

# A High-Switching-Frequency Flyback Converter in Resonant Mode

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**Abstract**—The demand of miniaturization of power systems has accelerated the research on high-switching-frequency power converters. A flyback converter in resonant mode that features low switching losses, less transformer losses, and low switching noise at high switching frequency is investigated in this paper as an alternative to a conventional quasi-resonant (QR) flyback topology to increase power density. In order to find a compromise between magnet size, electromagnetic interference (EMI), and efficiency, the concept utilizes the resonant behavior between transformer leakage inductance and snubber capacitor to achieve near-zero-voltage switching at both turn-on and turn-off of the primary switch, low core loss due to a continuous transformer magnetizing current, and reduced EMI due to low  $di/dt$  and  $dv/dt$  values. Meanwhile, the concept uses the regenerative snubber to recycle the transformer leakage energy with two snubber diodes and one snubber capacitor. The proposed concept has been validated on a 340-kHz 65-W prototype. Compared to the conventional QR flyback converter operating at the same switching frequency, the proposed concept has 2% efficiency improvement and better EMI performance.

**Index Terms**—Flyback, high switching frequency, regenerative snubber, resonant power conversion, switching loss.

## I. INTRODUCTION

THE flyback converter topology is widely used for low-power dc–dc converters. Nowadays, power density is becoming a more important factor to evaluate such dc–dc converters. Increasing the switching frequency is a potential solution for high power density, since higher switching frequency allows using lower inductance, i.e., less turns in the transformer and a smaller core. Nevertheless, some problems that appear at high switching frequency are as follows:

- 1) the switching loss at turn-off and turn-on of the primary switch will increase linearly with increasing switching frequency;

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- 2) more leakage energy of the transformer will be dissipated in the resistor capacitor diode (RCD) snubber network;
- 3) both ac copper losses due to skin effect and proximity effect in windings and core loss will increase significantly;
- 4) large  $di/dt$  and  $dv/dt$  values deteriorate the high-frequency noise, where the fundamental harmonic in the frequency band (150 kHz–30 MHz) requires more attenuation to suppress the switching noise in order to meet electromagnetic compatibility (EMC) regulations.

A proper tradeoff between switching losses, transformer losses, and switching noise needs to be investigated to reduce power losses and provide higher power density on the one hand, while fulfilling EMC regulations on the other hand. Besides exploiting improved component technologies, e.g., using gallium nitride (GaN) switches that enable higher switching frequencies at lower switching losses compared to silicon power switches, a proper switching topology choice is also important. The conventional flyback topology could be improved to achieve full soft switching, which is especially beneficial at higher switching frequency. This paper introduces a resonant flyback topology to achieve this and compares its performance in terms of efficiency and electromagnetic interference (EMI) behavior to the conventional flyback topology in an experimental prototype running at the same frequency.

This paper is organized as follows. Section II introduces the main features of the proposed topology based on a literature search, whereas Section III introduces its detailed operation. Section IV presents a general design procedure, Section V discusses the realized prototype and the measured results, and Section VI concludes the paper.

## II. RESONANT-MODE FLYBACK TOPOLOGY DERIVATION

Key power loss elements of a regular flyback converter are switching loss, switch conduction loss, transformer-winding conduction loss, transformer leakage loss, transformer core loss, and diode conduction loss. In order to increase power density, efficiency should be increased and hence losses decreased to prevent overheating in a small volume. On the one hand, discontinuous-conduction mode (DCM) and quasi-resonant (QR) mode are widely used in the conventional flyback converter (hereafter called QR/DCM flyback) since these operational modes allow valley switching at turn-on of the primary switch, leading to reduced switching losses. On the other hand, the conduction losses of switches and transformer can be reduced with lower rms current, which implies

that continuous-conduction mode (CCM) is a potential candidate to replace DCM. CCM operation features relatively lower core loss due to the smaller ripple of the magnetomotive force (MMF) than achieved in DCM. However, switching loss becomes dominant in CCM due to hard switching at both turn-on and turn-off of the primary switch. Moreover, high  $di/dt$  and  $dv/dt$  values under CCM operation produce much more switching noise. Obviously, the introduction of soft switching in CCM will solve the aforementioned problems.

Another big issue, particularly at high switching frequency, is the transformer leakage loss dissipated in the RCD snubber, which is required to clamp the voltage spike on the primary-switch drain node. An active-clamp circuit to recycle the leakage energy was proposed in [1], where soft switching can be achieved as well. However, an additional switch and a high-side gate driver, which increase the cost, are required. Zero-voltage-switching (ZVS) concepts of a flyback converter with additional switch and transformer were presented in [2] and [3]; the converter in [2] needs a three-winding auxiliary transformer to implement soft switching on the auxiliary switch. An improved concept of using two windings to achieve ZVS and simple control was presented in [3]. In this case, transformer leakage energy is transferred to the auxiliary transformer and then delivered to the input, but an additional transformer is not preferred for high power density.

A soft-switching concept at turn-on of the primary switch by controlling the synchronous rectifier (SR) was presented in [4]. Moreover, the control of the SR in a CCM flyback converter is challenging the design due to reverse-recovery loss or switching loss from the high  $di/dt$  value of the SR at turn-off. The SR controller NCP4304 [5] provides an optional trigger interface to determine the moment of turn-off of the SR for minimizing the switching loss or reverse-recovery loss in the SR. However, an isolator is required for deep CCM operation.

Similarly, a switch and a capacitor can be added in parallel to the secondary winding to provide soft turn-on of the primary switch, as shown in [6]. Both concepts in [4] and [6] aim to achieve soft turn-on of the primary switch with additional control on the secondary side. However, leakage energy is not recycled and turn-off of the primary switch is still hard switching. A lossless snubber with additional inductor was introduced in [7], which also features soft turn-on and turn-off of the primary switch. This concept was further refined by removing the diode in the primary winding path in [8]. However, a discrete inductor is still not preferred. An integrated nondissipative snubber was proposed in [9] and [10], where the discrete inductor was replaced by an additional winding. This concept was further studied in [11] and [12], where the flyback converter was operated in DCM to achieve valley switching since turn-on loss of the primary switch could be dominant in CCM. This concept was further developed in a step-up application [13], where the polarity of the auxiliary winding was inverted to achieve ZVS at turn-off of the primary switch, and the voltage spike on the drain node was also reduced. However, the turn-on of the primary switch was still hard switching.

This paper proposes to add a resonant mode (RM) to the flyback converter. The concept utilizes the resonance between

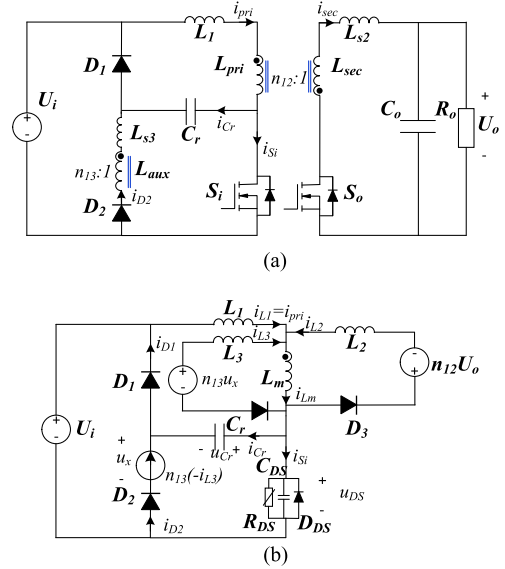


Fig. 1. Proposed flyback converter: (a) schematic of the proposed concept, (b) equivalent circuit of the proposed concept.  $L_1$  is the leakage inductance of transformer primary winding,  $L_{s2}$  is the leakage inductance of the transformer secondary winding, and  $L_{s3}$  is the leakage inductance of the transformer auxiliary winding.  $L_2$  and  $L_3$  are the transferred leakage inductances of secondary winding and auxiliary winding on the primary side,  $L_m$  is the magnetizing inductance of the transformer,  $n_{12}$  is the turns ratio between primary winding and secondary winding, and  $n_{13}$  is the turns ratio between primary winding and auxiliary winding. In addition,  $u_x$  is the voltage across the auxiliary winding.

transformer leakage inductance and snubber capacitor to reduce both switching loss and EMI. Moreover, the regenerative snubber in [13] is reused to recycle the transformer leakage energy. The proposed concept provides the following features: near ZVS at turn-off and valley switching at turn-on of the primary switch, recycled transformer leakage energy, small MMF ripple, and reduced  $di/dt$  and  $dv/dt$  values for improved EMI behavior. Therefore, this topology aims at allowing high switching frequency in the flyback converter with less switching loss and switching noise compared to the conventional topology.

### III. ANALYSIS OF PROPOSED RESONANT-MODE FLYBACK CONVERTER

The schematic of the concept is shown in Fig. 1(a), and its equivalent circuit is shown in Fig. 1(b). Periodic steady-state behavior per switching cycle is divided into six time intervals, i.e., six modes, and two switching cycles are shown in Fig. 2. The schematic and equivalent circuit of each mode are presented in Fig. 3. The secondary SR ( $S_o$ ) is equivalent to an ideal diode ( $D_3$ ) in Fig. 1(b).

#### A. Mode of Operation

Mode a: ( $t_0-t_1$ ): The steady-state cycle starts at  $t_0$  in case the primary switch  $S_i$  is turned ON, and  $t_0$  is the time at which the drain-source voltage ( $u_{DS}$ ) is in a valley, i.e., valley switching at turn-on for low switching losses. The secondary current ( $i_{sec}$ ) reduces approximately linearly till zero. The energy in resonant capacitor  $C_r$  is released to the transformer via the resonance

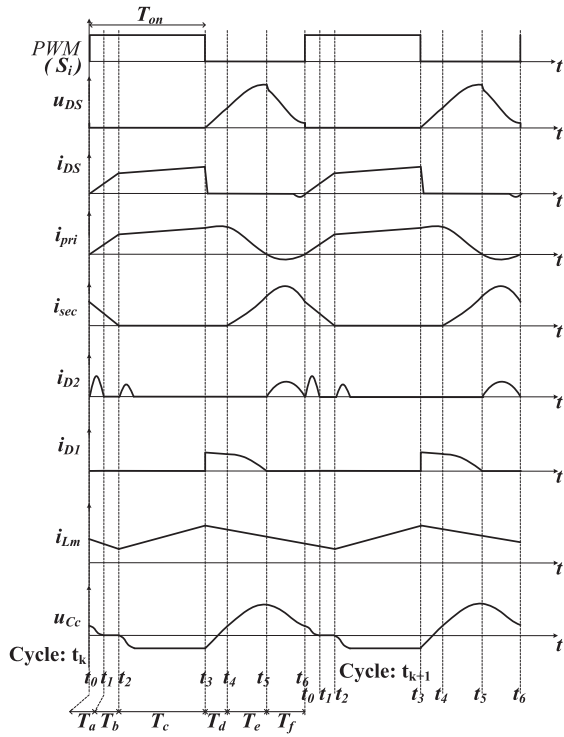


Fig. 2. Steady-state operating waveforms of the proposed flyback converter: the end time  $t_6$  of cycle  $k$  is the starting time  $t_0$  of cycle  $k+1$ .

between  $C_r$  and the auxiliary winding. Mode (a) stops after half the resonant period when the loop current of  $C_r$  ( $i_{D2}$ ) reaches zero.

Mode b: ( $t_1$ – $t_2$ ): Mode (b) commences at  $t_1$  when no current flows through the auxiliary winding ( $i_{D2} = 0$ ). The primary winding current ( $i_{pri}$ ) still increases linearly and  $i_{sec}$  still decreases linearly due to assumed constant input voltage and output voltage. Then,  $i_{sec}$  reduces to zero at  $t_2$ .

Mode c: ( $t_2$ – $t_3$ ): Starting from  $t_2$ , no current flows through the secondary winding and the primary winding is charged from the input ( $U_i$ ), so the voltage across  $L_{pri}$  changes from negative to positive, thus a current pulse exists in the auxiliary winding ( $i_{D2}$ ) during this commutation at the beginning of this mode [see Fig. 3(c2)]. Then, the energy is stored in the transformer. This mode stops at  $t_3$  when the on-time ( $T_{on}$ ) is reached. It means the primary switch is turned OFF at  $t_3$ .

Mode d: ( $t_3$ – $t_4$ ): When  $S_i$  is turned OFF, the voltage at the junction node of  $D_1$  and  $C_r$  is close to  $U_i$ , and  $C_r$  is in parallel to the parasitic drain-source capacitor ( $C_{DS}$ ) as shown in Fig. 3(d2). During this mode, the primary winding resonates with  $C_r$  and  $C_{DS}$ , and the majority of  $i_{pri}$  charges  $C_r$  through  $D_1$  since  $C_r$  is much larger than  $C_{DS}$ . Therefore, the drain-source voltage increases slowly, meanwhile the drain-source current drops quickly, thus the cross section (i.e., mainly switching losses) of them at turn-off is minimized. The voltage at the drain node is given by

$$u_{DS}(t) = U_i + u_{Cr}(t) \quad (1)$$

$$u_{Cr}(t_4) = \frac{L_1 + L_m}{L_m} n_{12} U_o. \quad (2)$$

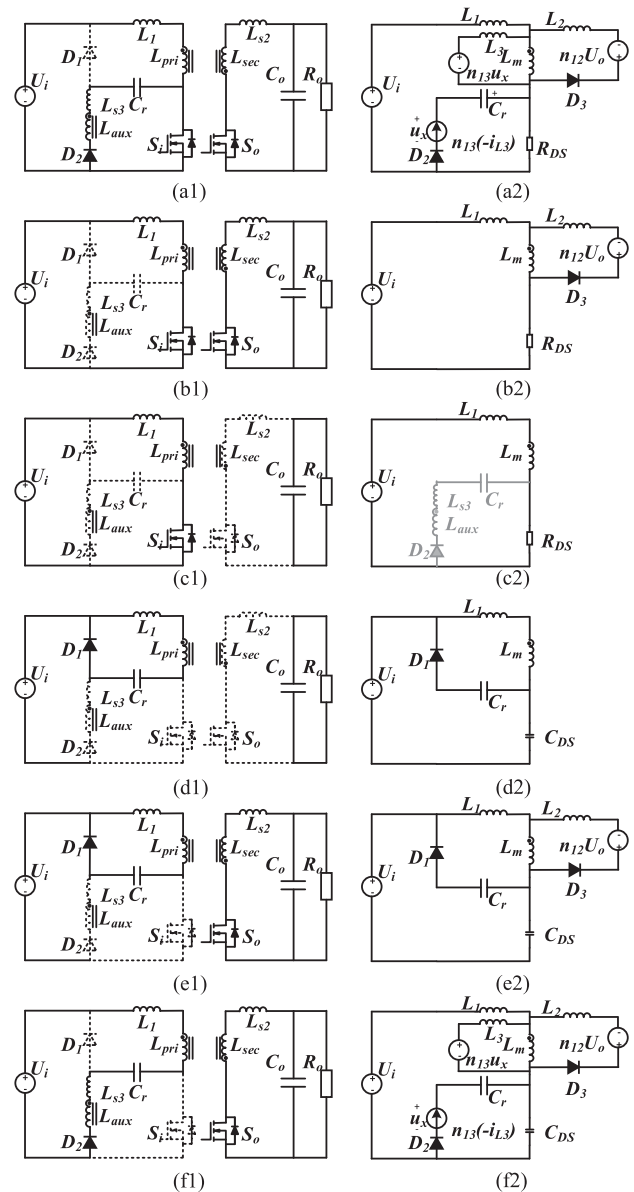


Fig. 3. Steady-state operating modes and respective equivalent circuits. A dashed line means no current conduction in that path. It is noted that at the beginning of mode (c), a current pulse is present in the grayed path drawn in (c2).

At  $t_4$ , (2) is met, mode (d) ends and  $i_{sec}$  starts to build up, i.e.,  $D_3$  in Fig. 1(b) is turned ON.

Mode e: ( $t_4$ – $t_5$ ): Once  $D_3$  is turned ON, both primary winding and secondary winding resonate with  $C_r$  and  $C_{DS}$ , so  $i_{sec}$  increases sinusoidally and  $i_{pri}$  decreases sinusoidally, and  $i_{pri}$  continues to charge  $C_r$  until it reduces to zero at  $t_5$ , so  $D_1$  turns OFF at  $t_5$  and  $u_{Cr}$  reaches its maximum value, and so does  $u_{DS}$  due to (1)

$$U_{DS\_max} = u_{DS}(t_5) = U_i + U_{Cr\_max} = U_i + u_{Cr}(t_5). \quad (3)$$

Mode f: ( $t_5$ – $t_6$ ): Since  $u_{DS}$  and  $u_{Cr}$  are maximum at  $t_5$ , both  $C_r$  and  $C_{DS}$  start to discharge to the input and secondary side via primary winding and auxiliary winding according to

Fig. 3(f1). In other words, both  $C_r$  and  $C_{DS}$  resonate with all these coupled windings. This mode ends at the next zero crossing of  $i_{pri}$  ( $t_6 = t_0 + T_s$ ), where  $u_{DS}$  reaches its valley for turn-on of the primary switch in switching cycle  $k + 1$ .

### B. Resonant Behavior

It can be observed from the modes of operation described above that  $LC$  resonant behavior exists in mode (a), part of (c), (d), (e), and (f). In modes (a) and (f), the resonant capacitor  $C_r$  resonates with all three windings, and the mode duration is half the resonant period in both modes, since these modes stop when  $i_{D2}$  reaches zero again.  $C_r$  resonates with the primary winding in mode (d), and this resonant behavior ends when (2) is met.  $C_r$  resonates with both the primary winding and the secondary winding in mode (e). This mode duration  $T_e$  can be approximated as a quarter of the resonant period, since the primary current decreases to zero from its peak.

Since  $C_{DS}$  is much smaller than  $C_r$ ,  $C_{DS}$  is assumed to be zero in the following derivations. The resonant frequency of mode (d) can be derived as follows:

$$\dot{i}_{L1}(t) = -\frac{1}{L_1 + L_m} u_{Cr}(t). \quad (4)$$

The derivative of (4) is

$$\ddot{i}_{L1}(t) = -\frac{1}{L_1 + L_m} \dot{u}_{Cr}(t) \quad (5)$$

where

$$\dot{u}_{Cr}(t) = \frac{1}{C_r} i_{Cr}(t) \text{ and } i_{L1}(t) = i_{Cr}(t).$$

So (5) becomes

$$\ddot{i}_{L1}(t) = -\frac{1}{L_1 + L_m} \frac{1}{C_r} i_{L1}(t). \quad (6)$$

The angular resonant frequency of mode (d) becomes

$$\omega_{r,d} = \frac{1}{\sqrt{(L_1 + L_m) C_r}}. \quad (7)$$

Similarly, the angular resonant frequency of mode (e) is derived with the relationship  $i_{L1}(t) = i_{Cr}(t)$

$$\omega_{r,e} = \frac{1}{\sqrt{\left(\frac{L_1 L_2 + L_1 L_m + L_2 L_m}{L_2 + L_m}\right) C_r}}. \quad (8)$$

The mode (e) duration  $T_e$  is determined based on the resonant period  $T_{r,e}$

$$T_e = \frac{1}{4} T_{r,e} = \frac{\pi}{2\omega_{r,e}}. \quad (9)$$

The angular resonant frequency of mode (f) can be found with the relationship  $i_{L1}(t) = i_{Cr}(t) = n_{13} \cdot i_{L3}(t)$

$$\omega_{r,f} = \frac{1}{\sqrt{L_F C_r}} \quad (10)$$

where

$$L_F = \frac{1}{(L_2 + L_m) n_{13}^2} [L_2 L_3 + L_3 L_m + L_2 L_m + 2L_2 L_m n_{13} + (L_1 L_2 + L_1 L_m + L_2 L_m) n_{13}^2].$$

The mode (f) duration  $T_f$  is half the resonant period  $T_{r,f}$

$$T_f = \frac{1}{2} T_{r,f} = \frac{\pi}{\omega_{r,f}}. \quad (11)$$

Finally, the angular resonant frequency of mode (a) is determined by means of the relationship  $i_{Cr}(t) = n_{13} \cdot i_{L3}(t)$

$$\omega_{r,a} = \frac{1}{\sqrt{L_A C_r}} \quad (12)$$

where

$$L_A = \frac{L_1 L_2 L_3 + L_1 L_2 L_m + L_1 L_3 L_m + L_2 L_3 L_m}{(L_1 L_2 + L_1 L_m + L_2 L_m)} n_{13}^2.$$

Similarly, the mode (a) duration  $T_a$  is half the resonant period  $T_{r,a}$

$$T_a = \frac{1}{2} T_{r,a} = \frac{\pi}{\omega_{r,a}}. \quad (13)$$

The period of one switching cycle  $T_s$  is the sum of on-time and off-time, where the on-time is determined by the product of duty cycle  $D$  and  $T_s$ , whereas the off-time  $T_{off}$  is the sum of durations of modes (d)–(f). It can be found that  $T_f$  and  $T_e$  only depend on component parameters and  $T_d$  is relatively small and approximately negligible, thus the off-time can be assumed to be constant for approximate analysis

$$T_{off} = T_d + T_e + T_f \approx T_e + T_f \quad (14)$$

$$T_s = T_{on} + T_{off} \quad (15)$$

where  $T_{on} = DT_s$ .

### C. Comparison with CCM and QR

Fig. 4 shows the key waveforms of  $i_{pri}$ ,  $i_{sec}$ ,  $i_{Lm}$  and  $u_{DS}$  of the proposed RM in Fig. 4(a), and compares those to CCM in Fig. 4(b) and QR in Fig. 4(c). The magnetizing current  $i_{Lm}$  is continuous in RM and CCM operation, and its peak value appears at the turn-off instant, which is the same as in QR and CCM operation. The flux density swing ( $\Delta B$ ) is given by

$$\Delta B = \frac{L_m \Delta I_{Lm}}{N_1 A_e} \quad (16)$$

where  $A_e$  is the effective magnetic cross section of the transformer and  $\Delta I_{Lm}$  is the ripple of magnetizing current  $i_{Lm}$ . Low  $\Delta I_{Lm}$  results in lower  $\Delta B$ , which leads to less hysteresis loss in the core ferrite, which is the case in both RM and CCM. The turn-off commutation time of  $i_{pri}$  and  $i_{sec}$  is increased to reduce  $di/dt$  via the resonant behavior in RM operation, which is a major advantage over CCM and QR. Moreover, before turn-on of the primary switch,  $u_{DS}$  is decreased to a valley for soft turn-on via discharging  $C_r$ , and after turn-on, the  $di/dt$  value in both  $i_{pri}$  and  $i_{sec}$  is lower than that of CCM. In short, the RM features low core loss like in CCM, valley switching like in QR mode,

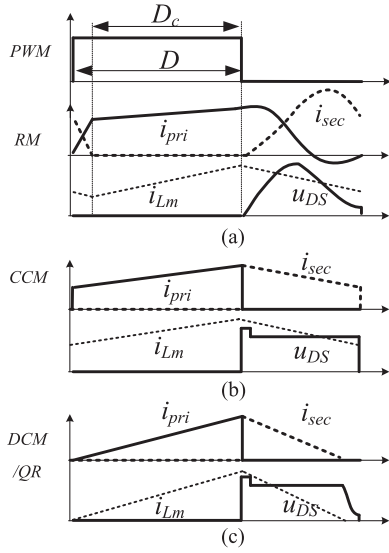


Fig. 4. Operational waveforms of a flyback converter in different modes: (a) resonant-mode in the proposed converter, (b) CCM, and (c) DCM/QR.  $i_{Lm}$  is the transformer magnetizing current.

and low  $di/dt$  of the transformer current during both turn-on and turn-off commutation.

#### IV. CONVERTER DESIGN

The resonant behavior can be created via either large primary leakage inductance or large secondary inductance. In case of a large turns ratio between primary and secondary windings, the large secondary inductance is not preferred due to physical limitations. Therefore, the presented concept uses large primary leakage inductance in the transformer, and primary winding and secondary winding should be located into separate slots to increase leakage inductance. The circuit model can be simplified by assuming that  $L_{s2}$  and  $L_{s3}$  are zero due to the large turns ratios  $n_{12}$  and  $n_{13}$ .

##### A. Approximate Analysis of Valley Switching With the Simplified Model

One of the most critical factors to be considered for the design is the maximum drain-source voltage ( $U_{DS,max}$ ) of  $S_i$ , which is present at the end of the mode (e). From (3), it can be observed that  $U_{DS,max}$  depends on both  $U_i$  and the maximum voltage across  $C_r$  ( $U_{Cr,max}$ )

$$U_{Cr,max} = n_{12}U_o + I_{pk}\sqrt{L_1/C_r} \quad (17)$$

where  $I_{pk}$  is the peak current of  $i_{pri}$ .

When  $i_{pri}$  reduces to zero at time  $t_5$  in Fig. 2,  $C_r$  is fully charged through  $D_1$ , and it starts to discharge through  $D_2$ . This commutation leads to a voltage drop ( $U_d$ ) on the drain node of  $S_i$  as shown in Fig. 5(b), and  $U_d$  can be derived as follows:

$$U_d = U_i + U_{aux} = U_i + \frac{n_{12}}{n_{13}}U_o. \quad (18)$$

After the commutation, since the output current is continuous, the reflected voltage on the primary winding and auxiliary

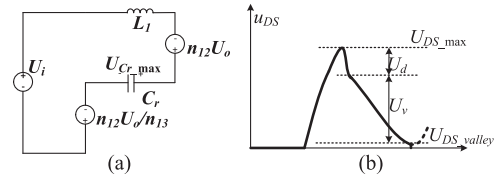


Fig. 5. (a) Simplified equivalent circuit in mode (f), (b) waveform of  $u_{DS}$  during off-time.

winding can be assumed to be constant, and the circuit is simplified as an  $LC$  circuit with constant voltage sources. Then, the resonant current flowing in this circuit can be derived

$$i_{Cr}(t) = \frac{U_i + n_{12}U_o + n_{12}U_o/n_{13}}{\sqrt{L_1/C_r}} \sin\left(\frac{1}{\sqrt{L_1C_r}}t\right). \quad (19)$$

The interval of mode (f) lasts half the resonant period since  $u_{DS}$  arrives at its valley at the end of this interval at time  $t_6$  and  $S_i$  is turned ON at the valley. This valley is caused by the resonance of  $L_1$  and  $C_r$  (see Fig. 5). The voltage drop on the drain node ( $U_v$ ) is equal to the voltage variation on  $C_r$ , which is twice the initial voltage over the leakage inductor

$$U_v = 2(U_i + n_{12}U_o + n_{12}U_o/n_{13}). \quad (20)$$

Therefore, the valley voltage on the drain node becomes

$$\begin{aligned} U_{DS, valley} &= U_{DS,max} - U_d - U_v \\ &= U_{Cr,max} - n_{12}U_o/n_{13} - U_v. \end{aligned} \quad (21)$$

Substituting (17) and (20) into (21) results in the following equation:

$$U_{DS, valley} = I_{pk}\sqrt{L_1/C_r} - (2U_i + n_{12}U_o + 3n_{12}U_o/n_{13}). \quad (22)$$

In order to minimize the valley voltage for less primary-switch turn-on loss,  $C_r$  should not be too large, so a maximum limit of  $C_r$  exists to enable valley switching at the turn-on of the primary switch. Although a large  $C_r$  reduces  $U_{DS,max}$  of  $S_i$  and the switching frequency, it leads to large recycling current in the primary winding, thus resulting in more copper loss.

##### B. Design Procedure

1) *Define System Specifications:* Input voltage ( $U_i$ ), output voltage, and output power ( $P_o$ ) are defined as the system specifications.

2) *Determine the Turns Ratio  $n_{12}$  and Maximum Duty Cycle:* The turns ratio  $n_{12}$  affects the primary peak current and voltage stress on both the primary switch  $S_i$  and the secondary switch  $S_o$ . Small  $n_{12}$  results in higher primary peak current and primary rms current, more reflected voltage stress on  $S_o$  ( $U_{S_o}$ ), but less reflected voltage stress on  $S_i$ .  $U_{S_o}$  is given by

$$U_{S_o} = \frac{U_i}{n_{12}} + U_o. \quad (23)$$

The magnetizing current  $i_{Lm}$  varies linearly (see Fig. 4). According to the volt-second rule, the voltage conversion ratio

can be approximately derived as

$$\frac{U_i}{L_1 + L_m} D_c T = \frac{n_{12} U_o}{L_m} (1 - D_c) T \quad (24)$$

where  $D_c$  is the effective duty cycle, shown in Fig. 4

$$\frac{U_o}{U_i} = \frac{1}{n_{12}} \frac{D_c}{1 - D_c} \frac{L_m}{L_1 + L_m}. \quad (25)$$

The leakage inductance  $L_1$  is assumed to be between 10% and 20% of  $L_m$ . According to (25), the maximum duty cycle can be calculated as

$$D_c = \frac{n_{12} U_o (L_1 + L_m)}{U_i L_m + n_{12} U_o (L_1 + L_m)}. \quad (26)$$

Since the off-time is approximately constant (14), the relationship between leakage inductance and  $C_r$  is given by

$$L_1 C_r = \left( \frac{2}{3\pi} \frac{D_{\text{off}}}{f_s} \right)^2 \quad (27)$$

where  $D_{\text{off}}$  is the duty cycle of off-time, estimated as

$$D_{\text{off}} = 0.9(1 - D_c). \quad (28)$$

3) *Determine the Primary Peak Current  $I_{\text{pk}}$* : The secondary current can be approximately assumed to be sinusoidal. The secondary peak current becomes

$$I_{\text{sec.pk}} = \frac{2\pi}{1 - \cos(2\pi D_{\text{off}})} \frac{P_o}{U_o} \quad (29)$$

and the primary peak current is determined by the turns ratio  $n_{12}$

$$I_{\text{pk}} = \frac{I_{\text{sec.pk}}}{n_{12}}. \quad (30)$$

4) *Determine the Resonant Capacitor  $C_r$  and  $L_1$* : The lower limit of  $C_r$  is based on the maximum primary switch voltage rating from (3) and the maximum voltage across  $C_r$  from (17)

$$C_r \geq L_1 \left( \frac{I_{\text{pk}}}{U_{\text{DS,max}} - U_i - n_{12} U_o} \right)^2. \quad (31)$$

The upper limit of  $C_r$ , derived from (22) and (27), is limited by the valley voltage of  $u_{\text{DS}}$

$$C_r \leq \frac{2}{3\pi} \frac{D_{\text{off}}}{f_s} \frac{I_{\text{pk}}}{2U_i + n_{12} U_o + 3n_{12} U_o / n_{13} + U_{\text{DS,valley}}}. \quad (32)$$

Based on these calculated limits,  $C_r$  is determined, and since the product of  $C_r$  and  $L_1$  is constant according to (27),  $L_1$  is determined as well.

5) *Determine the Magnetizing Inductance  $L_m$* : The magnetizing inductance is constrained by its associated leakage inductance (10%–20%). The magnetizing inductance ( $L_m$ ) determines the ripple of the magnetizing current in the transformer and the rms current in each winding. Similar to CCM operation, larger  $L_m$  results in lower ripple of the magnetizing current and lower rms current. However, large  $L_m$  requires more windings and/or a larger core size. Therefore, a tradeoff between size and rms current can be found iteratively, and  $L_m$  is based on the

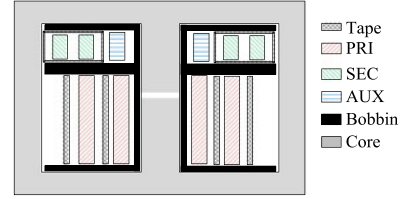


Fig. 6. Transformer construction. Core: PQ2020, Core material N87.

ripple of  $i_{L_m}$

$$L_m = \frac{U_i D_c}{\Delta I_{L_m} f_s} \quad (33)$$

where  $D_c$  is the effective duty cycle, and  $f_s$  is the switching frequency.

6) *Determine the Auxiliary Turns Ratio  $n_{13}$* : Based on the consideration of limited window width and proximity loss at high switching frequency, one layer of the auxiliary winding is preferred, thus  $n_{13}$  is determined based on the available window space and the chosen wire.

Finally, since the approximate design is based on the simplified model, a few iterative designs are necessary to dimension the components in practice.

### C. Transformer Design

1) *Winding Construction and Wire*: The side-by-side winding construction, as shown in Fig. 6, is chosen to produce the required large leakage inductance for this concept. At higher switching frequencies of a few hundred kHz, litz wire is used to minimize the skin effect, and the diameter of litz wire is taken smaller than the skin depth. The proximity effect is minimized by reducing the number of layers for each winding. Therefore, a larger window width is required.

2) *Core Material and Core Shape*: One of the most important indices to evaluate a transformer is the performance factor (PF) of the ferrite, which is the product of maximum variation in flux density and switching frequency [14]

$$\text{PF} = \Delta B f_s. \quad (34)$$

The PF of most ferrite materials tends to saturate up to 500 kHz, although N49 shows a better PF at high frequency. With the consideration of a variable-switching-frequency converter over wide load range, the maximum switching frequency should not be too high to avoid huge core loss. N87, which is widely used for frequencies up to 500 kHz, is chosen. Besides, to reduce thermal resistance, the area of the cross section should also be larger to allow less turns. The PQ 20/20 core from EP-COS is chosen due to its large cross-sectional area and window width.

3) *Optimization for Minimum Loss*: The total transformer loss consists of core loss and winding loss. For a specific magnetizing inductance, a low flux swing requires a large number of primary turns. This means much less core loss according to the Steinmetz equation. The power loss including proximity loss in

TABLE I  
LIST OF CIRCUIT PARAMETERS

Symbol	Description	Value	Units
$U_i$	Input voltage	80	V
$U_o$	Output voltage	20	V
$P_o$	Output power	65	W
$f_s$	Switching frequency	340	kHz

TABLE II  
CALCULATED TRANSFORMER PARAMETERS

$n_{12}$	5.12	
$n_{13}$	6.32	
$L_m$	122.63	$\mu\text{H}$
$L_1$	19.85	$\mu\text{H}$
$L_{s2}$	0.26	$\mu\text{H}$
$L_{s3}$	0.16	$\mu\text{H}$

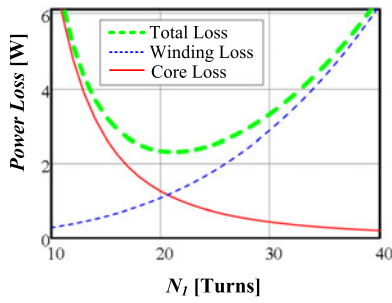


Fig. 7. Transformer optimization for minimum power loss.

the litz wire configuration can be estimated by [15]

$$P_{\text{layer}} = \left[ R_{\text{DC}} + \frac{\pi}{16} \rho \left( \frac{r_0}{\delta} \right)^4 \frac{N_{\text{pa}} N^3}{l_w^2} \text{MLT} (2m - 1)^2 \right] I_{\text{rms}}^2 \quad (35)$$

where  $R_{\text{DC}}$  is the winding dc resistance,  $\rho$  is the copper resistivity,  $r_0$  is the radius of litz wire,  $N_{\text{pa}}$  is the number of paralleled wires,  $N$  is the turns number,  $l_w$  is the winding height,  $m$  is the number of layers, MLT is the mean length turn, and  $I_{\text{rms}}$  is the winding rms current. Therefore, an optimal number of turns exist to achieve minimum total loss.

## V. EXPERIMENTAL RESULTS

### A. Specification and Transformer Parameters

The specifications are summarized in Table I. The actual parameters of the transformer model in Fig. 1(a) have been calculated based on a set of measured data according to [16]. The resulting transformer parameters are summarized in Table II. The total power loss in the transformer is plotted in Fig. 7, where it can be found that the optimal  $N_1$  is around 20. A value of 24 has been chosen to generate the required leakage inductance.

In order to verify the merit of the proposed topology introduced in this paper, a prototype was built to operate at the optimal switching frequency of 340 kHz. The switching

TABLE III  
LITZ WIRE SELECTION FOR WINDINGS

$N_s$	$D_L/2\delta$	$\chi_{\text{litz}}$	Winding selection
10	1.7	0.85	aux
20	2.4	0.80	pri
35	3.2	0.70	
50	3.8	0.63	sec

$N_s$  is the number of wire strands of the litz wire (strand diameter 0.1 mm),  $D_L$  is the layer thickness,  $\delta$  is the skin depth, and  $\chi_{\text{litz}}$  is the resistance reduction factor of litz wire.

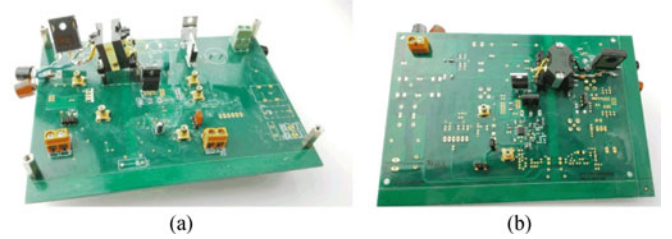


Fig. 8. Picture of implemented prototypes. (a) Prototype of the proposed concept. (b) Prototype of high-switching-frequency QR flyback.

frequency 340 kHz was chosen based on the minimization of total power losses in the resonant flyback converter. The sum of conduction losses, switching losses, gate drive losses, reverse-recovery losses, hysteresis losses, proximity losses, and eddy current losses is modeled as the total power losses for efficiency optimization. The optimal frequency of the conventional QR flyback for power losses is found to be around 65 kHz at maximum output power. However, the minimization of power losses in the proposed resonant flyback converter results in an optimal frequency around 340 kHz at maximum output power.

Both ferrite material and litz wire contribute to the total power losses. Moreover, each of them dominates the total power losses at specific frequency ranges. According to the graph of PF versus frequency in [14], the PF of most ferrite material tends to saturate between 300 and 400 kHz, so the optimized frequency, 340 kHz, efficiently utilizes the ferrite in terms of PF. On the other hand, the resistance reduction factor, according to [17], reaches its valley at some specific frequencies. At very high frequency, the resistance of litz wire becomes much higher due to skin effects, which means much more conduction losses in the transformer windings. Table III shows the used litz wires for each winding in the resonant prototype, a low resistance reduction factor is achieved at the optimized frequency for each winding. Therefore, the switching frequency 340 kHz was derived from the minimized power losses including effects of ferrite material and litz wire, and it was further tuned after a few iterative designs and tests. A prototype, as shown in Fig. 8(a), with the components listed in Table IV was built to verify the concept. Besides the RM operation as introduced in previous sections, the concept could also operate in the QR mode, which is similar to the QR operation in the conventional flyback converter, with a proper control scheme. Another prototype [see Fig. 8(b)] of a QR/DCM flyback converter with a transformer

TABLE IV  
LIST OF USED COMPONENTS

Symbol	Component	Note
$C_i$	400BXW68MEFC18X20	400 V 68 $\mu$ F
$C_o$	25ZLH680MEFC	25 V, $2 \times 680 \mu$ F
$C_r$	B32620A152J	1000 V, 1.5 nF
$D_1, D_2$	IDH03G65C5	650 V, 3 A
$S_i$	IPA90R340C3	900 V, 15 A
$S_o$	PSMN009-100P	100 V, 75 A
	FAN3122	$S_i$ driver
	TEA1995	$S_o$ driver
$D_3$	VS-30CPQ100PBF	Alternative of $S_o$

( $L_m = 36 \mu$ H,  $n_{12} = 5$ ,  $f_s = 340$  kHz, core RM8, reduced effective volume by one third compared to the low-frequency core RM10) was built for efficiency and EMI comparison. The same switches  $S_i$  and  $S_o$  in Table IV were used in both the converters. It is noted that the concept prototype could operate in both RM mode and QR mode, while the QR/DCM prototype could operate in both QR mode and DCM mode. In addition, in order to minimize the noise sources, the high- $dv/dt$  paths were kept short and the high- $di/dt$  switching loops were kept small in the printed circuit board (PCB) layout. Both prototypes have been implemented with open-loop control, where the pulse width modulation signal was applied via a signal generator, and valley switching under various modes, i.e., RM, QR, and DCM, was achieved with a proper control scheme of duty cycle and switching frequency.

### B. Measured Waveforms

Measured voltage and current waveforms in resonant-mode operation of  $S_i$ ,  $S_o$ ,  $D_1$ , and  $D_2$ , transformer windings and capacitor  $C_r$  are shown in Fig. 10. Fig. 10(a) shows the drain-source voltage and current of primary switch  $S_i$ . It can be observed that the switch is turned ON at the valley of  $u_{DS}$ . After  $S_i$  has been turned OFF, the drain-source voltage increases gradually from zero, which means it is turned OFF near ZVS. The currents  $i_{pri}$  and  $i_{sec}$  behave sinusoidally during off-time, as shown in Fig. 10(b). As shown in Fig. 10(b) and (c), the leakage energy is stored in  $C_r$  after turn-off and then returned to the input via  $D_2$  in mode (f).

The peak drain-source voltage of  $S_i$  results from the input and output voltage, transformer turns ratio, as well as the resonant behavior of leakage inductance and resonant capacitor, and its value is calculated according to (36). Compared to the conventional QR flyback, larger leakage inductance is required in the concept to create resonant behavior for soft switching on  $S_i$ . As a result, the drain-source voltage increases along with the resonance. On the other hand, the resonant capacitor  $C_r$  can be increased to lower the peak drain-source voltage. Nevertheless, a larger  $C_r$  causes higher recycling current in the resonant network, meaning that conduction losses are raised due to higher primary rms current. Therefore, a tradeoff between the peak drain-source voltage and primary conduction losses needs to be considered. In a conventional flyback converter, the resonance of leakage inductance and drain-source capacitance of  $S_i$

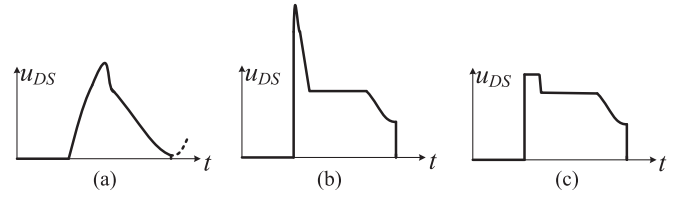


Fig. 9. Primary-switch drain-source voltage characteristics. (a) Proposed resonant flyback. (b) Conventional QR flyback without voltage clamp. (c) Conventional QR flyback with voltage clamp.

leads to a high voltage spike on  $u_{DS}$  according to (37), which might be higher than the voltage rating of  $S_i$ , thus damaging the switch. Practically, an RCD snubber is used to clamp this voltage spike in the conventional QR flyback, and the resulting peak drain-source voltage can be estimated with (38), while the leakage energy is dissipated in the clamp network, thus the efficiency becomes lower. The waveforms of  $u_{DS}$  in three different implementations are shown in Fig. 9

$$U_{DS, \max} = U_i + n_{12}U_o + I_{pk} \sqrt{L_1/C_r} \quad (36)$$

$$U'_{DS, \max} = U_i + n_{12}U_o + I_{pk} \sqrt{L_1/C'_{ds}} \quad (37)$$

$$U''_{DS, \max} = U_i + \frac{1}{2} \left[ n_{12}U_o + \sqrt{(n_{12}U_o)^2 + 2R_{SN}L_1f_sI_{pk}^2} \right] \quad (38)$$

where  $R_{SN}$  is the resistor in RCD snubber in [18].

It is shown in Fig. 10(a) that the drain-source voltage is around 500 V at the specified input voltage of 80 V. When the input voltage is increased four times higher, i.e., 360 V, the drain-source voltage goes up to 650 V, as shown in Fig. 11. The concept operates in QR mode in this case like a conventional flyback converter. Therefore, a high voltage rating (e.g., 900 V) Si MOSFET has been used in the prototypes to investigate the behavior of the concept at both low and high input voltages. It has been verified that the resonant operation is not suitable for wide input ranges.

### C. Discussion

Three different designs are considered to make a comparison in terms of power density. The proposed resonant concept was designed to operate at 340 kHz. A prototype of a QR flyback operating at the same switching frequency 340 kHz was built to compare power losses and EMI with those of the proposed concept. The conventional QR/DCM flyback at the higher frequency (HF) of 340 kHz (e.g., with core RM8) features more power losses, and the total power losses are normally minimized with a properly chosen low frequency (LF) (e.g., 65 kHz), thus resulting in a larger transformer core RM10. The core for the low-switching-frequency case of the conventional DCM/QR flyback at the same power level is RM10; thus the effective volume is reduced by one third with the chosen PQ2020. The power density of three designs have been calculated based on the total volume of all key components, and the calculated results are summarized in Table V.

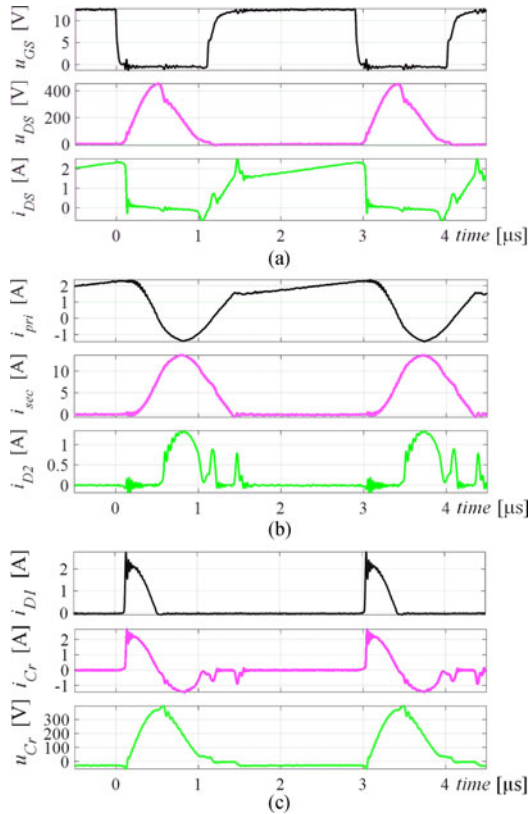


Fig. 10. Measured waveforms of resonant-mode operation,  $D = 0.6$ . (a) Switch  $S_i$  voltage and current. (b) Current of each transformer winding. (c) Snubber diode current, resonant capacitor voltage and current.

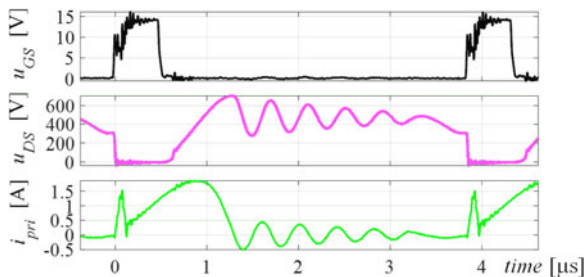


Fig. 11. Measured waveforms of resonant flyback at high input voltage,  $U_i = 360$  V,  $f_s = 250$  kHz,  $P_o = 38$  W.

TABLE V  
COMPARISON OF POWER DENSITY OVER THREE DIFFERENT DESIGNS

	Concept	HFQR flyback	LFQR flyback
Switching freq.	340 kHz	340 kHz	65 kHz
Transformer core	PQ2020	RM8	RM10
Heat sink needed	No	Yes	No
Power density	36.9 W/inch <sup>3</sup>	21.2 W/inch <sup>3</sup>	24.2 W/inch <sup>3</sup>
Power-to-Ferrite	374.7 W/inch <sup>3</sup>	438.3 W/inch <sup>3</sup>	247.1 W/inch <sup>3</sup>

It is noted that the concept uses core PQ2020, while the QR flyback uses core RM8 for 340 kHz, and core RM10 for 65 kHz. Moreover, the high power loss of  $S_i$  at 340 kHz leads to a high temperature above 150°, and therefore a heat sink is required for cooling  $S_i$ . In comparison, the concept and LF QR flyback

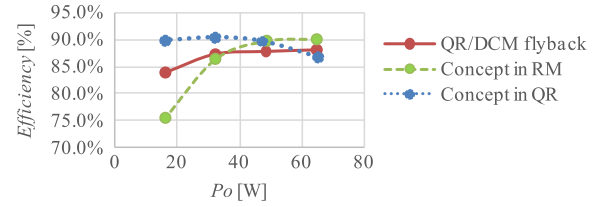


Fig. 12. Measured efficiency curves of the proposed concept (both in RM and QR mode) and QR/DCM flyback,  $U_i = 80$  V. For the QR/DCM flyback, 65 and 48 W are measured in QR mode, and 32 and 16 W are measured in DCM.

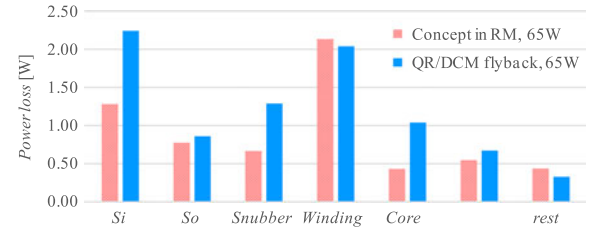


Fig. 13. Comparison of power loss distribution between concept flyback converter in RM and QR/DCM flyback converter,  $U_i = 80$  V,  $P_o = 65$  W,  $f_s = 340$  kHz.

do not need a heat sink for  $S_i$ . Generally, the power density is improved from 21.2 to 36.9 W/inch<sup>3</sup> with the new resonant concept at the same frequency. On the other hand, the power-to-ferrite ratio (output power divided by the effective ferrite volume of transformer core.) is increased to 374.7 W/inch<sup>3</sup> at HF with PQ2020 from 247.1 W/inch<sup>3</sup> at LF with RM10.

It is noted that the magnetizing current in the transformer of the proposed concept under the resonant-mode operation is continuous. In case that the primary switch  $S_i$  is switched on at the first valley of the drain-source voltage after the magnetizing current becomes zero, the proposed concept is operating in QR, which is the same as that in the conventional QR/DCM flyback converter. Still, at light load the proposed concept operating in QR has higher efficiency than the QR/DCM converter, since the concept reuses transformer leakage energy with the regenerative snubber. As shown in Fig. 12, the proposed concept operating in RM shows 2% efficiency improvement at the desired maximum output power of 65 W. The comparison of power loss distribution between the proposed concept and the QR/DCM flyback at 65 W is shown in Fig. 13. The switch loss is reduced due to ZVS turn-off, and the snubber loss and the core loss are reduced as well. Thermal images of both prototypes are shown in Fig. 14. It is observed that the winding temperature in RM8 is 17° higher than that in PQ2020. Moreover, the primary MOSFET  $S_i$  is attached to a heat sink for cooling, since the steady-state temperature without heat sink goes above 150°, which means more power losses than  $S_i$  in the concept prototype. Although GaN and SiC MOSFETs feature low on-resistance, low gate drive charge and low drain-source capacitance, utilizing these wide-bandgap MOSFETs could reduce the power losses on switches. Nevertheless, currently the cost of such devices is still higher than the silicon devices. The purpose of the demonstration is to identify the difference between the resonant concept and

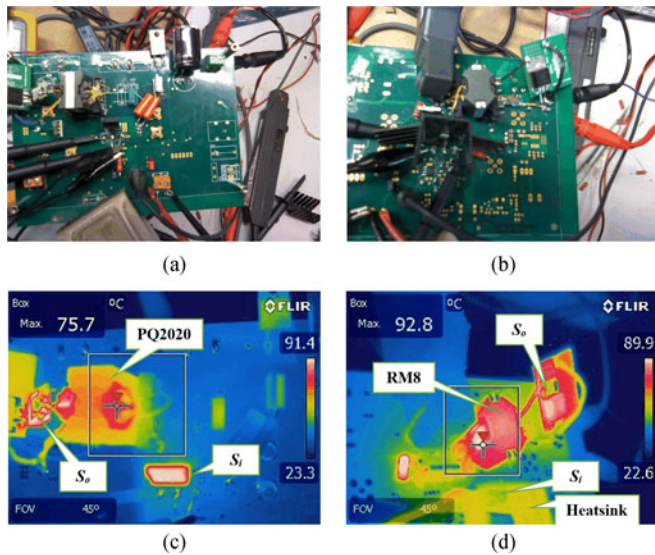


Fig. 14. Thermal images and associated prototypes at 65 W. (a) and (c) Concept prototype. (b) and (d) QR flyback (340 kHz) prototype.

the conventional QR flyback with the same switch devices. In further research, it is interesting to investigate the performance improvement with wide-bandgap MOSFETs.

The conducted common-mode EMI noise of the proposed concept and the QR/DCM flyback shown in Fig. 15 have been measured with the same PCB layout and without the EMI filter. Fig. 15(a) and (b) show the measured spectrums over the whole frequency band (150 kHz–30 MHz). The fundamental harmonic and second harmonic were measured with narrow frequency band and a low bandwidth of 200 Hz to maximize the measured data points for better accuracy, and the noise spectrums of fundamental and second harmonic of both prototypes are shown Fig. 15(c)–(f). The fundamental quasi-peak amplitude in Fig. 15(c) is around 20 dB less than that in Fig. 15(d), which implies that less attenuation is required for the common-mode filter. Moreover, the third, fourth, and fifth harmonics of both prototypes were measured and are shown in Fig. 15(g) and (h). In addition, the near sinusoidal voltage and current waveforms feature less high-frequency harmonics, resulting in much less high-frequency noise as shown in Fig. 15(a) compared to Fig. 15(b). It is shown from the measured spectrums in Fig. 15 that the resonant flyback with full soft switching features a lower noise level over the whole frequency band due to the much lower  $dv/dt$  from  $LC$  resonance, which could eliminate complex EMI filtering, thus reducing the cost and improving the power density further.

The proposed topology of a resonant-mode flyback converter achieves an improved tradeoff between switching loss, transformer loss, EMI, and magnet size at high switching frequency. Compared to the conventional flyback converter operating in QR/DCM, the input voltage range is limited for the resonant-mode operation. Therefore, the proposed topology is suggested to be used in the applications of low-power isolated power supplies with fixed input voltage, for instance, isolated gate-driver power supplies and auxiliary power supplies.

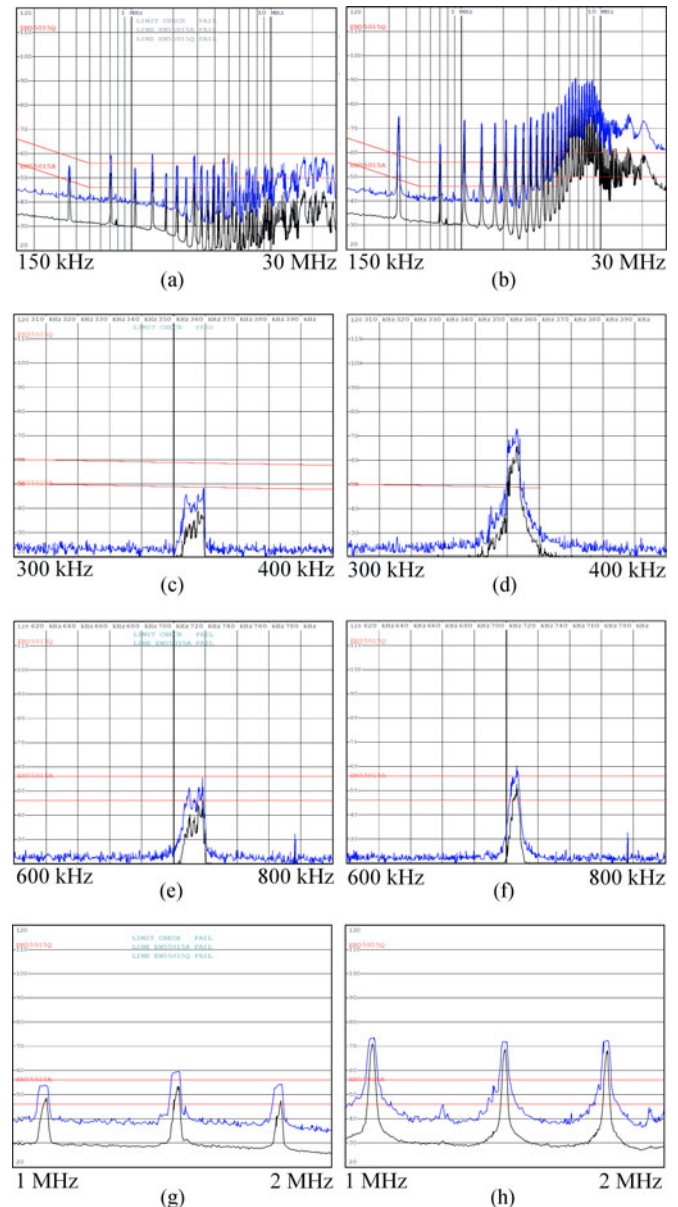


Fig. 15. Measured conducted common-mode EMI without filter (peak: upper trace, average: lower trace). (a), (c), (e), and (g) Proposed concept. (b), (d), (f), and (h) QR/DCM flyback.  $P_o = 65$  W,  $U_i = 80$  V,  $U_o = 20$  V. Both converters have been measured with high-side SR. Blue trace is peak, and black trace is average.

## VI. CONCLUSION

In this paper, a flyback converter in RM is proposed to enable soft switching, less transformer loss and reduced EMI at high switching frequency. Experimental results show that, compared to the conventional flyback converter operating in QR/DCM and while achieving the same specifications, both the fundamental quasi-peak and the high-frequency harmonics in the measured common-mode EMI are reduced due to the resonant behavior, and the switching loss on the primary switch is minimized due to the achieved soft switching in both turn-on and turn-off of the primary switch. Furthermore, the transformer core volume is reduced by one third compared to the low-frequency conventional

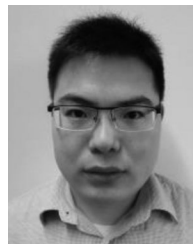
flyback converter. In conclusion, the resonant-mode operation of the developed flyback converter enables higher power density, high efficiency and better EMI performance at high switching frequency. Therefore, the improved flyback topology is suitable for low-power isolated dc/dc converters with limited input voltage range.

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