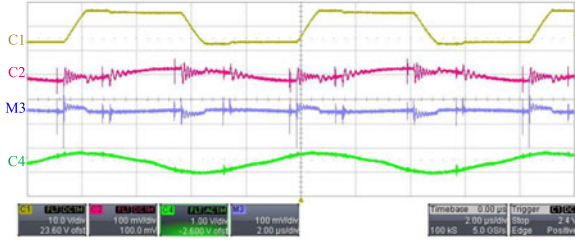


Fig. 5. Reconstruction of the resonant current. C1: switching voltage v_F , C2: V_A , M3: V_B , C4: $G1 (V_A - V_B)$.

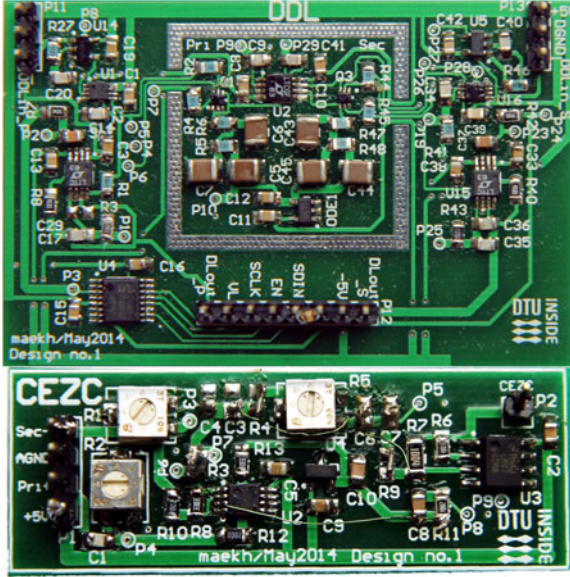


Fig. 6. DDL and CEZC-A boards.

the control variable to a value different from zero. Additionally, some harmonics are eliminated by the LPF, resulting in a smoother waveform, which contains the fundamental harmonic of the resonance current. The filtered signal is transmitted to the comparator and generates a square wave indicating the zero crossing of the input signal. The square wave signal is fed into the adjustable time delay in order to compensate for the rest of the phase shift to have a total of 360° in the whole loop from the input of the gate driver to the output of feedback loop. In case the switching frequency needs to be decreased, the total phase shift should be increased.

C. Experimental Results

Fig. 5 shows the experimental waveforms. The designed board is shown in Fig. 6. A radial-mode PT with Mason's equivalent circuit, shown in Fig. 3, is used, driven by square wave signals with a switching frequency of 118.3 kHz, while driving a resistive load of 225 Ω . Moreover, the reconstructed resonant current from voltages V_A and V_B is shown in Fig. 5. Furthermore, the equivalent parameter values of the PT are measured and shown in Table I. The most important circuit components are identified in Table II. Phase shifts and corresponding time delays between stages are measured and shown in Table III.

TABLE I
PT EQUIVALENT PARAMETERS

Parameter	Value	Parameter	Value
C_{d1}	3.83 nF	C_{d2}	626 pF
C	565 nF	R	5.63 Ω
L	3.5 mH	N	3.57

TABLE II
MAIN CIRCUIT COMPONENTS

Component	Model	Manufacturer
MOSFET	IPD600N25N3 G	Infineon
Gate Driver	MAX15019	Maxim
DAC	AD5689	Analog Devices

TABLE III
PHASE SHIFT DURING ONE SWITCHING CYCLE IN THE SELF-OSCILLATING LOOP; SWITCHING FREQUENCY IS 118.3 KHz WITH TIME PERIOD OF 8.45 μ s

Delay	Time [μ s]	Phase [$^\circ$]	Duty cycle [%]
HS \rightarrow HSGD	1.59	67.8	18.85
HSGD \rightarrow I_{est}	0.27	11.5	3.19
$I_{est} \rightarrow$ LPF	6.09	259.4	72.04
LPF \rightarrow CEZC	0.5	21.3	5.92
Total	8.45	360	100

III. PHASE-SHIFT SELF-OSCILLATING LOOP WITH DIGITAL DELAY LINE

A. Digitized Delay Line

An initial investigation, performed by mapping relative changes in the frequency to the output voltage variations, confirms the necessity of very fine time adjustment capabilities. The result of this investigation shows that there is a measurable change in the amplitude of the PT's output voltage for every 10 Hz change in switching frequency. For example, if the operating frequency of 100 kHz increases by 10 Hz, the output voltage shows a considerable change in the amplitude, with empirical experiments showing up to 25% deviation. This output voltage variation depends on the transformer, the range of operating frequency, and the output load. Therefore, a precision of minimum 10 Hz is set as a design target, which results in a minimum 1-ns time delay resolution, as

$$\Delta t_{res} = \left| \frac{1}{f_2} - \frac{1}{f_1} \right| \Rightarrow \left| \frac{1}{100000} - \frac{1}{100010} \right| \approx 1 \text{ ns.}$$

where Δt_{res} is the desired time step and f_1 and f_2 represent an arbitrary change in operating frequency. Thereby, a phase shift self-oscillating closed loop is designed together with the contribution of the digital-to-analog converter (DAC) and field-programmable gate array (FPGA). These are used to implement a high-resolution time delay inside the dynamic time delay block.

Fig. 7(a) shows the circuit designed for the dynamic delay line (DDL) together with the drawing of the input and output

rising edge of ZC_i is used for turning on the high-side switch. By working on the different operating frequency ranges, there is a need for adjusting the phase shift of the LPF inside the CEZC analog block to synchronize the ZC_i and high-side signals. In order to avoid adjusting phase shift in hardware, a digital time delay circuit is added to the CEZC block, named adjustable delay line (ADL-CEZC). The delayed estimated resonant current is then tied to the optimum delay line circuit block (ODL). The output of the ODL is then capable of prolonging the switching period by changing the on time of the switches (T_{on}). The output of the ODL is fed into the control block in order to generate the high-side and low-side signals as input to the gate driver. In addition to the hardware control gates, the FPGA is also capable to break the control loop and force open-loop operation through the control block.

Fig. 8(b) shows a more detailed view of the feedback path from Fig. 8(a), while also expanding on the main input and output signals inside the block diagram. The voltage v_F is the transformer's primary-side voltage while exhibiting soft switching. i_{res} shows the resonance current of the PT. However, in the PT-based SMPS the resonant current is dependent on the characteristic parameters of the PT and it changes its polarity when either the switches are turned on or their body diodes conduct. Therefore, depending on the operating frequency and temperature of the PT, there is a phase shift between the resonant current and the switching voltage, that is defined as ϕ_I in (3), [34]. The signal $ZC_{i_{res}}$ shows the zero-crossing resonant current. The output of the adjustable delay line (ADL-CEZC) turns on the half-bridge switches. This block is composed of a digital delay line (DL_{on}) and an FF with 50% square waves in its input and output. The 50% square wave signal fed into the ODL and it can be time delayed through a reference signal. In the end, the one-shot pulse signal (DL_{off}) generated in the output of the ODL turns OFF the MOSFETs. The high-side and low-side switches are turned ON by rising edges of ZC_{dly} and $\overline{ZC_{dly}}$ signals, respectively, which are used as clock inputs to the control block FFs. The output of the ODL block is then used to reset the FFs, thereby turning the switches OFF.

The dead time is first adjusted for a specific design regarding a certain PT and switching frequency. By adjusting the time delay for turning the switches OFF, the frequency of self-oscillation changes. The propagation delay in the control block is assumed negligible in the waveforms shown in Fig. 8(c).

IV. RESULTS

A prototype has been built and analyzed. Great focus has been placed on full circuit modularity. Fig. 6 shows designed modular boards for DDL and CEZC blocks. The circuit implemented for CEZC is very similar to one explained in Section II-B. Fig. 9 shows signals in the input and output of the DDL module in the setup.

Fig. 10 shows the full converter efficiency as a function of both the delay and per-unit voltage gain. The input voltage is 24 V and the load is a 225- Ω resistor in parallel with a 470- μ F capacitance. For each specific output voltage, the delay has been swept from a minimum value to the maximum value in the range

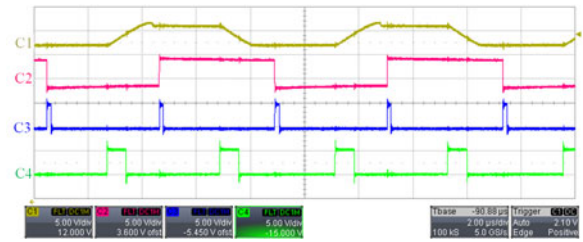


Fig. 9. Signal waveforms where dynamic delay is applied; C1: switching waveform ($v_F(t)$); C2: CEZC as input of DDL block; C3: one-shot pulse as input of DDL block ($EDDL_{in}$); and C4: output of DDL (DDL_{out}).

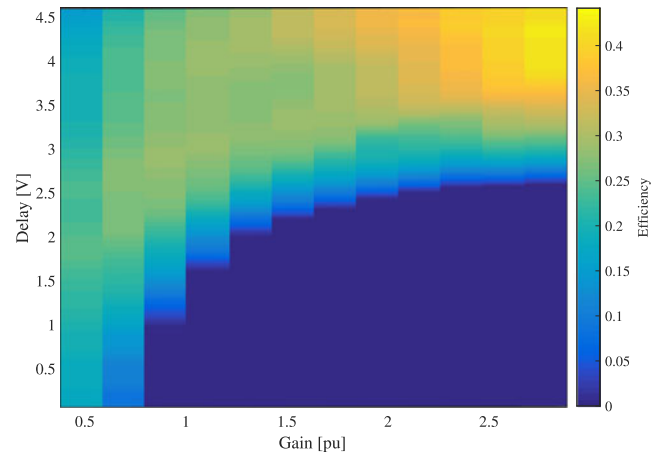


Fig. 10. Experimental results: Efficiency as a function of delay and per-unit voltage gain.

that ZVS is obtained. The starting point for the delay sweep is set to 115.6 kHz for each voltage gain step. Efficiency is increasing with both delay and gain, but the delay is seen to have a more important impact on the final result. As the output power increases, the overall soft-switching region narrows down drastically, with the converter actually being unstable in the dark blue region. Moreover, while switching losses are eliminated through soft switching, due to the relatively low switching frequencies, conduction losses are still present and have a significant impact on the overall efficiency, especially in the low-power region. That being said, the overall efficiency may seem unattractive but this is attributed mainly to a suboptimal PT design process. Finally, the overall performance of the proposed mixed-signal controller is independent of the obtained efficiency, as its dual capability of following changes in operation point and ensuring soft switching is achieved.

V. CONCLUSION

General operation of a self-oscillating loop for PT-based power converters was explained. The designed circuit for operating the transformer together with experimental results were provided. The circuit is based on a new idea for compensating and controlling the total loop phase shift for the self-oscillating PT loop through digital means, in order to achieve and maintain soft switching. This is combined with a resonance current estimation that is able to start and maintain the circuit oscillation. The operation of and cooperation between the analog resonance

current estimator and digital phase shift adjustment were presented in detail, together with some insight into the performance of the designed circuit. The concept was proven through experimental results. 45-ps time-step resolution is deemed more than sufficient for adjusting the phase shift of the loop. The designed circuit is able to follow fine phase changes in the resonance frequency of the PT in every cycle. Experimental results show the proof of concept. Although this application is focused on PT-based power converters, the method has a general application and can potentially be used for other types of resonant converters. The main advantage is that the method implemented has flexibility for phase shift compensation, but the disadvantage is a complex control method.

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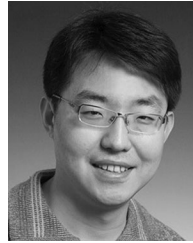
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