

# Observer-Pattern Modeling and Nonlinear Modal Analysis of Two-Stage Boost Inverter

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**Abstract**—This paper deals with modeling and nonlinear modal analysis of two-stage boost inverter. An observer-pattern modeling method is proposed to eliminate the time-variance effect from both fundamental component in the load stage and “hidden” second-harmonic one in the source stage. Then, based on the observer-pattern model, the nonlinear modal analysis method is applied to obtain a closed-form analytical representation of the nonlinear system, which yields a great deal of physical insight into the system dynamics. First, fundamental modal analysis is calculated to indicate the correlation between fundamental modes, state variables, and circuit parameters. Such key parameters as  $C_1$  and  $T_3$  are found to be beneficial to the dynamical performance of the whole system. Second, the second-order nonlinear interaction indices are proposed to uncover the underlying mechanism of nonlinear interaction behaviors, and the relationship between modal interaction and system parameters is explored quantitatively. Finally, theoretical analysis is verified by circuit experiments. These results are beneficial to the improvement of transient performance as well as the understanding of the nonlinear interactions in transient behavior.

**Index Terms**—Hidden second harmonics, nonlinear modal analysis, observer-pattern modeling, second-order interacted mode, two-stage boost inverter.

## I. INTRODUCTION

WITH the rapid development of industrial technology, the power system is now faced with two main serious challenges: higher power quality, and particularly stricter reliability. Due to some considerable advantages such as step-down and step-up voltage operation, continuous input current, and lower input current ripple, two-stage boost inverter becomes one of the most attractive and viable solutions to the power conversion problem, i.e., the control of the power flow from dc to ac, and are gaining increased acceptance in many applications such as photovoltaic array and servo-motor drive [1]. In actual applications, the two-stage system can inevitably suffer from a variety of disturbances such as start-up procedure, circuit topology fault, and sudden load jump [2]–[4], which may result in degrading the efficiency of systems, threatening the safe operation of cascade

converters and even making the system collapse during the transient process [5], [6]. Therefore, it is always desirable to deepen the understanding of the transient interaction behavior ahead in practical engineering.

Together with the system stability, plenty of investigations have been carried out into the transient behaviors of two-stage system in the past several decades [7]–[15]. Since the seminal work of Middlebrook [16], linearized analysis approach has become one simple, yet powerful tool to analyze the stability and transient characteristics of two-stage dc–dc converters [17], [18]. Actually, if this impedance criterion is satisfied, then the two-stage system can be decoupled, i.e., the interaction among subsystems can be ignored [19]. Subsequently, many researchers have devoted themselves to modifying the Middlebrook impedance criterion [17], [20]. For instance, Wildrick [21] and Feng *et al.* [22] proposed a forbidden region method to satisfy the requirement of the system stability margin, which can be used to analyze the system-level stability and transient behaviors because the linear interactions between the two subsystems are considered carefully. On the other hand, for the purpose of simplifying the control loop design of the source-stage converter, the input impedance of a tightly controlled load-stage converter had been usually approximately characterized as a negative resistor in small-signal SPICE circuit [19], [20]. However, in practical engineering, those results frequently gave no reasonable explanations for some transient phenomena. One main reason is that the linearized approach only reserves linear dynamical information but loses plenty of nonlinear interacted dynamical information, which is just responsible for the transient behaviors. In fact, different from two-stage dc–dc converter, the two-stage boost inverter has the “hidden” second-harmonic component at the input of the downstream H-bridge inverter because of the effect of the nonlinear interactions between the source stage and load stage [1], [23]–[25], which leads to a great deal of trouble in both system modeling and interaction analysis. Even if the bifurcation analysis method is widely used to analyze nonlinear behaviors of power switching converters [12], [15], [26], [28], the nonlinear method cannot evaluate the transient interaction behavior at all. This reason is that the bifurcation method has some good advantages in the long-term stability and dynamical evolution rather than such short-term dynamics as transient behavior [13], [27]–[30]. This becomes another barrier. Therefore, until now, the mechanism of the nonlinear transient interaction has not been explored clearly as yet.

In this paper, an observer-pattern modeling method will be proposed to eliminate the effect of time variance originated from

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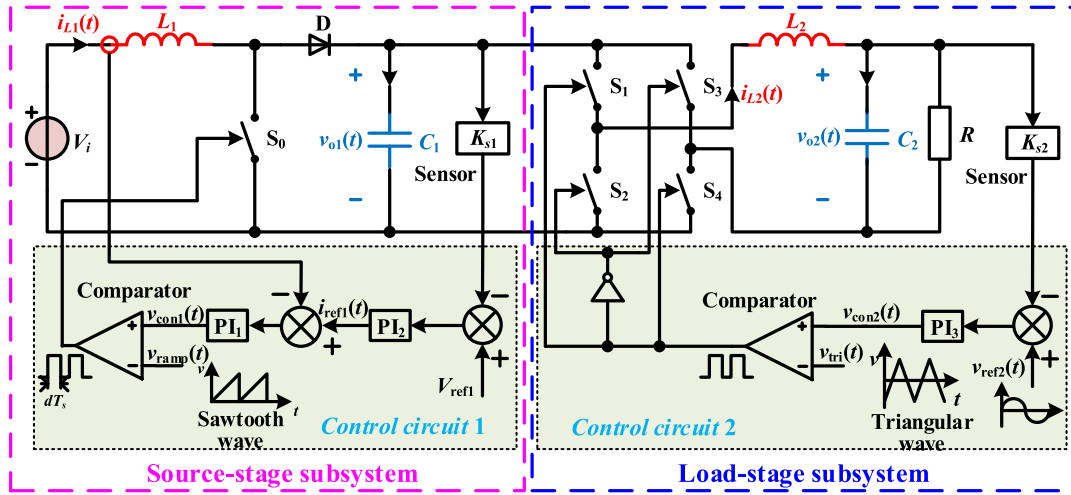


Fig. 1. Schematic diagram of the two-stage boost inverter.

both fundamental component and “hidden” second-harmonic component in the derived averaged equations of the two-stage boost inverter. Then, nonlinear modal analysis method will be adopted to obtain a closed-form approximate solution for the observer-pattern model. First, the fundamental modal sensitivity and contribution factor will be calculated to indicate the relationship among state variables, fundamental modes, and circuit parameters. Second, second-order nonlinear interaction indices will be proposed to quantify the nonlinear effect on system dynamical characteristics and the effect of system parameters on modal interactions will be investigated to reveal the mechanism of the dynamical characteristics. Finally, some experiment results will be provided to verify the theoretical analysis.

## II. SYSTEM DESCRIPTION AND NONLINEAR AVERAGED EQUATIONS

### A. Operation Principle

The two-stage boost inverter consists of a boost dc–dc source-stage converter and an H-bridge load-stage inverter, as shown in Fig. 1. In the source-stage converter, an average-current-mode controller is employed to ensure the fast dynamic response. The reference current  $i_{\text{ref}1}(t)$  and the control signal  $v_{\text{con}1}(t)$  can be written as

$$i_{\text{ref}1}(t) = K_1 [V_{\text{ref}1} - K_{s1} v_{o1}(t)] + \frac{K_1}{T_1} \int_0^t [V_{\text{ref}1} - K_{s1} v_{o1}(\tau)] d\tau \quad (1)$$

$$v_{\text{con}1}(t) = K_2 [i_{\text{ref}1} - i_{L1}(t)] + \frac{K_2}{T_2} \int_0^t [i_{\text{ref}1} - i_{L1}(\tau)] d\tau \quad (2)$$

where  $K_{s1}$  is the sampling coefficient of the source-stage voltage sensor,  $K_k$  and  $T_k$  ( $k = 1, 2$ ) are the proportional coefficient and integral coefficient, respectively.

The sawtooth ramp signal  $v_{\text{ramp}}(t)$  is given by

$$v_{\text{ramp}}(t) = V_{m1} \frac{t \bmod T_{s1}}{T_{s1}} \quad (3)$$

where  $T_{s1}$  is the switching period and  $V_{m1}$  is the amplitude of  $v_{\text{ramp}}(t)$ .

The voltage-mode controller is adopted in the load-stage inverter. The expression for the control signal  $v_{\text{con}2}(t)$  is

$$v_{\text{con}2}(t) = K_3 [v_{\text{ref}2}(t) - K_{s2} v_{o2}(t)] + \frac{K_3}{T_3} \int_0^t [v_{\text{ref}2}(\tau) - K_{s2} v_{o2}(\tau)] d\tau \quad (4)$$

where  $K_3$  is the proportional coefficient,  $T_3$  is the integral coefficient,  $K_{s2}$  is the sampling coefficient of the load-stage voltage sensor, and the reference voltage  $v_{\text{ref}2}(t)$  is  $\sin(\omega t)$ .

The triangular signal  $v_{\text{tri}}(t)$  is given in (5) shown at the bottom of this page, where  $T_{s2}$  is a switching period and  $V_{m2}$  is the amplitude of  $v_{\text{tri}}(t)$ .

Suppose that the source-stage converter operates under continuous conduction mode, then the diode  $D$  and the switch  $S_0$  will turn ON and OFF complementarily. In the load-stage inverter, one pair of switches  $S_1, S_4$  and the other pair  $S_2, S_3$  are also in complementary conduction.

### B. Derivation of Nonlinear Averaged Equations

As is known well, a state averaged approach is used to characterize the dynamics of power switching converters [27]. Based

$$v_{\text{tri}}(t) = \begin{cases} \frac{4V_{m2}}{T_{s2}} t - V_{m2} & nT_{s2} \leq t < \left(n + \frac{1}{2}\right) T_{s2} \\ -\frac{4V_{m2}}{T_{s2}} t + 3V_{m2} & \left(n + \frac{1}{2}\right) T_{s2} < t \leq (n+1)T_{s2} \end{cases} \quad (n = 0, 1, 2, \dots) \quad (5)$$

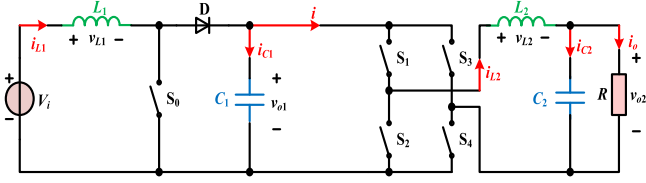


Fig. 2. Power stage of the two-stage boost inverter.

on the averaged approach, a set of nonlinear averaged equations will be derived for the two-stage boost inverter.

1) *Power Stage*: The power stage of the two-stage boost inverter is illustrated in Fig. 2. Here, we assume that  $i_{L1}$ ,  $i_{L2}$ ,  $i_{C1}$ ,  $i_{C2}$ ,  $i_o$ ,  $v_{L1}$ ,  $v_{L2}$ ,  $v_{o1}$ , and  $v_{o2}$  represent the corresponding averaged variables within one switching period, respectively. Then, according to the power balance principle, the following expression can be obtained:

$$-V_i i_{L1} + v_{L1} i_{L1} + v_{o1} i_{C1} + v_{L2} i_{L2} + v_{o2} i_{C2} + v_{o2} i_o = 0. \quad (6)$$

Suppose that  $d_1$  and  $d_2$  are the duty cycles of the source stage and the load stage, then a set of differential equations with respect to  $L_1, C_1$  and  $C_2$  are given as

$$\begin{cases} L_1 \frac{di_{L1}}{dt} = V_i - (1 - d_1)v_{o1} \\ C_1 \frac{dv_{o1}}{dt} = (1 - d_1)i_{L1} - (2d_2 - 1)i_{L2} \\ C_2 \frac{dv_{o2}}{dt} = i_{L2} - \frac{1}{R}v_{o2} \end{cases} \quad (7)$$

Substituting (7) into (6), the power balance (6) can then be rewritten as

$$\begin{aligned} V_i i_{L1} = [V_i - (1 - d_1)v_{o1}] i_{L1} + v_{o1} [(1 - d_1)i_{L1} \\ - (2d_2 - 1)i_{L2}] + L_2 \frac{di_{L2}}{dt} i_{L2} + v_{o2} (i_{L2} - i_o) + v_{o2} i_o. \end{aligned} \quad (8)$$

By simplifying (8), the following differential equation of  $i_{L2}$  can be obtained as:

$$L_2 \frac{di_{L2}}{dt} = (2d_2 - 1)v_{o1} - v_{o2}. \quad (9)$$

By combining (7) and (9), the averaged equations of the power stage are derived as follows:

$$\begin{cases} L_1 \frac{di_{L1}}{dt} = V_i - (1 - d_1)v_{o1} \\ C_1 \frac{dv_{o1}}{dt} = (1 - d_1)i_{L1} - (2d_2 - 1)i_{L2} \\ L_2 \frac{di_{L2}}{dt} = (2d_2 - 1)v_{o1} - v_{o2} \\ C_2 \frac{dv_{o2}}{dt} = i_{L2} - \frac{1}{R}v_{o2} \end{cases} \quad (10)$$

2) *Control Circuit*: The controller of the source-stage converter is composed of voltage outer loop and current inner loop. Based on (1) and (2), the differential equations of controller are

given as

$$\begin{aligned} \frac{di_{\text{ref1}}}{dt} = & -\frac{K_1 K_{s1}}{C_1} (1 - d_1) i_{L1} + \frac{K_1 K_{s1}}{C_1} (2d_2 - 1) i_{L2} \\ & - \frac{K_1 K_{s1}}{T_1} v_{o1} + \frac{K_1}{T_1} V_{\text{ref1}} \end{aligned} \quad (11)$$

$$\begin{aligned} \frac{dv_{\text{con1}}}{dt} = & -\frac{K_2}{T_2} i_{L1} - \frac{K_1 K_2 K_{s1}}{C_1} (1 - d_1) i_{L1} - \frac{K_1 K_2 K_{s1}}{T_1} v_{o1} \\ & + \frac{K_2}{T_2} i_{\text{ref1}} + \frac{K_2}{L_1} (1 - d_1) v_{o1} + \frac{K_1 K_2 K_{s1}}{C_1} (2d_2 - 1) i_{L2} \\ & + \frac{K_1 K_2}{T_1} V_{\text{ref1}} - \frac{K_2}{L_1} V_i. \end{aligned} \quad (12)$$

The PWM module can be described as [4]

$$d_1 = \frac{v_{\text{con1}}}{V_{m1}}. \quad (13)$$

For the load-stage voltage controller, the following differential equation can be derived:

$$\begin{aligned} \frac{dv_{\text{con2}}}{dt} = & -\frac{K_3 K_{s2}}{C_2} i_{L2} + \left( \frac{K_3 K_{s2}}{RC_2} - \frac{K_3 K_{s2}}{T_3} \right) v_{o2} \\ & + \frac{K_3}{T_3} V_{\text{ref2}} \sin(\omega t) + K_3 V_{\text{ref2}} \omega \cos(\omega t). \end{aligned} \quad (14)$$

Additionally, the SPWM module of the load-stage control circuit can be described as follows [27]:

$$d_2 = \frac{1}{2} \left( 1 + \frac{v_{\text{con2}}}{V_{m2}} \right). \quad (15)$$

Here, let  $d = 2d_2 - 1$ , then (15) can be rewritten as

$$d = 2d_2 - 1 = \frac{v_{\text{con2}}}{V_{m2}}. \quad (16)$$

Combining (10)–(14) and (16), the two-stage boost inverter can be described by the following nonlinear averaged equations:

$$\begin{cases} \frac{di_{L1}}{dt} = -\frac{1}{L_1} v_{o1} + \frac{1}{L_1} d_1 v_{o1} + \frac{1}{L_1} V_i \\ \frac{dv_{o1}}{dt} = \frac{1}{C_1} i_{L1} - \frac{1}{C_1} d_1 i_{L1} - \frac{1}{C_1} di_{L2} \\ \frac{di_{\text{ref1}}}{dt} = -\frac{K_1 K_{s1}}{C_1} i_{L1} - \frac{K_1 K_{s1}}{T_1} v_{o1} + \frac{K_1 K_{s1}}{C_1} d_1 i_{L1} \\ \quad + \frac{K_1 K_{s1}}{C_1} di_{L2} + \frac{K_1}{T_1} V_{\text{ref1}} \\ \frac{dd_1}{dt} = \alpha_1 i_{L1} + \alpha_2 v_{o1} + \alpha_3 i_{\text{ref1}} + \alpha_4 d_1 i_{L1} + \alpha_5 d_1 v_{o1} \\ \quad + \alpha_6 di_{L2} + \alpha_7 V_{\text{ref1}} + \alpha_8 V_i \\ \frac{di_{L2}}{dt} = -\frac{1}{L_2} v_{o2} + \frac{1}{L_2} dv_{o1} \\ \frac{dv_{o2}}{dt} = \frac{1}{C_2} i_{L2} - \frac{1}{RC_2} v_{o2} \\ \frac{dd}{dt} = \beta_1 i_{L2} + \beta_2 v_{o2} + \beta_3 \sin(\omega t) + \beta_4 \cos(\omega t) \end{cases} \quad (17)$$

where

$$\begin{aligned}\alpha_1 &= -\frac{1}{V_{m1}} \left( \frac{K_2}{T_2} + \frac{K_1 K_2 K_{s1}}{C_1} \right), \\ \alpha_2 &= \frac{1}{V_{m1}} \left( \frac{K_2}{L_1} - \frac{K_1 K_2 K_{s1}}{T_1} \right), \quad \alpha_3 = \frac{K_2}{V_{m1} T_2}, \\ \alpha_4 &= \frac{K_1 K_2 K_{s1}}{C_1 V_{m1}}, \quad \alpha_5 = -\frac{K_2}{V_{m1} L_1}, \quad \alpha_6 = \frac{K_1 K_2 K_{s1}}{V_{m1} C_1}, \\ \alpha_7 &= \frac{K_1 K_2}{V_{m1} T_1}, \quad \alpha_8 = -\frac{K_2}{V_{m1} L_1}, \quad \beta_1 = -\frac{K_3 K_{s2}}{V_{m2} C_2} \\ \beta_2 &= \frac{1}{V_{m2}} \left( \frac{K_3 K_{s2}}{RC_2} - \frac{K_3 K_{s2}}{T_3} \right), \quad \beta_3 = \frac{K_3 V_{ref2}}{V_{m2} T_3},\end{aligned}$$

and

$$\beta_4 = \frac{K_3}{V_{m2}} V_{ref2} \omega.$$

From (17), it is clear that the nonlinear averaged equations of the two-stage boost inverter are time-variant, and further are divided into the two parts: the first to fourth equations are used to describe the dynamics of dc state variables (signals) with the hidden second-harmonic frequency<sup>1</sup> in the source stage and the fifth to seventh equations do the dynamics of ac state variables (signals) with the fundamental frequency in the load stage.

### III. OBSERVER-PATTERN MODELING

It is known that appropriate modeling has a decisive influence on simplifying the analysis of dynamical systems. Actually, the nonlinear averaged equations (17) are time variant, which can lead to a great deal of trouble in system modeling and analysis. Therefore, how to eliminate the effect of time-variance is very helpful in not only uncovering the underlying mechanism of complex behaviors, but also providing more design-oriented information. To achieve the above goal, an observer-pattern model will be proposed, as follows.

- 1) Eliminating the time variance from the fundamental component in the load stage: Reconstructing each imaginary orthogonal variable corresponding to each physical state variable, and then performing the Park orthogonal transformation locally.
- 2) Eliminating the time variance from the hidden second-harmonic component in the source stage: Unmasking the hidden second harmonics in terms of the instantaneous power balance principle, and then performing the autonomous transformation locally.

Here, the nomenclature in the observer-pattern model is listed in Table I so as to make the derivation more understandable.

<sup>1</sup>As mentioned in [24]–[26], the second-harmonic component is always observed in the time-domain waveforms of all state variables in the source stage. Strangely, this harmonic component cannot be found out intuitively except calculating the nonlinear equations of the source stage. That is, this harmonic component seems to be hidden in the first to fourth equations of (17).

TABLE I  
NOMENCLATURE IN THE OBSERVER-PATTERN MODEL

Symbol	Signification
$i_{L2}, v_{o2}, d$	Physical state variable in the load stage
$i_{L2i}, v_{o2i}, d_i$	Imaginary orthogonal variables in the load stage
$\mathbf{T}$	Park transformation matrix
$i_{L2d}, v_{o2d}, d_d$	Direct-axis component of state variables in the load stage
$i_{L2q}, v_{o2q}, d_q$	Quadrature-axis component of state variables in the load stage
$g, g_1, g_2$	Autonomous state variables with hidden second-harmonic component in the source stage

#### A. Eliminate the Time Variance From the Fundamental Component in the Load Stage

Since all three physical state variables  $i_{L2}$ ,  $v_{o2}$ , and  $d$  are sinusoidal, the corresponding imaginary orthogonal variables  $i_{L2i}$ ,  $v_{o2i}$ , and  $d_i$  can be reconstructed if the three physical variables are delayed for 90°. Obviously, the acquired three pairs of the orthogonal variables should satisfy the following expressions:

$$\begin{cases} \frac{d}{dt} \begin{bmatrix} i_{L2} \\ i_{L2i} \end{bmatrix} = -\frac{1}{L_2} \begin{bmatrix} v_{o2} \\ v_{o2i} \end{bmatrix} + \frac{v_{o1}}{L_2} \begin{bmatrix} d \\ d_i \end{bmatrix} \\ \frac{d}{dt} \begin{bmatrix} v_{o2} \\ v_{o2i} \end{bmatrix} = \frac{1}{C_2} \begin{bmatrix} i_{L2} \\ i_{L2i} \end{bmatrix} - \frac{1}{RC_2} \begin{bmatrix} v_{o2} \\ v_{o2i} \end{bmatrix} \\ \frac{d}{dt} \begin{bmatrix} d \\ d_i \end{bmatrix} = \beta_1 \begin{bmatrix} i_{L2} \\ i_{L2i} \end{bmatrix} + \beta_2 \begin{bmatrix} v_{o2} \\ v_{o2i} \end{bmatrix} + \beta_3 \begin{bmatrix} \sin(\omega t) \\ -\cos(\omega t) \end{bmatrix} \\ \quad \quad \quad + \beta_4 \begin{bmatrix} \cos(\omega t) \\ \sin(\omega t) \end{bmatrix} \end{cases} \quad (18)$$

Performing the Park orthogonal transformation on the three pairs of the orthogonal variables [28], [29], we can obtain

$$\begin{cases} \frac{d}{dt} \left( \mathbf{T}^{-1} \begin{bmatrix} i_{L2d} \\ i_{L2q} \end{bmatrix} \right) = -\frac{1}{L_2} \mathbf{T}^{-1} \begin{bmatrix} v_{o2d} \\ v_{o2q} \end{bmatrix} + \frac{v_{o1}}{L_2} \mathbf{T}^{-1} \begin{bmatrix} d_d \\ d_q \end{bmatrix} \\ \frac{d}{dt} \left( \mathbf{T}^{-1} \begin{bmatrix} v_{o2d} \\ v_{o2q} \end{bmatrix} \right) = \frac{1}{C_2} \mathbf{T}^{-1} \begin{bmatrix} i_{L2d} \\ i_{L2q} \end{bmatrix} - \frac{1}{RC_2} \mathbf{T}^{-1} \begin{bmatrix} v_{o2d} \\ v_{o2q} \end{bmatrix} \\ \frac{d}{dt} \left( \mathbf{T}^{-1} \begin{bmatrix} d_d \\ d_q \end{bmatrix} \right) = \beta_1 \mathbf{T}^{-1} \begin{bmatrix} i_{L2d} \\ i_{L2q} \end{bmatrix} + \beta_2 \mathbf{T}^{-1} \begin{bmatrix} v_{o2d} \\ v_{o2q} \end{bmatrix} \\ \quad \quad \quad + \mathbf{T}^{-1} \beta_3 \begin{bmatrix} \sin(\omega t) \\ -\cos(\omega t) \end{bmatrix} \\ \quad \quad \quad + \mathbf{T}^{-1} \beta_4 \begin{bmatrix} \cos(\omega t) \\ \sin(\omega t) \end{bmatrix} \end{cases} \quad (19)$$

where

$$\mathbf{T} = \begin{bmatrix} \cos \omega t & \sin \omega t \\ -\sin \omega t & \cos \omega t \end{bmatrix}.$$

Since

$$\begin{aligned} \mathbf{T} \frac{d\mathbf{T}^{-1}}{dt} &= \begin{bmatrix} \cos \omega t & \sin \omega t \\ -\sin \omega t & \cos \omega t \end{bmatrix} \begin{bmatrix} -\omega \sin \omega t & -\omega \cos \omega t \\ \omega \cos \omega t & -\omega \sin \omega t \end{bmatrix} \\ &= \begin{bmatrix} 0 & -\omega \\ \omega & 0 \end{bmatrix}, \end{aligned}$$

the equivalent model of the load-stage inverter (19) can be rewritten as

$$\begin{cases} \frac{d}{dt} \begin{bmatrix} i_{L2d} \\ i_{L2q} \end{bmatrix} = \begin{bmatrix} 0 & \omega \\ -\omega & 0 \end{bmatrix} \begin{bmatrix} i_{L2d} \\ i_{L2q} \end{bmatrix} - \frac{1}{L_2} \begin{bmatrix} v_{o2d} \\ v_{o2q} \end{bmatrix} + \frac{v_{o1}}{L_2} \begin{bmatrix} d_d \\ d_q \end{bmatrix} \\ \frac{d}{dt} \begin{bmatrix} v_{o2d} \\ v_{o2q} \end{bmatrix} = \frac{1}{C_2} \begin{bmatrix} i_{L2d} \\ i_{L2q} \end{bmatrix} + \begin{bmatrix} 0 & \omega \\ -\omega & 0 \end{bmatrix} \begin{bmatrix} v_{o2d} \\ v_{o2q} \end{bmatrix} - \frac{1}{RC_2} \begin{bmatrix} v_{o2d} \\ v_{o2q} \end{bmatrix} \\ \frac{d}{dt} \begin{bmatrix} d_d \\ d_q \end{bmatrix} = \beta_1 \begin{bmatrix} i_{L2d} \\ i_{L2q} \end{bmatrix} + \beta_2 \begin{bmatrix} v_{o2d} \\ v_{o2q} \end{bmatrix} + \begin{bmatrix} 0 & \omega \\ -\omega & 0 \end{bmatrix} \begin{bmatrix} d_d \\ d_q \end{bmatrix} \\ \quad + \beta_3 \begin{bmatrix} 0 \\ -1 \end{bmatrix} + \beta_4 \begin{bmatrix} 1 \\ 0 \end{bmatrix} \end{cases} \quad (20)$$

where  $(i_{L2d}, i_{L2q})$ ,  $(v_{o2d}, v_{o2q})$ , and  $(d_d, d_q)$  are the three pairs of the orthogonal variables  $(i_{L2}, i_{L2i})$ ,  $(v_{o2}, v_{o2i})$ , and  $(d, d_i)$  in terms of the Park orthogonal transformation, respectively.

### B. Eliminate the Time Variance From the Hidden Second-Harmonic Component in the Source Stage

In actual applications, the reactive power of the H-bridge inverter is negligible. Therefore, the input instantaneous active power of the load-stage inverter is  $P(t) = \frac{1}{2}(v_d i_d + v_q i_q)[1 - \cos(2\omega t)]$ . According to the instantaneous power balance between the source stage and load stage, the nonlinear coupling effect between two subsystems can be unmasked by the following model of the source-stage converter:

$$\begin{cases} \frac{di_{L1}}{dt} = -\frac{1}{L_1}v_{o1} + \frac{1}{L_1}d_1v_{o1} + \frac{1}{L_1}V_i \\ \frac{dv_{o1}}{dt} = \frac{1}{C_1}i_{L1} - \frac{1}{C_1}d_1i_{L1} - \frac{1}{2C_1}(d_d i_{L2d} + d_q i_{L2q}) \\ \quad \times [1 - \cos(2\omega t)] \\ \frac{di_{\text{ref}1}}{dt} = -\frac{K_1 K_{s1}}{C_1}i_{L1} - \frac{K_1 K_{s1}}{T_1}v_{o1} + \frac{K_1 K_{s1}}{C_1}d_1i_{L1} \\ \quad + \frac{K_1 K_{s1}}{2C_1}(d_d i_{L2d} + d_q i_{L2q})[1 - \cos(2\omega t)] \\ \quad + \frac{K_1}{T_1}V_{\text{ref}1} \\ \frac{dd_1}{dt} = \alpha_1 i_{L1} + \alpha_2 v_{o1} + \alpha_3 i_{\text{ref}1} + \alpha_4 d_1 i_{L1} + \alpha_5 d_1 v_{o1} \\ \quad + \frac{\alpha_6}{2}(d_d i_{L2d} + d_q i_{L2q})[1 - \cos(2\omega t)] \\ \quad + \alpha_7 V_{\text{ref}1} + \alpha_8 V_i \end{cases} \quad (21)$$

As can be seen in (21), the averaged equations contain a time-variant term  $\cos(2\omega t)$ , i.e., it is still a nonautonomous system. Since  $\cos(2\omega t)$  is one solution of the differential equation  $\ddot{g} + 4\omega^2 g = 0$ , it yields the following equations:

$$\begin{cases} \frac{dg_1}{dt} = g_2 \\ \frac{dg_2}{dt} = -4\omega^2 g_1 \end{cases} \quad (22)$$

where the initial conditions are  $g_1(0) = 1$  and  $g_2(0) = 0$ .

Combining (20)–(22), the complete observer-pattern model of the two-stage boost inverter can then be described as

$$\begin{cases} \frac{di_{L1}}{dt} = -\frac{1}{L_1}v_{o1} + \frac{1}{L_1}d_1v_{o1} + \frac{1}{L_1}V_i \\ \frac{dv_{o1}}{dt} = \frac{1}{C_1}i_{L1} - \frac{1}{C_1}d_1i_{L1} - \frac{1}{2C_1}(d_d i_{L2d} + d_q i_{L2q})(1 - g_1) \\ \frac{di_{\text{ref}1}}{dt} = -\frac{K_1 K_{s1}}{C_1}i_{L1} - \frac{K_1 K_{s1}}{T_1}v_{o1} + \frac{K_1 K_{s1}}{C_1}d_1i_{L1} \\ \quad + \frac{K_1 K_{s1}}{2C_1}(d_d i_{L2d} + d_q i_{L2q})(1 - g_1) + \frac{K_1}{T_1}V_{\text{ref}1} \\ \frac{dd_1}{dt} = \alpha_1 i_{L1} + \alpha_2 v_{o1} + \alpha_3 i_{\text{ref}1} + \alpha_4 d_1 i_{L1} + \alpha_5 d_1 v_{o1} \\ \quad + \frac{\alpha_6}{2}(d_d i_{L2d} + d_q i_{L2q})(1 - g_1) + \alpha_7 V_{\text{ref}1} + \alpha_8 V_i \\ \frac{di_{L2d}}{dt} = \omega i_{L2q} - \frac{1}{L_2}v_{o2d} + \frac{1}{L_2}d_d v_{o1} \\ \frac{di_{L2q}}{dt} = -\omega i_{L2d} - \frac{1}{L_2}v_{o2q} + \frac{1}{L_2}d_q v_{o1} \\ \frac{dv_{o2d}}{dt} = \frac{1}{C_2}i_{L2d} + \omega v_{o2q} - \frac{1}{RC_2}v_{o2d} \\ \frac{dv_{o2q}}{dt} = \frac{1}{C_2}i_{L2q} - \omega v_{o2d} - \frac{1}{RC_2}v_{o2q} \\ \frac{dd_d}{dt} = \beta_1 i_{L2d} + \beta_2 v_{o2d} + \omega d_q + \beta_4 \\ \frac{dd_q}{dt} = \beta_1 i_{L2q} + \beta_2 v_{o2q} - \omega d_d - \beta_3 \\ \frac{dg_1}{dt} = g_2 \\ \frac{dg_2}{dt} = -4\omega^2 g_1 \end{cases} \quad (23)$$

For simplicity, let

$\mathbf{X} = [i_{L1}, v_{o1}, i_{\text{ref}1}, d_1, i_{L2d}, i_{L2q}, v_{o2d}, v_{o2q}, d_d, d_q, g_1, g_2]^T$ , then (23) can be rewritten in the following compact form:

$$\dot{\mathbf{X}} = \mathbf{F}(\mathbf{X}) \quad (\mathbf{F} : R^{12} \rightarrow R^{12}) \quad (24)$$

where each element of the smooth vector field  $\mathbf{F} = [F_1, F_2, F_3, F_4, F_5, F_6, F_7, F_8, F_9, F_{10}, F_{11}, F_{12}]^T$  is

$$\begin{aligned}
 F_1 &= -\frac{1}{L_1}v_{o1} + \frac{1}{L_1}d_1v_{o1} + \frac{1}{L_1}V_i, \\
 F_2 &= \frac{1}{C_1}i_{L1} - \frac{1}{C_1}d_1i_{L1} - \frac{1}{2C_1}(d_d i_{L2d} + d_q i_{L2q})(1 - g_1), \\
 F_3 &= -\frac{K_1 K_{s1}}{C_1}i_{L1} - \frac{K_1 K_{s1}}{T_1}v_{o1} + \frac{K_1 K_{s1}}{C_1}d_1i_{L1} \\
 &\quad + \frac{K_1 K_{s1}}{2C_1}(d_d i_{L2d} + d_q i_{L2q})(1 - g_1) + \frac{K_1}{T_1}V_{\text{ref}1}, \\
 F_4 &= \alpha_1 i_{L1} + \alpha_2 v_{o1} + \alpha_3 i_{\text{ref}1} + \alpha_4 d_1 i_{L1} + \alpha_5 d_1 v_{o1} \\
 &\quad + \frac{\alpha_6}{2}(d_d i_{L2d} + d_q i_{L2q})(1 - g_1) + \alpha_7 V_{\text{ref}1} + \alpha_8 V, \\
 F_5 &= \omega i_{L2q} - \frac{1}{L_2}v_{o2d} + \frac{1}{L_2}d_d v_{o1}, \\
 F_6 &= -\omega i_{L2d} - \frac{1}{L_2}v_{o2q} + \frac{1}{L_2}d_q v_{o1}, \\
 F_7 &= \frac{1}{C_2}i_{L2d} + \omega v_{o2q} - \frac{1}{RC_2}v_{o2d}, \\
 F_8 &= \frac{1}{C_2}i_{L2q} - \omega v_{o2d} - \frac{1}{RC_2}v_{o2q}, \\
 F_9 &= \beta_1 i_{L2d} + \beta_2 v_{o2d} + \omega d_q + \beta_4, \\
 F_{10} &= \beta_1 i_{L2q} + \beta_2 v_{o2q} - \omega d_d - \beta_3, \\
 F_{11} &= g_2
 \end{aligned}$$

and

$$F_{12} = -4\omega^2 g_1,$$

respectively.

#### IV. NONLINEAR MODAL REPRESENTATION

From (24), it is evident that the two-stage boost inverter inherently belongs to one class of strongly nonlinear coupling systems. In fact, nonlinear modal analysis method is found to be one powerful and effective tool, which can straightly access the high-frequency information and the underlying interaction characteristics of the transient behaviors [30]. Hence, this method will be used to derive the nonlinear modal representation of the two-stage boost inverter.

Suppose that the equilibrium point of the two-stage boost inverter is

$$\mathbf{X}_e = [i_{L1}^e, v_{o1}^e, i_{\text{ref}1}^e, d_1^e, i_{L2d}^e, i_{L2q}^e, v_{o2d}^e, v_{o2q}^e, d_d^e, d_q^e, g_1^e, g_2^e]^T,$$

then we can take the Taylor expansion on (24) at  $\mathbf{X}_e$  and yield the following perturbed expression [27]:

$$\dot{\mathbf{X}} = \mathbf{A}\mathbf{X} + \frac{1}{2} \begin{bmatrix} \mathbf{X}^T \mathbf{H}_1 \mathbf{X} \\ \mathbf{X}^T \mathbf{H}_2 \mathbf{X} \\ \vdots \\ \mathbf{X}^T \mathbf{H}_{12} \mathbf{X} \end{bmatrix} + \cdots \quad (25)$$

where  $\mathbf{X}$  belongs to the convergence domain of the Taylor series,  $\mathbf{A} = [\partial F_i / \partial \mathbf{X}]_{\mathbf{X}=\mathbf{X}_e}$  is the Jacobian matrix given

in Appendix A, and  $\mathbf{H}_i = [\partial^2 F_i / \partial x_k \partial x_l]_{\mathbf{X}=\mathbf{X}_e}$  ( $i, k, l = 1, 2, \dots, 12$ ) is the Hessian Matrix given in Appendix B.

Assume that  $\lambda_j$  ( $j = 1, 2, \dots, 12$ ) denotes 12 distinct eigenvalues of  $\mathbf{A}$ , and  $\mathbf{\Lambda}$  denotes the Jordan form of  $\mathbf{A}$ ,  $\mathbf{U}$  represents the matrix of the right eigenvector, and  $\mathbf{V}$  indicates the inverse matrix of  $\mathbf{U}$ , then by using  $\mathbf{X} = \mathbf{U}\mathbf{Y}$ , (25) can be rearranged as

$$\dot{\mathbf{Y}} = \mathbf{\Lambda}\mathbf{Y} + \frac{1}{2}\mathbf{V}^H \begin{bmatrix} \mathbf{Y}^T (\mathbf{U}^T \mathbf{H}_1 \mathbf{U}) \mathbf{Y} \\ \mathbf{Y}^T (\mathbf{U}^T \mathbf{H}_2 \mathbf{U}) \mathbf{Y} \\ \vdots \\ \mathbf{Y}^T (\mathbf{U}^T \mathbf{H}_{12} \mathbf{U}) \mathbf{Y} \end{bmatrix} + \cdots \quad (26)$$

Thus, (26) can be rewritten as

$$\dot{y}_j = \lambda_j y_j + \sum_{k=1}^{12} \sum_{l=1}^{12} C_{kl}^j y_k y_l + \cdots \quad (27)$$

where  $C^j = [C_{kl}^j] = \frac{1}{2} \sum_{p=1}^{12} v_{jp} [\mathbf{U}^T \mathbf{H}_p \mathbf{U}]$  and  $v_{jp}$  is the  $j$ th element of the  $p$ th column of  $\mathbf{V}$ , respectively.

Note that the solution of (27) can be decomposed into  $y_j(t) = f_{1j}(t) + f_{2j}(t) + f_{3j}(t) + \cdots$ , where  $f_{mj}(t)$  contains the terms dependent on any  $m$ -states multiples of initial conditions. Thus, (27) can be rearranged as

$$\begin{cases} \dot{f}_{1j} = \lambda_j f_{1j} \\ \dot{f}_{2j} = \lambda_j f_{2j} + \sum_{k=1}^{12} \sum_{l=1}^{12} C_{kl}^j f_{1k} f_{1l} \\ \vdots \end{cases} \quad (28)$$

If both the first term and second term in Taylor expansion series are reserved [28], then the nonlinear modal analytical solution can be obtained by the inverse Laplace transform

$$\begin{aligned}
 y_j(t) &= y_{1j}(t) + y_{2j}(t) = y_{j0} e^{\lambda_j t} + \sum_{k=1}^{12} \sum_{l=1}^{12} C_{kl}^j S_{kl}^j(t) \\
 &= \left( y_{j0} - \sum_{k=1}^{12} \sum_{l=1}^{12} h 2_{kl}^j y_{k0} y_{l0} \right) e^{\lambda_j t} \\
 &\quad + \sum_{k=1}^{12} \sum_{l=1}^{12} h 2_{kl}^j y_{k0} y_{l0} e^{(\lambda_k + \lambda_l)t} \quad (29)
 \end{aligned}$$

where  $k, l, j = 1, 2, \dots, 12$  and  $h 2_{kl}^j = C_{kl}^j / (\lambda_k + \lambda_l - \lambda_j)$ .

Finally, the nonlinear modal representation of the system can be expressed as

$$x_i(t) = \sum_{j=1}^{12} L_j^i e^{\lambda_j t} + \sum_{k=1}^{12} \sum_{l=1}^{12} K_{kl}^i e^{(\lambda_k + \lambda_l)t} \quad (30)$$

where  $L_j^i = u_{ij}(y_{j0} - \sum_{k=1}^{12} \sum_{l=1}^{12} h 2_{kl}^j y_{k0} y_{l0})$ , and  $K_{kl}^i = y_{k0} y_{l0} \sum_{j \in J_{kl}}^{12} u_{ij} h 2_{kl}^j$ , respectively.

From (30), the nonlinear modal representation of (24) contains the two terms, i.e., fundamental modal terms  $\sum_{j=1}^{12} L_j^i e^{\lambda_j t}$  and second-order interacted modal terms  $\sum_{k=1}^{12} \sum_{l=1}^{12} K_{kl}^i e^{(\lambda_k + \lambda_l)t}$ . Note that the fundamental modal terms only indicate the influence of all fundamental modes on the system dynamical response, while the second-order interacted

TABLE II  
COMPONENT PARAMETERS AND VALUES USED IN SIMULATION

Components/parameters	Values
Input voltage $V_i$	10 V
Inductance of the source and load subsystem $L_1, L_2$	1 mH, 1 mH
Capacitance of the source and load subsystem $C_1, C_2$	470 $\mu$ F, 47 $\mu$ F
Gain of voltage sensor $K_{s1}, K_{s2}$	0.1, 0.05
Proportional and integral coefficients of the source-stage voltage controller $K_1, T_1$	0.5, 0.001
Proportional and integral coefficients of the source-stage current controller $K_2, T_2$	0.5, 0.02
Proportional and integral coefficients of the load-stage voltage controller $K_3, T_3$	1.2, 0.0013
Source-stage reference voltage $V_{ref1}$	3 V
Load-stage reference voltage $V_{ref2}$	$\sin(100\pi t)$ V
Amplitude of sawtooth and triangular waves $V_{m1}, V_{m2}$	5 V, 1.5 V
Switching frequencies of the source stage and load stage $f_{s1}, f_{s2}$	20 kHz, 20 kHz

modal terms heavily do the influence of all second-order interacted modes. Comparing (30) with the linearized approach, we can see that nonlinear modal representation not only gives two explicit correction terms for initial values  $L_j^i$  and  $K_{kl}^i$ , but also provides an access to evaluate the nonlinear extent of the dynamical system. Thus, the nonlinear modal obtained here is sufficient to investigate the transient behavior of the two-stage boost inverter.

## V. NONLINEAR MODAL ANALYSIS

### A. Transient Phenomenon

Inevitably, the two-stage boost inverter suffers from a great variety of disturbances such as sudden load jump. During these transient process, the system may subject to overvoltage, overcurrent, and transient chaos, etc. This leads to the increase of device stress, the degradation of efficiency and reliability, or even the collapse of the system. In what follows, the load disturbance is taken as one example to investigate the nonlinear interactions in the transient process. The simulation parameters used here are given in Table II.

At the moment of  $t = 0.6075$  s, with the sudden jump of  $R$  from 15 to 5 $\Omega$ , the system will eventually reach one new stable state. Time-domain waveforms obtained by using the exact switch model and the nonlinear modal representation are shown in Fig. 3. Clearly, it can be seen from Fig. 3 that the waveforms obtained by using the nonlinear modal representation are closed to ones, by using the exact switch model both in stable behaviors and in transient ones, which verifies the validity of the nonlinear modal representation. Therefore, by taking into account high-frequency information of nonlinear interactions, nonlinear modal representation provides a good representation for the dynamics of the system both in the source stage and in the load stage.

For the sake of the comparison between nonlinear modal analysis approach and linearized analysis one, the modal distribution is depicted in Fig. 4. Note that as long as some mode appears in linearized or nonlinear modal analysis approach, the symbol of this mode will be filled with the pink color. By comparing

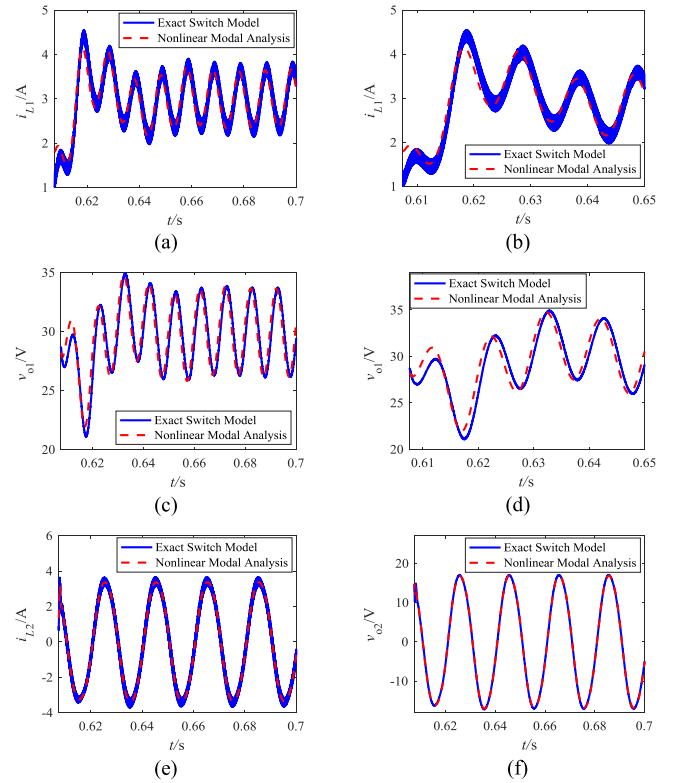


Fig. 3. Time-domain waveforms of the two-stage boost inverter from exact switch model and nonlinear modal analysis. (a)  $i_{L1}$ . (b) Close-up of  $i_{L1}$ . (c)  $v_{o1}$ . (d) Close-up of  $v_{o1}$ . (e)  $i_{L2}$ . (f)  $v_{o2}$ .

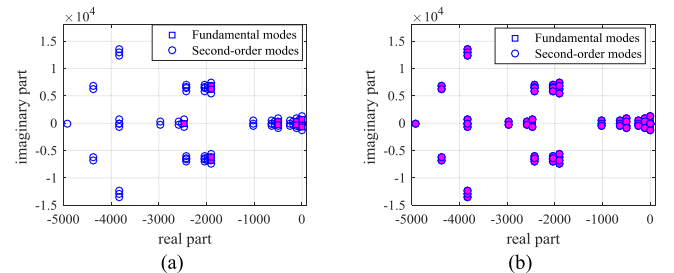


Fig. 4. Modal distribution: (a) Linearized analysis approach. (b) Nonlinear modal analysis approach.

Fig. 4(a) and (b), we can draw the conclusion that the linearized analysis approach is only involved with all fundamental modes, whereas the nonlinear modal analysis approach does all second-order interacted modes besides all fundamental ones. This just explains the reason why nonlinear modal analysis approach can be used to characterize the substantial high-frequency dynamics of the transient process.

### B. Nonlinear Modal Analysis

As mentioned above, the nonlinear modal representation (30) can adequately describe the high-frequency dynamical information, which just plays a more important role in the transient behavior. Thus, the nonlinear modal analysis approach finds out a theoretical way to understand the system transient behavior from two points of view, i.e., both fundamental modal analysis and second-order interacted modal analysis, as follows.

TABLE III  
 FUNDAMENTAL MODES OF TWO-STAGE BOOST INVERTER  
 DURING THE TRANSIENT PROCESS

Fundamental modes	values	Frequency /Hz	Damping ratio
$\lambda_{1,2}$	$-1912.4 \pm 6771.5i$	1077.7	0.2718
$\lambda_{3,4}$	$-1911.8 \pm 6114.1i$	973.09	0.2984
$\lambda_5$	$-2737.6$	—	—
$\lambda_{6,7}$	$-461.25 \pm 292.56i$	46.562	0.8444
$\lambda_{8,9}$	$-55.929 \pm 171.04i$	27.222	0.3108
$\lambda_{10}$	$-57.366$	—	—
$\lambda_{11,12}$	$\pm 628.32i$	100	0

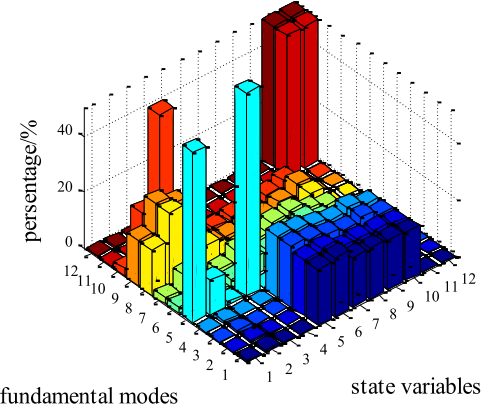
1) *Fundamental Modal Analysis*: In order to obtain the primitive knowledge of the system behavior, all 12 fundamental modes are calculated through the Jacobian matrix  $A$ , as shown in Table III. Obviously, the real parts of ten fundamental modes are negative, which means that the system is still stable after the load disturbance. The four pairs of conjugate complex fundamental modes ( $\lambda_{1,2}$ ,  $\lambda_{3,4}$ ,  $\lambda_{6,7}$ ,  $\lambda_{8,9}$ ) belong to the oscillation modes, while the two negative real fundamental modes ( $\lambda_5$ ,  $\lambda_{10}$ ) do damping modes. Among all the ten fundamental modes, ( $\lambda_{6,7}$ ,  $\lambda_{8,9}$ ) are much closer to the imaginary axis, which implies that ( $\lambda_{6,7}$ ,  $\lambda_{8,9}$ ) are surely the dominant fundamental modes of this system. Note that there is one pair of conjugate imaginary modes  $\lambda_{11,12}$ , which is originated from the above differential equation  $\ddot{g} + 4\omega^2 g = 0$ . That is,  $\lambda_{11,12}$  is independent of physical circuit parameters for the sake of the hidden second-harmonic component. Accordingly, we can neglect  $\lambda_{11,12}$  and only take the other modes into consideration during the fundamental modal analysis.

Indeed, these fundamental modes play the direct role in the system transient behaviors as well as stable ones. In actual applications, some electrical engineers are concerned with identifying the correlation between system parameters and transient response. This is because such design-oriented information is quite useful to optimize the transient performance. From the fundamental modal terms in (30), it can be seen that the performance of transient response is determined by two parts, i.e., the initial value  $L_j^i$  and the contraction rate defined by the fundamental modes  $\lambda_j$ . Therefore, the transient response will be readily optimized if the correlation between the circuit system and the two parts is established.

a) *Correlation between fundamental modes and state variables*: To describe the correlation between the  $j$ th fundamental mode  $\lambda_j$  and the  $i$ th state variable  $x_i$ , nonlinear contribution factor  $c_{ij}$  will be defined to measure the contribution of the  $j$ th fundamental modes  $\lambda_j$  on the  $i$ th state variables  $x_i$  [12]

$$c_{ij} = \left| u_{ij} - \sum_{k=1}^{12} \sum_{l=1}^{12} u_{ij} h_{kl}^j \right|. \quad (31)$$

For simplicity, the three-dimensional (3-D) bar graph of the normalized nonlinear contribution factor is shown in Fig. 5. For  $\lambda_{1,2}$  and  $\lambda_{3,4}$ ,  $i_{L2}$ ,  $v_{o2}$ , and  $d$  have larger contribution factor than other state variables. This implies that  $\lambda_{1,2}$  and  $\lambda_{3,4}$  are mostly associated with  $i_{L2}$ ,  $v_{o2}$ , and  $d$ . Likewise,  $\lambda_5$  is mainly associ-


 Fig. 5. Normalized nonlinear contribution factors  $c_{ij}$  of two-stage boost inverter.

ated with  $i_{L1}$  and  $d_1$ , whereas  $\lambda_{10}$  does on  $i_{ref}$ . In addition, the pair of the fundamental modes  $\lambda_{6,7}$  has slight effect on all state variables. Surprisingly, although  $v_{o1}$  and  $i_{L1}$  are tightly related with  $\lambda_{8,9}$ ,  $v_{o1}$  is more vulnerable to the effect of the dominant fundamental modes than  $i_{L1}$ . Note that the contribution factors of  $g_1$  and  $g_2$  with regard to  $\lambda_1 \dots \lambda_{10}$  are all zero. It means that  $g_1$  and  $g_2$  merely rely on  $\lambda_{11,12}$  rather than others. This is just in agreement with the above analytical results.

b) *Influence of circuit parameters on fundamental modes*: Fundamental modal sensitivity analysis is an effective method to assess the impact of system parameters on the fundamental modes. The expression of the fundamental mode sensitivity is as follows:

$$S_{\alpha}^{\lambda_i} = \frac{\alpha_0}{\text{Re}(\lambda_i)} \frac{\partial \text{Re}(\lambda_i)}{\partial \alpha} \Big|_{\alpha_0} + i \frac{\alpha_0}{\text{Im}(\lambda_i)} \frac{\partial \text{Im}(\lambda_i)}{\partial \alpha} \Big|_{\alpha_0} \quad (32)$$

where  $\alpha_0$  is some given circuit parameter. Note that the fundamental mode sensitivity is intrinsically the normalized gradient of each fundamental mode with respect to one circuit parameter under study.

The fundamental mode sensitivities with respect to 11 circuit parameters are listed in Table IV. It can be seen that  $\lambda_{1,2}$  and  $\lambda_{3,4}$  are more sensitive to the two parameters  $C_2$  and  $R$  than others. Moreover, the increase in  $C_2$  or  $R$  will make  $\lambda_{1,2}$  and  $\lambda_{3,4}$  move toward the imaginary axis so that the system stability margin becomes smaller.  $\lambda_5$  is sensitive to the five parameters  $L_1$ ,  $L_2$ ,  $K_1$ ,  $T_1$ , and  $K_2$ . Different from  $\lambda_{1,2}$  and  $\lambda_{3,4}$ ,  $\lambda_{6,7}$  shows extreme sensitivity to the two parameters  $K_3$  and  $T_3$ . If  $K_3$  decreases or  $T_3$  increases,  $\lambda_{6,7}$  will move toward left in the complex plane. This implies that the system stability margin becomes larger. Additionally,  $\lambda_{8,9}$  is only sensitive to the power-stage parameter  $C_1$ . Therefore, we can find the key parameters of the two-stage boost inverter, i.e., power-stage parameters  $C_1$  and control circuit parameters  $K_3$  and  $T_3$ , which have notable influence on the dominant oscillation modes.

From the above two indices, i.e., nonlinear contribution factors and fundamental mode sensitivities, it can be concluded that  $\lambda_{1,2}$  and  $\lambda_{3,4}$  are tightly correlated with the load-stage subsystem, and  $\lambda_{8,9}$  play the decisive role in the source-stage

TABLE IV  
FUNDAMENTAL MODE SENSITIVITIES WITH RESPECT TO SYSTEM PARAMETERS

	$S^{\lambda 1,2}$	$S^{\lambda 3,4}$	$S^{\lambda 5}$	$S^{\lambda 6,7}$	$S^{\lambda 8,9}$	$S^{\lambda 10}$
$L_1$	$0.0040 \mp 0.4930i$	$0.0041 \mp 0.5409i$	1.0799	$-0.0173 \mp 0.0093i$	$-0.0253 \mp 0.5967i$	-0.1675
$C_1$	$0.0021 \mp 0.0019i$	$0.0015 \mp 0.0023i$	-0.0591	$0.0307 \pm 0.0414i$	$1.0695 \mp 0.4576i$	0.0127
$L_2$	$0.0046 \mp 0.487i$	$0.0039 \mp 0.5411i$	1.0642	$-0.0183 \mp 0.0091i$	$-0.0254 \mp 0.5963i$	-0.1596
$C_2$	$1.2457 \mp 0.4627i$	$1.2464 \mp 0.5074i$	-0.0004	$0.0090 \pm 0.0010i$	$0.0041 \mp 0.0008i$	0.0000
$R$	$1.2438 \pm 0.0283i$	$1.2440 \pm 0.0312i$	-0.0185	$0.0514 \pm 0.0351i$	$0.0438 \pm 0.0072i$	0.0024
$K_1$	$-0.0000 \pm 0.000i$	$-0.0000 \pm 0.000i$	-1.0877	$0.0015 \pm 0.0164i$	$0.4474 \pm 0.7154i$	0.3213
$T_1$	$0.0043 \mp 0.4821i$	$0.0045 \mp 0.5412i$	1.0621	$-0.0179 \mp 0.0087i$	$-0.0251 \mp 0.5963i$	-0.1657
$K_2$	$-0.0000 \mp 0.000i$	$-0.0000 \pm 0.000i$	-1.0877	$0.0015 \pm 0.0164i$	$0.4474 \pm 0.7154i$	0.3212
$T_2$	$-0.0000 \pm 0.000i$	$-0.0000 \pm 0.000i$	-0.0201	$-0.0002 \pm 0.0013i$	$-0.0911 \pm 0.0634i$	1.1529
$K_3$	$0.1069 \pm 0.2676i$	$0.1070 \pm 0.2938i$	0.0055	$-0.4397 \pm 0.0147i$	$0.0087 \pm 0.0042i$	0.0023
$T_3$	$-0.2511 \pm 0.001i$	$-0.2514 \pm 0.001i$	-0.0076	$1.0103 \pm 0.0005i$	$-0.1152 \pm 0.0124i$	-0.0029

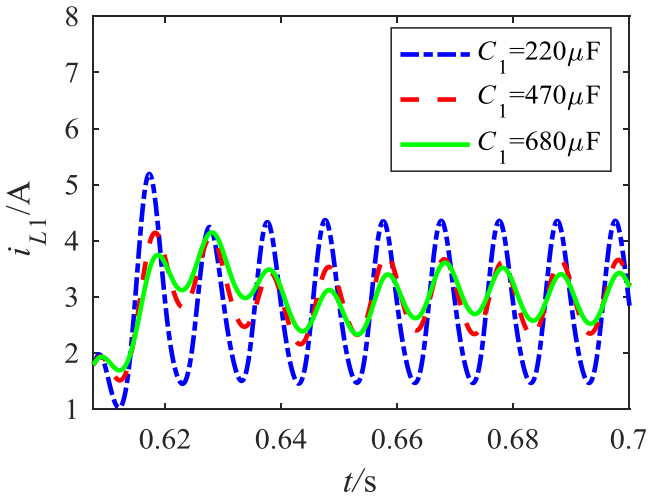


Fig. 6. Transient waveforms of inductor current  $i_{L1}$  under three different values of  $C_1$ .

subsystem. Decreasing the key parameters  $C_1$  and  $T_3$  will be beneficial to the dynamical response of each subsystem.

To verify the above conclusion of fundamental mode analysis, the comparison of the transient waveforms of inductor current  $i_{L1}$  under three different values of  $C_1$  is given in Fig. 6. When  $C_1$  decreases from 680 to 220  $\mu\text{F}$ , the pair of the oscillation modes  $\lambda_{8,9}$  strongly correlated with  $C_1$  changes from  $-37.8 \pm 144i$  to  $-121.3 \pm 237i$ . At the same time, the settling time decreases from 0.08 to 0.02 s. This implies that the system transient performance will do benefit from the proper choice of such key circuit parameters as  $C_1$ .

2) *Second-Order Nonlinear Interacted Modal Analysis*: In fact, there is one of the most distinguishing differences between nonlinear systems and linear ones, i.e., the natural nonlinearity is bound to activate a great varieties of higher order interacted modes besides those original fundamental ones [28]. Of course, these higher order nonlinear interacted modes (especially second-order interacted modes) generally contribute plenty of nonlinear dynamical features to transient behaviors and even stable ones.

For the purpose of evaluating the extent of nonlinear interactions, second-order nonlinear interaction indices  $I2_{kl}^i$  will be defined according to the form of the second-order interacted

modal terms in nonlinear modal representation [31]

$$I2_{kl}^i = \left| \frac{K_{kl}^i}{\text{Re}(\lambda_k + \lambda_l)} \right| \quad i = 1, 2, \dots, 12. \quad (33)$$

In (33),  $K_{kl}^i$  represents the initial amplitude of second-order modes and  $\text{Re}(\lambda_k + \lambda_l)$  determines the attenuation rate. That is, the indices  $I2_{kl}^i$  can comprehensively consider the amplitude and duration of interacted modes so that these indices are able to measure the influence of nonlinear transient interactions.

Theoretically, 12 fundamental modes can give rise to 144 second-order interacted modes in the two-stage boost inverter. For example,  $\lambda_{k=1,l=3}$  represents the interaction between  $\lambda_1$  and  $\lambda_3$ , i.e., mutual-action, whereas  $\lambda_{k=3,l=3}$  does the interaction between  $\lambda_3$  and itself, i.e., self-action. The 3-D bar graph of the index  $I2_{kl}^i$  are plotted in Figs. 7 and 8. From Fig. 7, we can see that the two self-action  $\lambda_{k=8,l=8}$  and  $\lambda_{k=9,l=9}$  are the dominant interacted modes of the source-stage inductor current  $i_{L1}$ , while  $\lambda_{k=8,9,l=8,9}$  are the guiding interacted modes of the source-stage capacitor voltage  $v_{o1}$ , active power component of the load-stage inductor current  $i_{L2d}$ , and active power component of the load-stage capacitor voltage  $v_{o2d}$ . For reactive power component of the load-stage inductor current  $i_{L2q}$  and reactive power component of the load-stage capacitor voltage  $v_{o2q}$ ,  $\lambda_{k=1,2,3,4,l=8,9}$  and  $\lambda_{k=8,9,l=1,2,3,4}$  are also the dominant interacted modes besides  $\lambda_{k=8,9,l=8,9}$ .

When the control parameter  $K_1$  decreases from 0.5 to 0.25, the dominant interactions of such state variables as  $i_{L1}$ ,  $v_{o1}$ , and  $v_{o2d}$  have great variation. By comparing Figs. 7 with 8, it can be seen that both the dominant interacted modes and the magnitude of interaction index have altered with the variation of the control parameter  $K_1$ . Specifically, when  $K_1 = 0.25$ ,  $\lambda_{k=8,9,l=8,9}$  are the leading interacted modes in  $i_{L1}$ , whose interacted modes increase comparing Fig. 8(a) with Fig. 7(a), whereas the interacted modes  $\lambda_{k=8,l=8}$  and  $\lambda_{k=9,l=9}$  decrease in  $v_{o1}$ . Comparing Fig. 8(e) with Fig. 7(e), the dominant interacted modes become  $\lambda_{k=8,9,10,l=8,9,10}$ .

Furthermore, the second-order nonlinear interaction indices  $\Delta I2_{kl}^i$  change with the variation of  $L_1$ ,  $C_1$ ,  $K_1$ , and  $K_2$ , as is shown in Fig. 9. It can be observed that most of the interaction indexes  $\Delta I2_{kl}^i$  increase monotonically, such as  $v_{o2d}$  and  $v_{o2q}$  in Fig. 9(a), whereas most of the interaction indexes like  $i_{L2d}$  and  $i_{L2q}$  decrease monotonically in Fig. 9(b). From Fig. 9(c) and

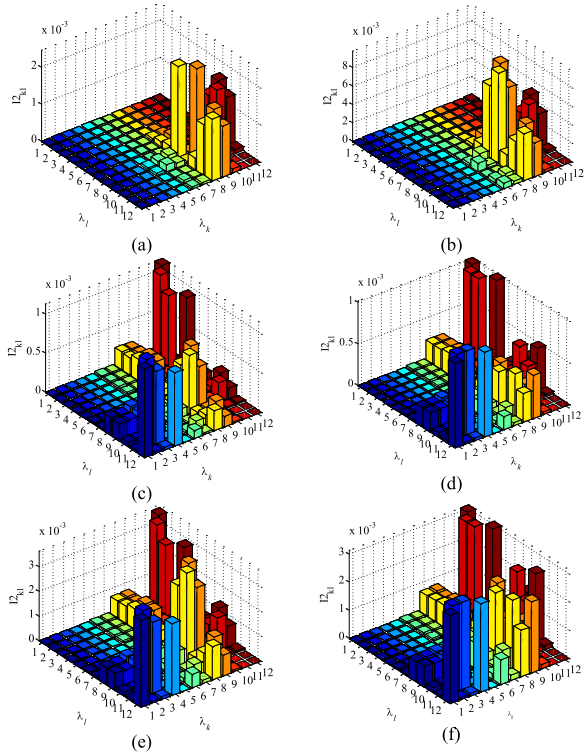


Fig. 7. Effects of modal interaction on the state variables of two-stage Boost inverter during the transient process when  $K_1 = 0.5$ ,  $K_2 = 0.5$ . (a) Interaction of  $i_{L1}$ . (b) Interaction of  $v_{o1}$ . (c) Interaction of  $i_{L2d}$ . (d) Interaction of  $i_{L2q}$ . (e) Interaction of  $v_{o2d}$ . (f) Interaction of  $v_{o2q}$ .

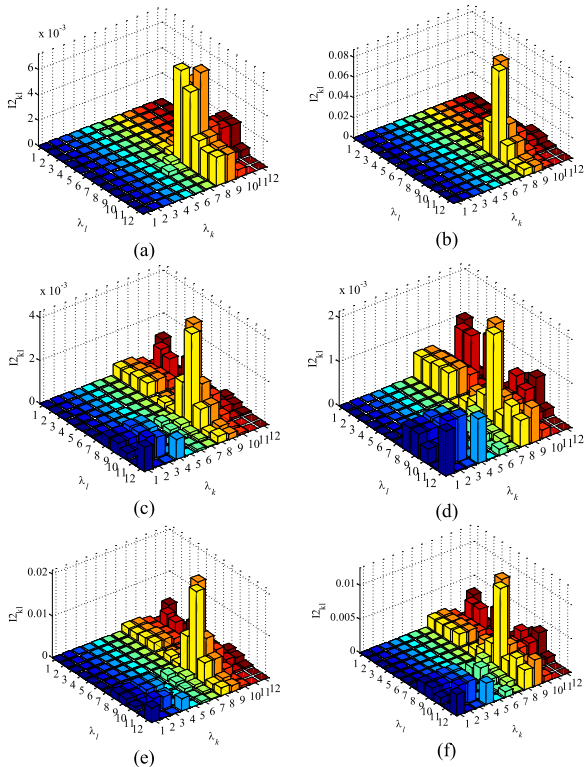


Fig. 8. Effects of modal interaction on the state variables of two-stage boost inverter during the transient process when  $K_1 = 0.25$ ,  $K_2 = 0.5$ . (a) Interaction of  $i_{L1}$ . (b) Interaction of  $v_{o1}$ . (c) Interaction of  $i_{L2d}$ . (d) Interaction of  $i_{L2q}$ . (e) Interaction of  $v_{o2d}$ . (f) Interaction of  $v_{o2q}$ .

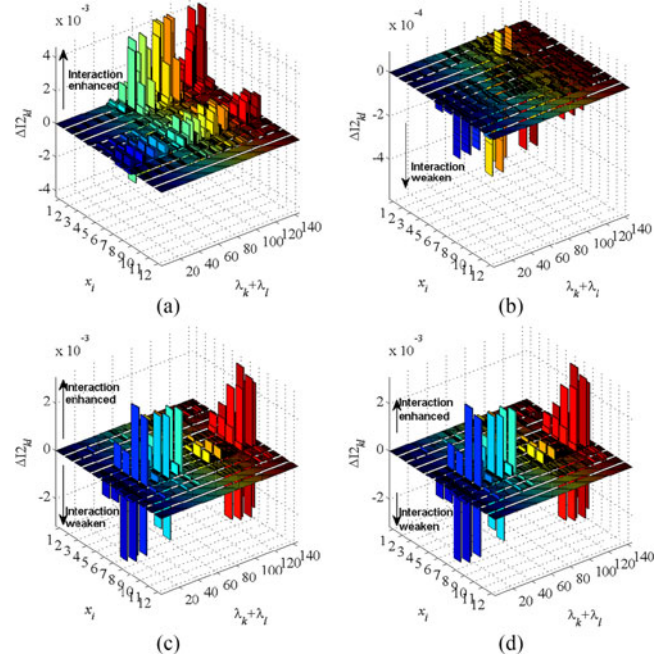


Fig. 9. Nonlinear interaction index variation during disturbed transient process when parameters vary. (a)  $L_1$  increasing from 1 to 2.6 mH. (b)  $C_1$  increasing from 380 to 620  $\mu\text{F}$ . (c)  $K_1$  increasing from 0.5 to 1.3. (d)  $K_2$  increasing from 0.4 to 1.2.

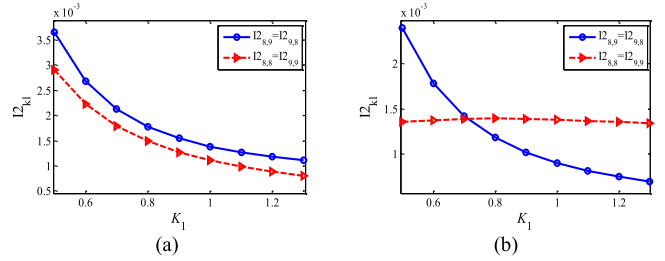


Fig. 10. Nonlinear interaction indices of dominant interacted modes when  $K_1$  varies (a) dominant interacted modes of  $v_{o2d}$  (b) dominant interacted modes of  $v_{o2q}$ .

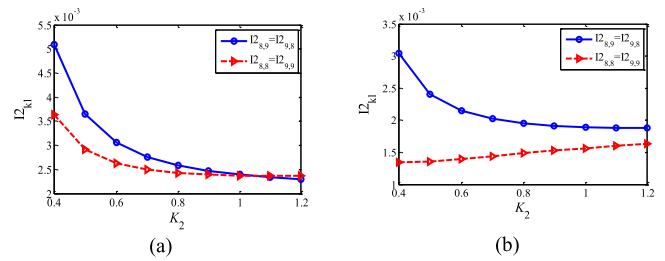


Fig. 11. Nonlinear interaction indices of dominant interacted modes when  $K_2$  varies (a) dominant interacted modes of  $v_{o2d}$ , (b) dominant interacted modes of  $v_{o2q}$ .

(d), it can be noted that among all state variables, the load-stage output voltage  $v_{o2}$  has the largest interaction by  $K_1$  and  $K_2$ . The variation of  $K_1$  has the largest effect on the interacted modes  $\lambda_k=8,9, l=8,9$  of the voltages  $v_{o2d}$  and  $v_{o2q}$ , which is the same as  $K_2$ .

In the two-stage boost inverter, the quality of the load-stage output voltage  $v_{o2}$  is tightly dependent on the source-stage

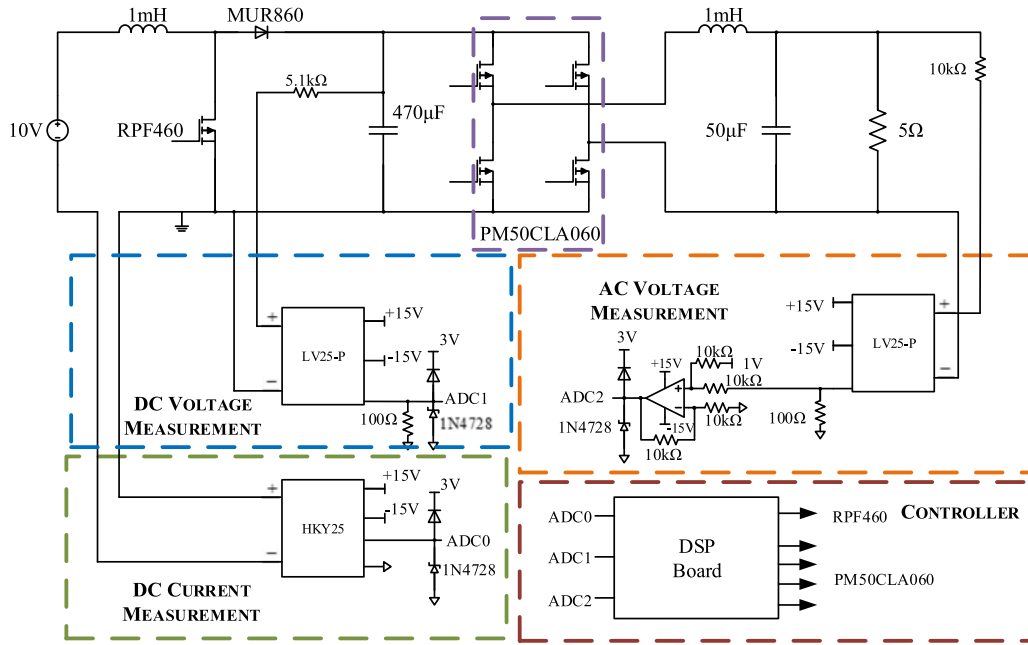


Fig. 12. Schematic of the two-stage boost inverter.

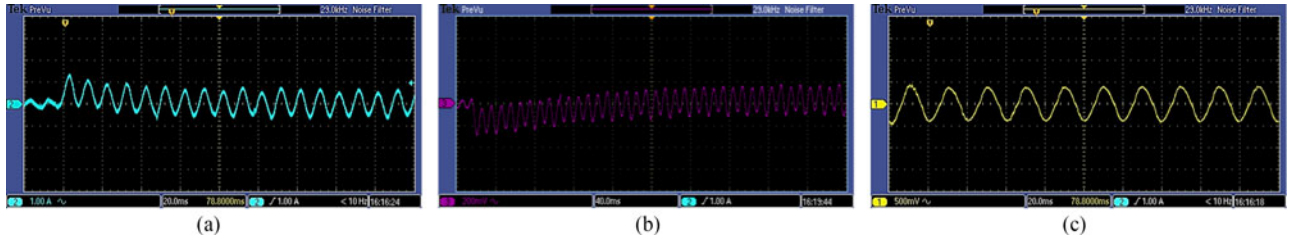


Fig. 13. Measured transient waveforms when  $C_1 = 690 \mu\text{F}$  and  $T_3 = 0.6 \times 10^{-3}$  for (a) inductor current of the source stage  $i_{L1}$ , (b) feedback output voltage of the source stage  $v_{o1}$ , and (c) feedback output voltage of the load stage  $v_{o2}$ .

output voltage  $v_{o1}$ . And then, the dynamical properties mainly depend on the control circuit if the power stage of the two-stage system is well designed. Therefore, the source-stage control parameters are the main concerned objects for the transient interaction in this two-stage system. In order to explore the variation of the dominant nonlinear interacted modes with the variation of system parameters, the variation of the interactions indices  $I_{k=8,9,l=8,9}^7$  and  $I_{k=8,9,l=8,9}^8$  with respect to  $K_1$  and  $K_2$  are displayed in Figs. 10 and 11, respectively. From Figs. 10(a) and 11(a), it can be explored that the nonlinear interaction of  $v_{o2d}$  is negative correlated with the variation of  $K_1$  and  $K_2$ . In Figs. 10(b) and 11(b), it is shown that with the increase of  $K_1$  or  $K_2$ , the interactions indices  $I_{2,8,9}$  and  $I_{9,8}$  decrease, whereas  $I_{2,8,8}$  and  $I_{2,9,9}$  maintain unchanged in  $v_{o2q}$ .

## VI. EXPERIMENT RESULTS

To show the validity of the above theoretical and numerical results, a prototype will be constructed as shown in Fig. 12. Some experimental results will be acquired to compare the transient behavior of the system under different values of  $C_1$  and  $T_3$ . According to the equivalent principle of the control effect,

one equivalent digital controller can be substituted for the above analog one. In this experiment, the circuit adopts digital control, RPF460 is the switch in the source-stage converter and PM50CLA060 is H-bridge in the load-stage inverter which are all regulated by TMS320F2812. The output voltage in the source stage and the load stage are sensed by two hall-effect voltage sensor (LV25-P). The inductor current in the source stage is sensed by a hall-effect current sensor (HKY25). These control parameters are the same as those parameters listed in Table II. The feedback gains of the output voltage in the source stage and load stage are  $K_{s1} = 1/21$  and  $K_{s2} = 1/40$ , respectively.

To verify the validity of nonlinear modal analysis, the same sudden load jump as the theoretical analysis is performed in this experiment, i.e., the load abruptly changes from 15 to 5  $\Omega$  by using an air-break switch. Fig. 13 shows the measured transient waveforms of the inductor current  $i_{L1}$ , the feedback output voltage of the source stage  $K_{s1}v_{o1}$  and the feedback output voltage of the load stage  $K_{s2}v_{o2}$  when  $C_1 = 690 \mu\text{F}$  and  $T_3 = 0.6 \times 10^{-3}$ . During this transient process, the measured settling times of  $i_{L1}$  and  $v_{o1}$  are 70 and 220 ms, respectively. Note that the transient response of  $K_{s2}v_{o2}$  can be hardly observed from the measured waveforms, which is consistent with the simulation



$$\begin{aligned}
A_{210} &= \frac{\partial F_2}{\partial x_{10}} = -\frac{1}{2C_1} i_{L2q}^e (1 - g_1^e), \\
A_{211} &= \frac{\partial F_2}{\partial x_{11}} = \frac{1}{2C_1} (i_{L2d}^e d_d^e + i_{L2q}^e d_q^e), \\
A_{31} &= \frac{\partial F_3}{\partial x_1} = -\frac{K_1 K_{s1}}{C_1} + \frac{K_1 K_{s1}}{C_1} d_1^e, \\
A_{32} &= \frac{\partial F_3}{\partial x_2} = -\frac{K_1 K_{s1}}{T_1}, A_{34} = \frac{\partial F_3}{\partial x_4} = \frac{K_1 K_{s1}}{C_1} i_{L1}^e, \\
A_{35} &= \frac{\partial F_3}{\partial x_5} = \frac{K_1 K_{s1}}{2C_1} d_d^e (1 - g_1^e), \\
A_{36} &= \frac{\partial F_3}{\partial x_6} = \frac{K_1 K_{s1}}{2C_1} d_q^e (1 - g_1^e), \\
A_{39} &= \frac{\partial F_3}{\partial x_9} = \frac{K_1 K_{s1}}{2C_1} i_{L2d}^e (1 - g_1^e), \\
A_{310} &= \frac{\partial F_3}{\partial x_{10}} = \frac{K_1 K_{s1}}{2C_1} i_{L2q}^e (1 - g_1^e), \\
A_{311} &= \frac{\partial F_3}{\partial x_{11}} = -\frac{K_1 K_{s1}}{2C_1} (i_{L2d}^e d_d^e + i_{L2q}^e d_q^e), \\
A_{41} &= \frac{\partial F_4}{\partial x_1} = \alpha_1 + \alpha_4 d_1^e, A_{42} = \frac{\partial F_4}{\partial x_2} = \alpha_2 + \alpha_5 d_1^e, \\
A_{43} &= \frac{\partial F_4}{\partial x_3} = \alpha_3, A_{44} = \frac{\partial F_4}{\partial x_4} = \alpha_4 i_{L1}^e + \alpha_5 v_{o1}^e, \\
A_{45} &= \frac{\partial F_4}{\partial x_5} = \frac{\alpha_6}{2} d_d^e (1 - g_1^e), \\
A_{46} &= \frac{\partial F_4}{\partial x_6} = \frac{\alpha_6}{2} d_q^e (1 - g_1^e), \\
A_{49} &= \frac{\partial F_4}{\partial x_9} = \frac{\alpha_6}{2} i_{L2d}^e (1 - g_1^e), \\
A_{410} &= \frac{\partial F_4}{\partial x_{10}} = \frac{\alpha_6}{2} i_{L2q}^e (1 - g_1^e), \\
A_{411} &= \frac{\partial F_4}{\partial x_{11}} = -\frac{\alpha_6}{2} (i_{L2d}^e d_d^e + i_{L2q}^e d_q^e), \\
A_{52} &= \frac{\partial F_5}{\partial x_2} = \frac{1}{L_2} d_d^e, A_{56} = \frac{\partial F_5}{\partial x_6} = \omega, \\
A_{57} &= \frac{\partial F_5}{\partial x_7} = -\frac{1}{L_2}, A_{59} = \frac{\partial F_5}{\partial x_9} = \frac{1}{L_2} v_{o1}^e, \\
A_{62} &= \frac{\partial F_6}{\partial x_2} = \frac{1}{L_2} d_q^e, A_{65} = \frac{\partial F_6}{\partial x_5} = -\omega, \\
A_{68} &= \frac{\partial F_6}{\partial x_8} = -\frac{1}{L_2}, A_{610} = \frac{\partial F_6}{\partial x_{10}} = \frac{1}{L_2} v_{o1}^e, \\
A_{75} &= \frac{\partial F_7}{\partial x_5} = \frac{1}{C_2}, A_{77} = \frac{\partial F_7}{\partial x_7} = -\frac{1}{RC_2}, \\
A_{78} &= \frac{\partial F_7}{\partial x_8} = \omega, A_{86} = \frac{\partial F_8}{\partial x_6} = \frac{1}{C_2}, \\
A_{87} &= \frac{\partial F_8}{\partial x_7} = -\omega, A_{88} = \frac{\partial F_8}{\partial x_8} = -\frac{1}{RC_2},
\end{aligned}$$

$$\begin{aligned}
A_{95} &= \frac{\partial F_9}{\partial x_5} = \beta_1, A_{97} = \frac{\partial F_9}{\partial x_7} = \beta_2, \\
A_{910} &= \frac{\partial F_9}{\partial x_{10}} = \omega, A_{106} = \frac{\partial F_{10}}{\partial x_6} = \beta_1, \\
A_{108} &= \frac{\partial F_{10}}{\partial x_8} = \beta_2, A_{109} = \frac{\partial F_{10}}{\partial x_9} = -\omega, \\
A_{1112} &= \frac{\partial F_{11}}{\partial x_{12}} = 1, A_{1211} = \frac{\partial F_{12}}{\partial x_{11}} = -4\omega^2;
\end{aligned}$$

## APPENDIX B

The Hessian sparse matrix (CSR format)  $\mathbf{H}_i$  ( $i = 1, 2, \dots, 12$ ) for the two-stage boost inverter can be represented as

$$\begin{aligned}
\mathbf{H}_1 &= [h_1, h_1] \\
\mathbf{IH}_1 &= [0, 0, 1, 1, 2], \\
\mathbf{JH}_1 &= [3, 1] \\
\mathbf{H}_2 &= [h_2, h_2, h_3, h_4, h_3, h_5, h_3, h_6, h_3, h_7, h_4, h_5, h_6, h_7] \\
\mathbf{IH}_2 &= [0, 1, 1, 1, 2, 4, 6, 6, 6, 8, 10, 14], \\
\mathbf{JH}_2 &= [3, 0, 8, 10, 9, 10, 4, 10, 5, 10, 4, 5, 8, 9] \\
\mathbf{H}_3 &= [h_8, h_8, h_9, h_{10}, h_9, h_{11}, h_9, h_{12}, h_9, h_{13}, h_{10}, h_{11}, \\
&\quad h_{12}, h_{13}] \\
\mathbf{IH}_3 &= [0, 1, 1, 1, 2, 4, 6, 6, 6, 8, 10, 14], \\
\mathbf{JH}_3 &= [3, 0, 8, 10, 9, 10, 4, 10, 5, 10, 4, 5, 8, 9] \\
\mathbf{H}_4 &= [h_{14}, h_{15}, h_{14}, h_{15}, h_{16}, h_{17}, h_{16}, h_{18}, h_{16}, h_{19}, h_{16}, \\
&\quad h_{20}, h_{17}, h_{18}, h_{19}, h_{20}] \\
\mathbf{IH}_4 &= [0, 1, 2, 2, 4, 6, 8, 8, 8, 10, 12, 16], \\
\mathbf{JH}_4 &= [3, 3, 0, 1, 8, 10, 9, 10, 4, 10, 5, 10, 4, 5, 8, 9] \\
\mathbf{H}_5 &= [h_{21}, h_{21}] \quad \mathbf{H}_6 = [h_{21}, h_{21}] \\
\mathbf{IH}_5 &= [0, 0, 1, 1, 1, 1, 1, 1, 1, 2] \\
\mathbf{IH}_6 &= [0, 0, 1, 1, 1, 1, 1, 1, 1, 1, 2] \\
\mathbf{JH}_5 &= [8, 1] \quad \mathbf{JH}_6 = [9, 1], \\
\mathbf{H}_7 &= \mathbf{0}, \mathbf{H}_8 = \mathbf{0}, \mathbf{H}_9 = \mathbf{0}, \mathbf{H}_{10} = \mathbf{0}, \mathbf{H}_{11} = \mathbf{0}, \mathbf{H}_{12} = \mathbf{0}.
\end{aligned}$$

where

$$\begin{aligned}
h_1 &= \frac{1}{L_1}, h_2 = -\frac{1}{C_1}, h_3 = -\frac{1 - g_1^e}{2C_1}, \\
h_4 &= \frac{d_d^e}{2C_1}, h_5 = \frac{d_q^e}{2C_1}, h_6 = \frac{i_{L2d}^e}{2C_1}, \\
h_7 &= \frac{i_{L2q}^e}{2C_1}, h_8 = \frac{K_1 K_{s1}}{C_1}, \\
h_9 &= \frac{K_1 K_{s1} (1 - g_1^e)}{2C_1}, h_{10} = -\frac{K_1 K_{s1} d_d^e}{2C_1}, \\
h_{11} &= -\frac{K_1 K_{s1} d_q^e}{2C_1}, h_{12} = -\frac{K_1 K_{s1} i_{L2d}^e}{2C_1},
\end{aligned}$$

$$\begin{aligned}
 h_{13} &= -\frac{K_1 K_{s1} i_{L2q}^e}{2C_1}, \quad h_{14} = \frac{K_1 K_2 K_{s1}}{C_1 V_{m1}}, \\
 h_{15} &= -\frac{K_2}{L_1 V_{m1}}, \quad h_{16} = \frac{K_1 K_2 K_{s1} (1 - g_1^e)}{2C_1 V_{m1}}, \\
 h_{17} &= -\frac{K_1 K_2 K_{s1} d_d^e}{2C_1 V_{m1}}, \quad h_{18} = -\frac{K_1 K_2 K_{s1} d_q^e}{2C_1 V_{m1}}, \\
 h_{19} &= -\frac{K_1 K_2 K_{s1} i_{L2d}^e}{2C_1 V_{m1}}, \quad h_{20} = -\frac{K_1 K_2 K_{s1} i_{L2q}^e}{2C_1 V_{m1}}, \\
 h_{21} &= \frac{1}{L_2}.
 \end{aligned}$$

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